Carotenogenesis Is Regulated by 5’UTR-Mediated Translation of Phytoene Synthase Splice Variants1[OPEN]

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Phytoene synthase (PSY) catalyzes the highly regulated, frequently rate-limiting synthesis of the first biosynthetically formed carotene. While PSY constitutes a small gene family in most plant taxa, the Brassicaceae, including Arabidopsis (Arabidopsis thaliana), predominantly possess a single PSY gene. This monogenic situation is compensated by the differential expression of two alternative splice variants (ASV), which differ in length and in the exon/intron retention of their 5’UTRs. ASV1 contains a long 5’UTR (untranslated region) and is involved in developmentally regulated carotenoid formation, such as during deetiolation. ASV2 contains a short 5’UTR and is preferentially induced when an immediate increase in the carotenoid pathway flux is required, such as under salt stress or upon sudden light intensity changes. We show that the long 5’UTR of ASV1 is capable of attenuating the translational activity in response to high carotenoid pathway fluxes. This function resides in a defined 5’UTR stretch with two predicted interconvertible RNA conformations, as known from riboswitches, which might act as a flux sensor. The translation-inhibitory structure is absent from the short 5’UTR of ASV2 allowing to bypass translational inhibition under conditions requiring rapidly increased pathway fluxes. The mechanism is not found in the rice (Oryza sativa) PSY1 5’UTR, consistent with the prevalence of transcriptional control mechanisms in taxa with multiple PSY genes. The translational control mechanism identified is interpreted in terms of flux adjustments needed in response to retrograde signals stemming from intermediates of the plastid-localized carotenoid biosynthesis pathway.

Carotenoids comprise a large group of plant-derived isoprenoids exerting numerous functions in plants. In chlorophyll-containing tissues, they participate in photosynthesis, contribute to photoprotection, and provide biosynthetic precursors for the phytohormones abscisic acid (ABA) and strigolactones. Through biosynthetic processes, carotenoids are thus involved in developmental processes, response to abiotic stresses, mycorrhizal symbiosis, and the infestation by root parasitic weeds (Walter et al., 2010). Carotenoids also accumulate in chloroplasts to attract pollinating insects and to support zoochoric seed dispersal (Cazzonelli and Pogson, 2010; Li and Yuan, 2013).

The flux of carbon through the carotenoid biosynthetic pathway is frequently controlled by phytoene synthase (PSY). While its substrate geranylgeranyl diphosphate (GGPP) can enter through cyclization reactions and prenyl transfer onto nonprenyl acceptors into a variety of pathways (tocopherols, chlorophylls, plastoquinones, phyloquinones, and gibberellins; Beck et al., 2013; Ruiz-Sola et al., 2016), the head-to-head condensation of GGPP represents the first carotenoid-specific reaction. Phytoene desaturation and isomerization subsequently yield phytofluene, β-carotene, and finally, the red-colored lycopene, which is cyclized, forming β- or ε-ionone end-groups. Subsequent hydroxylation and epoxidation reactions lead to the typical complement of plant xanthophylls. The synthesis of the two carotenoid-derived hormones, strigolactones and ABA, branches off from 9-cis-β-carotene and 9-cis-xanthophylls, respectively (Schwartz et al., 1997; Alder et al., 2012).

Because of the rate-limiting property, it is not surprising that PSY is highly regulated and that expression levels often correlate with the amounts of carotenoids or their derivatives formed. For instance, PSY is light regulated during photomorphogenesis and drives increased carotenoid biosynthesis during greening (von Lintig et al., 1997; Welsch et al., 2000, 2003; Rodriguez-Villalón et al., 2009). PSY is also induced during carotenoid accumulation in fruits and flowers (Schledz et al., 1996; Fraser et al., 1999; Qin et al., 2011). Moreover, induced PSY expression was shown to support ABA formation in roots (Li et al., 2008; Welsch et al., 2008; Ruiz-Sola et al., 2014). Accordingly, PSY overexpression is frequently sufficient to increase the carotenoid content in plants, including crops (Farré et al., 2010). For instance, increased
β-carotene levels were achieved in cassava roots, tomato fruit, carrot roots, and in nongreen tissues of Arabidopsis (Arabidopsis thaliana; Shewmaker et al., 1999; Paine et al., 2005; Fraser et al., 2007; Maass et al., 2009; Welsch et al., 2010).

However, PSY is also subjected to posttranscriptional control mechanisms. Bypassing transcriptional and translational processes, the membrane-association of soluble inactive PSY enzyme leads to rapid enzymatic activation (Welsch et al., 2000). Moreover, PSY protein levels were recently found to be posttranscriptionally regulated by the ORANGE proteins in Arabidopsis (Zhou et al., 2015). Additionally, potential feedback-regulatory mechanisms involve translation, plastid import, protein-protein interaction, and protein turnover to achieve stoichiometrically defined carotenoid biosynthetic complexes (Cazzonelli and Pogson, 2010; Nogueira et al., 2013; Arango et al., 2014; Ávendaño-Vázquez et al., 2014; Tian, 2015).

This raises questions on how transcriptional and posttranscriptional mechanisms act in concert to meet the different tissue, developmental, and stress-related carotenoid requirements. Seemingly, in most taxa, there is a work-sharing as witnessed by the fact that PSY constitutes a small gene family of generally three members showing differential transcriptional regulation (Walter et al., 2015). In contrast, Arabidopsis and most other Brassicaceae have only one single PSY gene (Arabidopsis Genome Initiative, 2000). This suggests the coevolution of special regulatory mechanisms to cope with the various physiological conditions that are not different from other plants. These mechanisms would be expected to act posttranscriptionally.

