Running Title: Plant cuticle structures and functions
The Formation and Function of Plant Cuticles

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Abstract

The plant cuticle is an extracellular hydrophobic layer that covers the aerial epidermis of all land plants, providing protection against desiccation and external environmental stresses. The past decade has seen considerable progress in assembling models for the biosynthesis of its two major components, the polymer cutin and cuticular waxes. Most recently, two breakthroughs in the long-sought molecular bases of alkane formation and polyester synthesis have allowed construction of nearly complete biosynthetic pathways for both waxes and cutin. Concurrently, a complex regulatory network controlling the synthesis of the cuticle is also beginning to emerge. It has also become clear that the physiological role of the cuticle extends well beyond its primary function as a transpiration barrier, playing important roles in processes ranging from development to interactions with microbes. Here, we review recent progress in the biochemistry and molecular biology of cuticle synthesis and function and highlight some of the major questions that will drive future research in this field.
Introduction

The first plant colonizers of land, approximately 450 million years ago in the mid-Paleozoic era, faced a daunting set of challenges associated with their new terrestrial environment, including desiccation, temperature extremes, gravity and increased exposure to UV radiation (Waters, 2003; Leliaert et al., 2011). The transition from an exclusively aquatic to a terrestrial life style would therefore have necessitated the evolution of a toolbox of morphological and physiological features, some of which are apparent through studies of the fossil record, or by examining extant plant lineages. For example, the development of architecturally complex cell walls for biomechanical support and structural protection, which typify modern land plants, can be traced back to divergence and radiation within the Charophycean green algae, their immediate ancestors (Sørensen et al., 2011). However, the most critical adaptive trait for survival during terrestrialization would have been the ability to retain water in increasingly dehydrating habitats. Consequently, the capacity to synthesize, deposit and maintain a hydrophobic surface layer, or cuticle, over the surfaces of aerial organs was arguably one of the most important innovations in the history of plant evolution. This idea is borne out by both fossil evidence (Edwards, 1993) and the ubiquity of cuticles among all extant embryophytes, from bryophytes (Budke et al., 2012) to angiosperms.

Armed with a protective skin, together with a range of adaptive strategies for acquiring and conserving water, as well as for avoiding or tolerating water stress, embryophytes now thrive in a wide range of desiccating environments (Ogburn and Edwards, 2010; Aroca et al., 2012; Delaux et al., 212; Jones and Dolan, 2012; Obata and Fernie, 2012; Gaff and Oliver, 2013). Accordingly, cuticles from a broad range of species, and in various ecological and agricultural contexts have been studied from the perspective of their role as the primary barrier to transpirational water loss. However, it is now clear that cuticles play numerous other roles in plant development, physiology and interactions with the abiotic environment and other organisms. Indeed, in recent years there have been many instances of unexpected associations between the cuticle and diverse aspects of plant biology. In parallel, the past decade has seen considerable progress in understanding the biosynthesis of the major cuticle components and the complex regulatory networks that control cuticle synthesis and assembly.
This review summarizes recent progress in elucidating the biochemistry and molecular biology of cuticle synthesis and function and highlights some of the connections to other aspects of plant biology, including signaling, pathogen defense and development. Given the broad scope and space limitation, not every aspect of cuticle biosynthesis is covered in depth, and recent specialized reviews focusing on cuticle biomechanical properties (Dominguez et al., 2011), defensive functions (Reina-Pinto and Yephremov, 2009) and transport barrier properties (Burghardt and Riederer, 2006) may be of further interest. In addition, key ongoing questions in the field are discussed and potential future approaches to resolving those questions are suggested.

**Cuticle Structure, Biosynthesis and Assembly**

Plant cuticles are composite structures, composed of a covalently-linked macromolecular scaffold of cutin and a variety of organic solvent soluble lipids that are collectively termed waxes. Although the cuticle is usually considered independently from the underlying polysaccharide cell wall of the epidermis, the two structures are physically associated and have some overlapping functions. Indeed the cuticle can be considered a specialized lipidic modification of the cell wall, just as lignification is a common modification of plant secondary cell walls. The microscopic structure of the cuticle is often divided into two domains based on histochemical staining and their presumed chemical composition: a cutin rich domain with embedded polysaccharides, which is referred to as the ‘cuticular layer’, while an overlying layer that is less abundant in polysaccharides, but enriched in waxes, is referred to as the ‘cuticle proper’ (Figure 1A). The waxes are either deposited within the cutin matrix (intracuticular wax) or accumulate on its surface as epicuticular wax crystals, or films. These epicuticular waxes can confer distinct macroscopic surface properties: epicuticular films are responsible for the glossy appearance common to many leaves and fruits, while epicuticular wax crystals account for the dull, glaucous appearance of broccoli (*Brassica oleracea*) leaves and Arabidopsis (*Arabidopsis thaliana*) stems. Cuticle architectural organization can be discerned using a number of microscopic techniques. Scanning electron microscopy (SEM) can reveal the elaborate and diverse morphologies of epicuticular wax crystals (Figure 1B; Jeffree, 2006), while transmission electron microscopy (TEM) shows the distinct patterning of
interior layers of the cuticle, although this approach does not allow the visualization of wax structures (Figure 1C). Cuticles vary considerably in their architecture and, depending on species and ontogeny, differ dramatically in thickness, from the nanometer to micrometer scale (Jeffree, 2006). In the latter case, light microscopy and can be used to elucidate the fine structures of the cuticle and epidermal cell wall (Figure 1D), while histochemical staining coupled with confocal microscopy can further resolve three-dimensional cuticle architecture (Buda et al., 2009).