We show here that two splice variants are formed from the single PSY gene in Arabidopsis. They differ in the composition and length of their 5′UTRs and in their abundance in response to environmental cues. A pronounced translation-inhibitory function is mediated by the 5′UTR (untranslated region) of only the longer variant, which is not concluded for the 5′UTR of the short variant. The long 5′UTR version is capable of switching between translation-permissive and non-permissive states, a property that resides in a defined nucleotide stretch. This appears as a novel determinant of carotenoid biosynthesis that might relate to mechanisms reminiscent of riboswitches.

RESULTS

Two Differentially Regulated AtPSY Alternative Splice Variants with Different 5′UTRs

Two AtPSY alternative splice variants (ASVs) were identified in the TAIR database (https://www.arabidopsis.org). They differ in the length and in the exon/intron retention of their 5′UTR. Recent transcript start site analyses suggest that both splice variants emerge from different premRNAs through alternative transcription initiation (Morton et al., 2014; a scheme on the formation of both ASVs is shown in Fig. 1A; a sequence alignment is shown in Supplemental Fig. S1). The 5′UTR from ASV1 contains 403 nucleotides and is composed of two exons, which results from the complete elimination of intron 1 from the AtPSY premRNA variant 1. The second variant ASV2 was identified through EST analysis and retains a part of intron 1, but completely lacks exon 1 referring to premRNA 1, resulting in a truncated 5′UTR of only 252 nucleotides (Alexandrov et al., 2006). Using 5′UTR-specific primer/probe combinations, which allowed distinguishing between both ASVs, we investigated whether AtPSY ASVs respond differentially to light and upon salt stress, both parameters inducing AtPSY expression (von Lintig et al., 1997; Ruiz-Sola et al., 2014). We also used a primer/probe combination positioned within the AtPSY open reading frame (ORF) to equally capture both ASVs (Fig. 1A).

AtPSY induction during deetioliation relied almost completely on increased levels of ASV1, while ASV2 contributed only slightly within the first 2 h illumination but quickly returned to ground levels (Fig. 1B). Upon salt stress (200 mM NaCl), ASV1 reached a 2-fold level after 2 h, while ASV2 responded stronger attaining 4-fold induction (Fig. 1C). The effect of rapidly increased light intensity was tested with leaves from 4-week-old plants that were dark-adapted for 2 h and illuminated with white light for 4 h (Fig. 1D). A 4-fold induction of the ASV2 was found, while ASV1 was largely unresponsive. This correlated with a strong increase in PSY protein levels and with strongly increased AtPSY enzyme activity (Fig. 1, E and F). PSY activity was tested in the presence of norflurazon, which inhibits phytoene desaturation, resulting in the accumulation of phytoene (Beisel et al., 2010; Lätari et al., 2015). This revealed that phytoene synthesis was completely stalled during dark adaptation but became active upon illumination, concomitant with increased ASV2 expression and AtPSY protein levels. Concluded from the qRT-PCR results, transcripts from ASV1 were more abundant than those of ASV2 in all tissues analyzed. For instance, the difference was 20-fold in roots and 5-fold in illuminated leaves, but 140-fold in etiolated seedlings, which agrees with the contribution of ASV2 induction in these tissues (Fig. 1G). These results suggest that immediate biosynthetic requirements caused by environmental cues rely on ASV2, while ASV1 might rather contribute to those arising during developmental processes, such as deetioliation.

The Structure of PSY 5′UTRs Determines Translation Effectiveness

A phylogenetic analysis with PSY 5′UTRs from various taxa revealed that Arabidopsis PSY 5′UTRs were closer related with those of other Brassicaceae (Fig. 2A). In contrast, non-Brassicaceae PSY 5′UTRs were on separate branches and showed a less-structured clade pattern. This suggests the presence of a functional feature in Brassicaceae 5′UTRs causing the conservation that is absent from 5′UTRs of other taxa. In fact, in silico
RNA analyses revealed a structural consensus with the Brassicaceae *PSY* 5′ UTR sequences exclusively with the long 5′ UTR from *AtPSY* (ASV1). These structural features were completely lost when the short 5′ UTR of *AtPSY* (ASV2) or when non-Brassicaceae *PSY* 5′ UTRs were included. The consensus structure for seven Brassicaceae *PSY* 5′ UTRs is shown in Figure 2A and reveals one multiloop followed by a hairpin loop at the 5′ moiety (the structure at higher resolution and the structure-annotated alignment are shown in Supplemental Figs. S2 and S3).

This structural conservation of the 5′ UTR of *AtPSY* (ASV1) led us to perform a functional analysis. For this, we overexpressed in Arabidopsis the full-length 5′ UTR as well as 5′ truncations, all fused with the *AtPSY* open reading frame (ORF) under control of the 35S promoter (35Spro). In order to disrupt structural elements, one truncation to 330 nucleotides affected the 5′ multiloops, and one further truncation, leaving 280 nucleotides, affected the hairpin structure in addition. Moreover, we constitutively overexpressed the *AtPSY* ORF without its 5′ UTR. Transgenic lines were selected by qRT-PCR (using primers amplifying within the *AtPSY* ORF), which showed a comparable range of transgene expression levels (Fig. 2B). Immunoblot analyses revealed that AtPSY protein levels were not any higher as in wild-type leaves in lines overexpressing the full-length 5′ UTR version of 403 nucleotides, just like the truncation to 330 nucleotides (Fig. 2B). In contrast, further truncation to 280 nucleotides allowed very high AtPSY protein levels to accumulate, which were similar to lines overexpressing the 5′ UTR-free *AtPSY* ORF. In conclusion, the 5′ UTR inhibits translation of the *AtPSY* ORF with a crucial contribution that may be associated with the structural integrity of the hairpin loop.

**AtPSY** 5′ UTR-Mediated Translational Inhibition Determines Carotenoid Levels in Nongreen Tissues

In leaves, carotenoids remain at wild-type levels despite increased AtPSY protein, as present in lines expressing *AtPSY* without a 5′ UTR or when equipped with the translation-permissive truncation to 280 nucleotides (Supplemental Fig. S4). This compensation of flux increase is at least partially caused by CCD4-mediated carotenoid degradation leading to the formation of apocarotenoids (Lätari et al., 2015). In contrast, roots do respond with carotenoid accumulation upon flux increases (Maass et al., 2009; Welsch et al., 2010). Roots of lines expressing the *AtPSY* ORF containing translation-inhibitory 5′ UTR versions (403 and 330 nucleotides) contained AtPSY protein levels as low as in the wild type remaining close to the detection limit.
Carotenoid levels were little, however significantly, elevated by 2- to 3-fold over the wild-type control. In contrast, the translation-permissive 280 nucleotides truncation allowed very high AtPSY protein levels accompanied by dramatically increased carotenoids reaching up to 40-fold of the wild-type levels. This is similar to lines overexpressing the AtpSY ORF lacking the AtPSY 5’UTR.

Nongreen callus derived from seedlings responded analogously (Maass et al., 2009, Supplemental Fig. S5). We took advantage of the visual carotenoid accumulation in response to high AtPSY protein levels to screen for orange calli with 90 additional transgenic events (Fig. 3). Carotenoid levels were little, however significantly, elevated by 2- to 3-fold over the wild-type control. In contrast, the translation-permissive 280 nucleotides truncation allowed very high AtPSY protein levels accompanied by dramatically increased carotenoids reaching up to 40-fold of the wild-type levels. This is similar to lines overexpressing the AtpSY ORF lacking the AtPSY 5’UTR.

Translational Inhibition Is Absent from the Poaceae PSY 5’UTR

The structural dissimilarities between PSY 5’UTRs of Brassicaceae (mostly monogenic for PSY) and other taxa (with multiple PSY genes) led us to conclude that the 5’UTR-mediated translational inhibition might be absent from the latter. To test, we used rice (Oryza sativa) possessing three PSY paralogs. Rice PSY1 (OsPSY1) transcripts are more abundant than OsPSY2 in most tissues, while OsPSY3 is stress responsive (Li et al., 2008; Welsch et al., 2008). Moreover, OsPSY1 has the longest 5’UTR covering 275 nucleotides (OsPSY2: 56 nucleotides, OsPSY3: 226 nucleotides). Therefore, as in the previous experiments, the OsPSY1 5’UTR was combined with the AtpSY ORF and the chimeric transcript constitutively expressed in Arabidopsis followed by the analysis of roots and leaves (Fig. 4). In contrast to the findings with the AtpSY 5’UTR, the full-length OsPSY1 5’UTR did not inhibit translation; AtpSY protein was high in leaves and roots with strongly increased carotenoid levels detectable only in roots, as expected.

Figure 2. Sequence-dependent 5’UTR-mediated control of AtpSY ORF translation in Arabidopsis leaves. A, Phylogenetic tree generated from PSY 5’UTR sequences. The Arabidopsis PSY ASV1 5’UTR was used for full taxa names and accession numbers, see Supplemental Table S2. Bootstrap values are reported next to the branches (only bootstraps above 50% are shown). A consensus structure of PSY 5’UTR predicted from Brassicaceae PSY 5’UTRs is shown below. Different colors indicate whether base pairs are formed by one (red), two (yellow), or three (green) different combinations of nucleotides; hyphens indicate gaps within the alignment. 5’UTR truncations used in B are indicated by arrows. TS, transcription start. B, Analyses of Arabidopsis leaves overexpressing the AtpSY ORF with full-length (403 nucleotides), 330 nucleotides, and 280 nucleotides of the 5’UTR of ASV1. AtpSY transcript (top) and AtpSY protein levels (bottom); actin-normalized protein levels relative to the wild type (Wt) are shown above. Each bar is the average ± SD of three biological replicates; *significant difference (Student’s t test, P < 0.05).
To further generalize our findings, we placed the AtPSY 5′ UTR and its truncations into a different context, namely upstream of GUS. Transgenic Arabidopsis lines expressing the GUS ORF lacking the AtPSY 5′ UTR were included as a control. Expression was controlled by the 35Spro as above. As GUS enzyme activity reflects well GUS protein levels (Koo et al., 2007), the translation efficiency was estimated by determining the ratio of GUS activity and GUS mRNA expression in leaves of three independent transgenic events each (Fig. 5B). Compared to the ratio determined for control lines without 5′ UTR, lines overexpressing the translation-inhibitory 5′ UTR versions (403 and 330 nucleotides) showed an up to 3-fold reduced ratio of GUS activity/transcript level. In contrast, lines overexpressing the translation-permissive 280-nucleotide AtPSY 5′ UTR were similar to the control lines without AtPSY 5′ UTR. These results indicate that the translation inhibition of the AtPSY 5′ UTR functions independently of the ORF. However, the about 3-fold difference is contrasted by a larger, up to 10-fold difference achieved with AtPSY levels (Fig. 2B). This difference might be caused by feedback control mechanisms acting on protein levels (see “Discussion”).

Structural Analysis of PSY 5′ UTRs

Our results suggest that the AtPSY 5′ UTR negatively affects translation of the proximate ORF. Known examples for UTR-controlled translation initiation often involve regulatory RNA-binding proteins (Piccinelli and Samuelsson, 2007). However, a search for putative motifs of known RNA-binding regulatory proteins within the AtPSY 5′ UTR using UTRscan (Grillo et al., 2010) revealed only two cis-acting elements prevailing in animal systems (Supplemental Table S1). Experiments were carried out to affinity-purify putative binding proteins from leaf cytoplasmic extracts using in vitro transcribed full-length AtPSY 5′ UTR tagged with a streptomyacin-binding RNA aptamer (Windbichler and Schroeder, 2006). However, the mass spectrometry-identified proteins were mostly

Figure 3. 5′UTR-regulated AtPSY translation efficiency determines carotenoid levels in roots. The AtPSY ORF with different lengths of the 5′ UTR from ASV1 was overexpressed in Arabidopsis. Root carotenoid content (A) increased only in lines with only 280 nucleotides or no AtPSY 5′ UTR. Chloroform extracts are shown below. AtPSY protein amounts are shown in (B) with actin as loading control. C, AtPSY transcript levels. Data are the average ± so of three biological replicates; *significant difference to the wild type (Wt; Student’s t test, P < 0.05).

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Figure 4. Rice PSY1 5′ UTR has no translation inhibitory function in Arabidopsis. The AtPSY ORF containing the full-length rice PSY1 5′ UTR (OsPSY1 UTR) was expressed in Arabidopsis. One line expressing the translation-permissive 280-nucleotide truncation of the AtPSY ASV1 5′ UTR was included as a control (AtPSY-UTR280). Roots and leaves were analyzed for transgene transcript levels (A), AtPSY protein levels with actin as loading control. C, AtPSY transcript levels. Data are the average ± so of three biological replicates; *significant difference to the wild type (Wt; Student’s t test, P < 0.05). DW, Dry weight.
not located in the cytoplasm or were not reproducibly enriched (Supplemental Data Set S1). The only RNA-binding protein detected was the Pumilio protein APUM5, a posttranscriptional repressor involved in virus defense binding at the 3'UTR of target transcripts (Huh et al., 2013). However, overexpression of APUM5 as well as an APUM5 RNAi line showed unchanged AtPSY protein levels compared to the wild type (Supplemental Fig. S6).

Bioinformatic Analysis of PSY 5'UTRs

As indicated by the truncations, a feature determined by the 330- to 280-nucleotide stretch of the AtPSY 5'UTR governs the inhibitory function. Since no 5'UTR-binding proteins could be identified, we considered the possibility of protein-independent mechanisms involving structural RNA alterations induced by the binding of an effector, reminiscent to riboswitches. We used the conformational switch prediction program paRNAss (Giegerich et al., 1999; Voss et al., 2004) to investigate this possibility. For a given RNA sequence, paRNAss analyzes the folding space (the set of all possible secondary structures) within an energy range above the minimum free energy structure, calculates their pairwise distances via tree alignment and energy barriers, and plots these against each other. The folding space of an RNA switch is expected to harbor two clusters of structurally and kinetically related RNA structures that are clearly separated from each other. For each cluster, a consensus structure is derived and validated by comparison to the initial set of structures. A switch-like folding space is confirmed when two clouds of points are displayed—one near the x axis and one near the y axis.

These criteria apply for the inhibitory 403 nucleotides as well as the 330 nucleotides of AtPSY 5'UTR, as

**Figure 5.** AtPSY 5'UTR translation inhibition in vitro and effect on GUS expression. A, In vitro translation. The AtPSY ORF with various lengths of its 5'UTR was in vitro transcribed and in vitro translated in wheat germ lysate in presence of [35S]Met. Incorporation into [35S]AtPSY was determined by SDS-PAGE followed by autoradiography and expressed relative to the [35S]AtPSY amount obtained with the 5'UTR403-AtPSY mRNA version. Results are the average ± SEM of five biological replicates; *significant difference to 5'UTR403-AtPSY (Student's t test, P < 0.05). B, GUS translation efficiency. The GUS ORF with different lengths of the AtPSY 5'UTR was expressed in Arabidopsis. GUS enzyme activity was normalized to corresponding GUS transcript levels in leaves of 4-week-old plants and expressed relative to one reference line. Results are average ± SD of three biological replicates from three events per transformation; *significant difference to 5'UTR403-GUS-expressing line (Student's t test, P < 0.05).

**Figure 6.** Conformational switch analysis of AtPSY and OsPSY1 5'UTRs. Energy barrier (EB in kcal mol⁻¹) distances of 5'UTR RNA secondary structures to respective consensus structures were predicted with paRNAss. Two clouds of energetically separable RNA structures are predicted for translation-inhibitory AtPSY 5'UTR versions (A, full length, 403 nucleotides; B, 330 nucleotides), while only one cloud is predicted for translation-permissive AtPSY 5'UTR truncation (C, 280 nucleotides). In contrast, distance calculations for the full-length OsPSY1 5'UTR spread over the diagram and do not form distinct clusters, which is in agreement with the absence of a translation-regulatory function (D).
shown in the consensus structure validation plots in Figure 6 (distance plots are provided in Supplemental Fig. S7). The plots confirm the presence of two energetically separable RNA structural populations, since two clouds appear. In consideration of the experimental data, one may interpret that one conformation confers translational inhibition while the switch into the second would result in a translation-permissive structure. The switch prediction for the noninhibitory 280-nucleotide \textit{AtPSY} 5'UTR using identical parameters revealed only one cloud (Fig. 6C). Analogously, this truncation mediates only one preferred structural population and disallows the second structure (present in 403 and 330 nucleotides). Thus, the translation-permissive conformation might be permanently present in the 280-nucleotide version of the 5'UTR. The 50 nucleotides between 330 and 280 nucleotides are essential to switch into the inhibitory structure, which fits with the observations. As expected from the experimental results, a switch prediction for the full-length 5'UTR of \textit{OsPSY1} failed, as indicated by the scattered spread of pairwise distance calculations over the diagram (Fig. 6D). Therefore, full-length \textit{OsPSY1} and \textit{AtPSY} 5'UTR differ strongly with respect to their structural as well as functional properties.

Intriguingly, the shorter 5'UTR present in the second \textit{AtPSY} variant ASV2 eliminates the central hairpin loop fully and is therefore expected to exhibit a translation-permissive 5'UTR, similar to the 280-nucleotide truncation of the ASV1 5'UTR. Supporting this assumption, consensus structure validation of ASV2 5'UTR revealed one cloud, similar to the translation-permissive 280-nucleotide truncation of ASV1 (Supplemental Fig. S8).

**Carotenoid Pathway Flux Negatively Regulates Arabidopsis \textit{PSY} Protein Levels**

We have shown that the translation-inhibitory function of the full-length \textit{AtPSY} 5'UTR is capable of coordinating \textit{AtPSY} protein levels. Consequently, this transcription-independent mechanism has a strong impact on the activity of the whole biosynthetic pathway (see Fig. 3). This was demonstrated by monitoring \textit{AtPSY} protein levels in Arabidopsis upon overexpression of the \textit{AtPSY} ORF. However, it was impossible to discriminate between endogenous and ectopically expressed \textit{AtPSY} in immunoblots. To be able to observe a potential feedback regulation acting on the protein levels of endogenous \textit{AtPSY} in response to pathway activation, we generated transgenic lines expressing \textit{35Spro:PSY1} versions from maize (\textit{Zea mays}; \textit{ZmPSY1}) and rice (\textit{OsPSY1}). These pathway-activated lines were then analyzed using a monoclonal antibody that specifically recognizes Arabidopsis \textit{PSY}. The line overexpressing \textit{AtPSY} lacking its 5'UTR was included as control.

Transgene expression was first verified by qRT-PCR (Supplemental Fig. S9). Functional expression of Poaceae \textit{PSY1} and \textit{AtPSY} versions is witnessed by the efficient up-regulation of carotenogenesis in nongreen calli and roots, all attaining similar strongly increased carotenoid levels compared to the wild type (Fig. 7, A and B). As expected, leaf carotenoids were not much different, and an about 2-fold increased phytoene formation could be shown in all lines in vitro by using radioactive tracer experiments (Fig. 7, C and D; Supplemental Fig. S10).

The mRNA levels of both \textit{AtPSY} ASVs were not much altered relative to the wild type in response to the increased pathway flux driven by \textit{ZmPSY1} and \textit{OsPSY1} expression (Fig. 7E). Unchanged endogenous \textit{AtPSY} expression cannot explain the fact that the internal \textit{AtPSY} protein was markedly reduced, reaching only 30% and 50% of wild-type levels in the \textit{ZmPSY1} and \textit{OsPSY1}-expressing lines, respectively (Fig. 7F). As expected, the \textit{AtPSY}-overexpressing line showed strongly increased \textit{AtPSY} protein levels with this antibody. It is therefore concluded that increased pathway activity yields a feedback signal capable of attenuating the translation of the internal \textit{AtPSY} mRNA. Since this contains a population of the long ASV1 variant, this signal might consist of a pathway-derived metabolite capable of inducing structural RNA switching, similar to a riboswitch.

**DISCUSSION**

**Compensation of the Single-Gene Situation by Alternative Transcription and Alternative Splicing**

The genomic organization of \textit{PSY} genes suggests that \textit{PSY} orthologs evolved by gene multiplication accompanied by their functional diversification (Li \textit{et al.}, 2008; Welsch \textit{et al.}, 2008; Arango \textit{et al.}, 2010; Ampomah-Dwamena \textit{et al.}, 2015). The multigene situation often coincides with the development of chromoplasts, implying that additional \textit{PSY} genes might be required for this purpose, as shown in tomato (\textit{Solanum lycopersicum}), melons (\textit{Cucumis melo}), yellow maize, and banana (\textit{Musa sp.}; Fraser \textit{et al.}, 1994; Gallagher \textit{et al.}, 2004; Qin \textit{et al.}, 2011; Mlalazi \textit{et al.}, 2012). Conversely, several \textit{PSY} paralogs are also present in taxa that do not develop chromoplasts, such as in rice and in white maize varieties. This suggests an advantage of maintaining multiple \textit{PSY} genes to cope with chloroplast carotenoid metabolism (Gallagher \textit{et al.}, 2004; Li \textit{et al.}, 2009). In fact, pathway flux control though \textit{PSY} is required, e.g. during abiotic stress for increased ABA formation (Li \textit{et al.}, 2008; Welsch \textit{et al.}, 2008; Arango \textit{et al.}, 2010), upon mycorrhization (Walter \textit{et al.}, 2015) and during deetiolation (von Lintig \textit{et al.}, 1997; Welsch \textit{et al.}, 2000; Rodriguez-Villalón \textit{et al.}, 2009).

The monogenic situation for \textit{PSY} present in Arabidopsis and other Brassicaceae might be interpreted as the result of the overall genome size reduction in these species (Hu \textit{et al.}, 2011). The resulting loss of transcriptional versatility may have increased the pressure toward developing novel or harnessing existing posttranscriptional mechanisms of regulation. In line with this notion, two alternative splice variants differing in their 5'UTR derive from the single \textit{PSY} gene in Arabidopsis and may
Carotenoid flux negatively regulates Arabidopsis PSY protein levels in leaves. PSY ORFs from maize (Zm), rice (Os), and Arabidopsis (At) were expressed in Arabidopsis. In seed-derived callus (A) and roots (B), this results in increased carotenoid levels compared to wild-type control (wt). Representative calli are shown above A. In contrast, in leaves, carotenoids were as in the wild type (C), although pathway flux was increased, as determined by in vitro PSY activity assays with isolated chloroplast membranes, incubated with DMAPP, [1-14C]IPP, and GGPP synthase (D). In leaves, this did not affect transcript levels of both AtPSY ASVs determined by qRT-PCR (E) but down-regulated protein levels relative to wild type is shown above. Truncations of the 5’UTR showed that a 330-nucleotide 5’UTR maintained the inhibitory function, while further truncation to 280 nucleotides led to high protein levels like in lines overexpressing the AtPSY ORF. Accordingly, structural changes occurring upon truncation to 280 nucleotides fosters translation. Moreover, our bioinformatic analyses suggest that the 330- to 280-nucleotide region is critical to maintain an RNA structure capable of switching between two defined conformations. This raises the need for a factor or factors capable of inducing the switching function, a hallmark of riboswitches, and suggests a switchable change between translation-inhibitory and translation-permissive states (Manzouraljad and Arnold, 2015).

This function is evident when looking at tissue-specific differences. In wild-type roots, AtPSY protein levels were close to detection limit, but we could easily detect AtPSY in wild-type leaves, suggesting that AtPSY protein levels are higher in leaves than in roots (compare Figs. 3B and 4B with Figs. 1E and 7F). Remarkably, these quantitative differences are reproduced in leaves and roots of lines expressing the inhibitory AtPSY 5’UTR versions, while the expression of the AtPSY ORF alone yields high levels in both tissues. This indicates that the AtPSY 5’UTR coordinates the translation efficiency of the AtPSY ORF according to tissue-specific carotenoid requirements, which are very different in leaves compared with roots.

The mechanisms by which translational control is exerted can only be a matter of speculation at this point. All 5’UTR/AtPSY ORF combinations were expressed under control of the 35Spro, and thus, 5’ capped transcripts will form. Accordingly, a comparable capacity to recruit ribosomal subunits to initiate ribosome scanning can be assumed. However, secondary structures within 5’UTRs are known to affect ribosome scanning and thus translation efficiency, suggesting that this might be impeded by the structures formed by the full-length AtPSY 5’UTR (Fraser, 2015).

Functional Diversification versus Translational Control

In contrast to the AtPSY 5’UTR of ASV1 the PSY1 5’UTR from rice (containing three paralogs) is not translation inhibitory. The absence of interconvertible structures in the OsPSY1 5’UTR also predicts the

during deetiolation; it might be specific for triggering carotenogenesis in developmental programs (Fig. 1).
absence of a regulatory switch function, which is in agreement with our data.

This indicates that equivalent translational mechanisms are either absent in rice or not functional in an Arabidopsis background. However, it is conceivable that the need for translational control is diminished when flux control can be realized by means of differential expression from multiple PSY loci. Interestingly, there are exceptions in the Brassicaceae, like cabbage (Brassica rapa) and cauliflower (Brassica oleracea), where three PSY paralogs prevail. They show functional redundancy with signs of subfunctionalization among green and nongreen tissues (Cárdenas et al., 2012; López-Emparán et al., 2014; Li et al., 2015). Although the 5’UTRs of these PSYs show sequence homology with the long translation-inhibitory AtPSY 5’UTR of ASV1, they are more distant (Fig. 2A). This may reflect a later reestablishment of multiple PSYs that occurred after primary reduction as suggested for these species (Cárdenas et al., 2012). They might be viewed as being “en route” toward the reestablishment of a functional differentiation through PSY gene multiplication.

Flux-Dependent Translational Control of PSY Mediated by the 5’UTR

Our data show that increased pathway activity, as achieved by the expression of Poaceae PSY1 genes, down-regulates the protein levels of the internal AtPSY without affecting its expression (Fig. 7). Since no interacting protein factors could be identified—in fact, 5’UTR-binding proteins controlling cytoplasmic translation are barely known from plants—the possibility of a low molecular mass compound capable of inducing the structural change of the 5’UTR needs to be considered.

In wild-type leaves, considerable amounts of carotenoids are constantly synthesized but also consumed by photo-oxidative processes, forming carotenoid cleavage products, some of which are volatile (Simkin et al., 2003; Ramel et al., 2013). Upon pathway flux increase, such as in lines with higher PSY protein levels, steady-state carotenoid levels are maintained. This is only partially explained by increased CCD4-mediated cleavage into apocarotenoids (Lätari et al., 2015). This indicates that there are additional mechanisms capable of compensating flux overflow; the feedback control of carotenoid synthesis would represent the most sustainable solution. In nongreen tissues, the relevance of feedback-controlled AtPSY translation may be in the prevention of carotenoid accumulation in the form of microcrystals, which are thought to be largely inacces-sible by CCDs and thus exempt from homeostatic control (Maass et al., 2009; Nogueira et al., 2013).

Because of enzymatic and nonenzymatic carotenoid turnover, flux-dependent regulation of AtPSY translation calls for the involvement of a signaling molecule originating directly or indirectly from carotenoids. Carotenoids per se are too lipophilic to be transported out of the plastid. However, short-chained apocarotenoids formed through carotenoid cleavage by nonenzymatic as well as enzymatic processes, and derivatives thereof are more water-soluble and have been shown to act as signaling metabolites, capable of relaying plastid-derived information into other compartments, to bind to receptors and induce downstream events (Tian, 2015; Hou et al., 2016). Prominent examples are ABA and strigolactones, involved in stress response and shoot-branching control, respectively, the signaling pathways of which are well understood. Since ABA levels were unchanged in response to PSY1 expression in leaves (Supplemental Fig. S11), its involvement in the post-transcriptional down-regulation of AtPSY appears unlikely. However, additional, hitherto unidentified carotenoid-derived metabolites with signaling function have been reported as being involved in the feedback regulation of carotenogenic gene expression (Diretto et al., 2006; Bai et al., 2009; Kachanovsky et al., 2012; Galpaz et al., 2013) and in the control of developmental processes (Avendaño-Vázquez et al., 2014; Van Norman et al., 2014; Tian, 2015). Furthermore, altered carotenoid patterns, achieved by overexpressing a downstream carotene hydroxylase, reduced PSY protein amounts in cultivated carrots by a posttranscriptional mechanism (Arango et al., 2014).

An apocarotenoid derivative acting as a signal for the plastid carotenoid state might bind to the 5’UTR to initiate the riboswitch-like mechanism discussed above. Although a cytokinin-responsive riboswitch was suggested recently (Grojean and Downes, 2010), the thiamine pyrophosphate (TPP) riboswitch is the only confirmed case in plants thus far (Sudarsan et al., 2003; Bocobza and Aharoni, 2014). However, the TPP riboswitch is clearly distinct from the mechanism controlling AtPSY translation—it involves alternative intron splicing upon TPP-induced 3’UTR structural changes.
which destabilizes mRNA resulting in reduced protein amounts (Thore et al., 2006; Wachtel et al., 2007). Thus, more work is needed to substantiate the involvement of metabolite-driven conformational changes in the AtPSY 5′UTR in the posttranscriptional regulation of the carotenoid pathway flux.

It appears unlikely that changes in mRNA stability, which can depend on sequence elements within 5′UTR, are involved (Hansen et al., 2001; Bhat et al., 2004). This is because the effects of the 5′UTR on AtPSY protein levels were clearly independent from transgene mRNA levels. Other potential 5′UTR-located translational control mechanisms include peptides translated from noncanonical start ATGs interacting with pathway intermediates (Roy and von Arnim, 2013) or interfering with the downstream translation of the canonical ORF (upstream ORF [uORF]). An uORF is, in fact, present at position 265 in the AtPSY 5′UTR. However, it is thus included in all truncations analyzed and can therefore not be relevant.

We have shown that the translation-based attenuation of carotenoid pathway flux is also apparent when the long 5′UTR is fused to GUS as an alternative ORF. However, the effect was less pronounced, as compared to the results obtained with the AtPSY ORF. In an interpretation, this is caused by reduced feedback control: While PSY expression will always promote pathway activity, this is not the case with GUS. Consequently, the presumed signaling metabolites will not be formed at elevated levels so that a feedback response reflecting the steady-state wild-type situation will only be observed.

In summary, the down-regulation of endogenous AtPSY in lines expressing Poacea PSY1 can be interpreted as an effort to restrict the perceived increase in pathway flux. This suggests a cross-talk between carotenoid levels and translation efficiency that employs the 5′UTR as a “sensor,” capable of undergoing a transition into a translation-permissive configuration. This interpretation of our data is summarized in a model shown in Figure 8.

MATERIALS AND METHODS

Generation of Transgenic Arabidopsis Lines and Growth Conditions

The vector backbone for all constructs including the AtPSY ORF was pCAMBIA1390-35Spro, which was cloned as described (Welsch et al., 2007). For pC1390-5′UTR/AtPSY, the AtPSY ORF (Maass et al., 2009) was fused with its full-length 5′UTR obtained from a genomic clone containing the PSY gene (Welsch et al., 2005) by overlap extension PCR and subcloned into pCAMBIA1390-35Spro. ORFs containing truncated 5′UTR versions were obtained by PCR amplification using mutagenized primers and subcloning. Similarly, the ORFs from ZmPSY1 (Paine et al., 2005) and OsPSY1 (Welsch et al., 2008) were amplified by PCR and subcloned into pCAMBIA-35Spro. The 5′UTR of OsPSY1 was amplified from reverse-transcribed leaf total RNA, fused with the AtPSY ORF by overlap extension PCR, and subcloned into pCAMBIA1390-35S. The vector pC1390-35S-GUSPlus was used to express AtPSY 5′UTR with GUS as reporter gene. The full-length AtPSY 5′UTR of ASV1 and truncations were amplified by PCR from the binary plasmids described above and subcloned between the 35Spro and the GUS gene. Arabidopsis (Arabidopsis thaliana; ecotype Wassilewskija) was transformed by vacuum infiltration (Bechtold and Pelletier, 1998). Homozygous T2 progenies were identified by the segregation pattern of the corresponding T3 progenies on Murashige and Skoog plates containing hygromycin (50 μg mL⁻¹). Arabidopsis lines overexpressing the AtPSY ORF were as in Maass et al. (2009).

Arabidopsis was grown with 100 μmol photons m⁻² s⁻¹ under long-day conditions. For illumination of dark-adapted leaves, leaves from 4-week-old plants were incubated in water for 2 h in the dark, followed by illumination with 200 μmol m⁻² s⁻¹ for 4 h. Norflurazon treatments and hydroponic cultures were performed as described (Latari et al., 2015). For salt-stress experiments, seedlings were transferred to medium containing 200 mM NaCl.

Carotenoid and ABA Analysis

Rosette leaves from 4-week-old plants grown on soil were used. Roots were retrieved from plants grown hydroponorically (Héu et al., 2005). Seed-derived callus were generated according to Maass et al. (2009). Carotenoids were extracted from lyophilized tissues (leaves: 5 mg; roots: 100 mg; callus: 30 mg) with acetone and quantified by HPLC as described (Welsch et al., 2008). ABA extraction was performed as described (Welsch et al., 2008).

In Vitro Phytoene Synthase Activity

Plastid isolation from leaves of 4-week-old Arabidopsis plants was performed according to Welsch et al. (2000). Pellets were resuspended in 2 mL of lysis buffer (20 mM Tris, pH 8.0, and 4 mM MgCl₂ and incubated on ice for 10 min; membranes were pelleted by centrifugation at 21,000g for 10 min, resuspended in 300 mL of assay buffer (100 mM Tris/HCl, pH 7.6, 20% [v/v] glycerol), 2 mM MnCl₂, 10 mM MgCl₂, and 1 mM 3,3′,5′-triphosphoanhydride); and protein concentration was determined using the Bio-Rad Protein Assay. For in vitro phytoene synthesis, 200 μg protein was incubated with 10 μM [1-14C]-isopentenyl diphosphate (IPP; 50 mCi mmol⁻¹; American Radiolabeled Chemicals), 20 μM IPP (isopenoids.com), 40 μM dimethylallyl diphosphate, 4 mM ATP, and 10 μg of recombinant, purified geranylgeranyl diphosphate synthase (Köer et al., 2006) for 15 min. Product analysis and quantification was performed by scintillation counting and thin-layer chromatography scanning as described (Welsch et al., 2000). Data represent the mean ± SD of two sampling times each from two biological replicates.

Quantitative GUS assay

GUS activity was determined as described by Jefferson et al. (1987). For GUS protein extraction, 100 mg of tissue were ground with 1 mL of extraction buffer (50 mM NaHPO₄/Na₂HPO₄, pH 7.0, 1 mM EDTA, 0.1% [w/v] N-laurylsarcosine, 0.1% [v/v] Triton X-100, and 10 mM β-mercaptoethanol). After centrifugation (3,000 g, 15 min, 4°C), 5 μL supernatant was mixed with 395 μL 1× GUS reaction buffer (0.1 M Mops, pH 7.5, 1 mM KCl, 0.1 mg mL⁻¹ BSA, 0.5 μg mL⁻¹ 5-bromo-4-chloro-3-indolyl β-D-galactoside, 4 mM ATP, and 10 μg of recombinant, purified geranylgeranyl diphosphate synthase (Köer et al., 2006)) for 15 min. Product analysis was performed by scintillation counting and thin-layer chromatography scanning as described (Welsch et al., 2000). Data represent the mean ± SD of two sampling times each from two biological replicates.

Bioinformatic Analysis

Conformational switch predictions were performed with paRNAss (Voss et al., 2004) on local optimal structures within 3 kcal mol⁻¹ above the minimum free energy structure. Local optimality of an individual structure was checked by reapplying the folding algorithm using this structure as a folding constraint and comparing the resulting structure with the initial one. If differences occurred, a neighboring structure (one base pair more or less) achieves a better
In Vitro Transcription/Translation Assays

The AtPSY ORF was subcloned into the vector pGEM4, downstream of the SP6 promoter. Versions carrying 5‘UTR truncations were subcloned from the corresponding binary constructs. In vitro transcription was performed with 20 μg Sall-linearized plasmids using the SP6-RiboMAX kit (Promega) in a reaction volume of 50 μL at 37°C for 4 h in the presence of 0.25 μCi [8-14C]ATP (57.9 μCi mmol⁻¹, 0.05 μCi mL⁻¹; GE Healthcare). DNAasel digestion and RNA cleanup was performed using Qiagen RNeasy columns. RNA integrity was checked on formaldehyde gels.

In vitro translation was performed in wheat germ lysate (Promega), supplemented with 2.5 μL 1× potassium acetate and 1 μg mRNA at 25°C. Equimolar amounts of mRNA species were calculated after the determination of [8-14C]ATP incorporation by scintillation counting (Tri-Carb2900TR; Perkin-Elmer).

Translation assays were performed in 50 μL in the presence of 25 μCi of [35S]methionine (Redivue ProMix, 1.2 Ci mmol⁻¹, 10 μCi mL⁻¹; GE Healthcare). For comparison, translation efficiency measurements. 5 μL aliquots were added with 0.05 μCi [35S]methionine 0.05 mL. After deactivation of bound peroxidase (Sennepin et al., 2009), imunoblot analyses were performed with antiactin antibodies (Sigma-Aldrich). Signal quantification was done using ImageJ (Abramoff et al., 2004).

Accession Numbers

Sequence data from this article can be found in the EMBL/GenBank data libraries under accession numbers NM_001161243 (AtPSY premRNA variant 1, At5g17230.1), DR366718.1 (AtPSY premRNA variant 2, At5g17230.2), U32636 (ZmPSY), and NM_001065182 (OsPSY). Accession numbers for annotations in the phylogenetic tree in Figure 2A are given in Supplemental Table S2.

Supplemental Data

The following supplemental materials are available.

Supplemental Figure S1. Comparison of AtPSY 5’UTRs from two alternative splice variants.

Supplemental Figure S2. Consensus structure of full-length PSY 5’UTR.

Supplemental Figure S3. Structure-annotated alignment of PSY 5’UTR sequences.

Supplemental Figure S4. Carotenoid levels in AtPSY-overexpressing lines.

Supplemental Figure S5. 5’UTR regulated PSY translation efficiency determines carotenoid levels in callus.

Supplemental Figure S6. AtPSY in Arabidopsis lines with altered APUM5 levels.

Supplemental Figure S7. Conformational switch analyses of AtPSY and OsPSY 5’UTRs.

Supplemental Figure S8. Conformational switch analysis of AtPSY 5’UTR splice variant 2.

Supplemental Figure S9. Transgene and AtPSY ORF expression in PSY-overexpressing Arabidopsis lines.

Supplemental Figure S10. 14C-labeled compounds formed in an in vitro PSY activity assay.

Supplemental Figure S11. Relative ABA content of PSY-overexpressing Arabidopsis lines.

Supplemental Table S1. cis-acting elements in AtPSY 5’UTR predicted by UTRScan.

Supplemental Table S2. Accession numbers of PSY 5’UTR sequences used.

Supplemental Table S3. Primers and probes used for qRT-PCR.

Supplemental Data Set S1. Proteins identified from 5’UTR affinity-purified cytoplasmic extracts.

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LITERATURE CITED