**Wax Biosynthesis**

Wax composition can vary substantially with species, ontogeny and environmental growth conditions (Jenks and Ashworth, 1999). In most cases, the majority of compounds comprising the cuticular wax are derived from very long chain fatty acids (VLCFA, C20-C34), including alkanes, aldehydes, primary and secondary alcohols, ketones and esters (Table 1). In some species, various lipophilic secondary metabolites such as pentacyclic triterpenoids, flavonoids and tocopherols can also be substantial components (Jetter et al., 2006). There has been impressive progress in revealing the molecular biology underlying VLCFA-derived wax biosynthesis and to this end Arabidopsis has provided an excellent experimental model (Bernard and Joubes, 2013). In addition to its well-known advantages as a genetic system, the presence of stem epicuticular wax crystals, which impart a glaucous appearance in the wild type, has enabled an easy screen for wax deficient mutants. Such mutants, termed *eceriferum* (*cer*; Koornneef et al., 1989), typically exhibit a glossy stem phenotype and it has primarily been through molecular analyses of these and other wax mutants that an increasingly complete pathway for acyl wax biosynthesis has been established.

Wax biosynthesis begins with *de novo* C16 or C18 fatty acid biosynthesis in the plastid of epidermal cells (Figure 2). These long chain fatty acid compounds are converted to CoA thioesters by a long chain acyl-CoA synthase (LACS) isozyme and are ultimately transferred to the endoplasmic reticulum (ER). The mechanism of intracellular trafficking of fatty acid from the chloroplast to the ER remains unknown, although heterologous expression of Arabidopsis LACS1, LACS2 and LACS3 facilitates fatty acid uptake in yeast, suggesting that this class of enzymes may play dual roles in fatty acid
trafficking and activation (Pulsifer et al., 2012). For reference, Table 2 provides a list of the corresponding genes, as well as others discussed in this review. The C16 acyl-CoA is then a substrate for the fatty acid elongase (FAE) complex. Through successive addition of two carbons per cycle derived from malonyl-CoA, the ultimate products of this complex are VLCFAs. The complex consists of four core subunits: \(\beta\)-ketoacyl-CoA synthase, \(\beta\)-ketoacyl-CoA reductase, \(\beta\)-hydroxyacyl-CoA dehydratase and enoyl-CoA reductase. In Arabidopsis, 21 genes are predicted to encode \(\beta\)-ketoacyl-CoA synthase, and for wax biosynthesis the most important gene, based on the mutant phenotype, is \textit{CER6} (Fiebig et al., 2000). Genes encoding the remaining subunits of the FAE complex, represented by \textit{KCR1}, \textit{PAS2} and \textit{CER10}, respectively, are less redundant and their pleiotropic mutant phenotypes underscore the shared importance of the FAE in generating VLCFA precursors for sphingolipid biosynthesis (Zheng et al., 2005; Bach et al., 2008; Beaudoin et al., 2009). An additional family of proteins, comprised of CER2, CER26 and CER26-like, appears to be required for elongation of fatty acids to lengths greater than 28C (Haslam et al., 2012; Pascal et al., 2013). Curiously, these enzymes have sequence homology to BAHD acyltransferases, but conserved catalytic amino acid residues of this family of enzymes are dispensable for the elongation-promoting activity of CER2 (Haslam et al., 2012). The elongation cycles can be terminated by a thioesterase (THS) to form free VLCFAs, or the VLCFA-CoA esters can undergo further modifications.

Primary alcohols can be produced from VLCFA-CoA by fatty acyl-coenzyme A reductase, an enzyme encoded by \textit{CER4} in Arabidopsis (Rowland et al., 2006). Free primary alcohols can occur in the wax mixture or they can be esterified to a fatty acid in order to form wax esters. In this case, the alcohol is coupled to an acyl group derived from fatty acyl-CoA. The Arabidopsis enzyme responsible for this is WSD1, an enzyme of the wax synthase/diacyl glycerol acyltransferase family (Li et al., 2008).

A second branch of acyl wax biosynthesis leads to formation of aldehydes and ultimately alkanes. Interestingly, in Arabidopsis, LACS1, which is also required for C16 cutin monomer biosynthesis, appears to have an additional specificity for C30 VLCFA and is required for normal accumulation of downstream wax compounds (Lu et al., 2009). This suggests that conversion of an intracellular pool of free VLCFA back to
VLCFA-CoA is an important route to aldehyde and alkane biosynthesis, rather than VLCFA-CoA directly derived from FAE. A long unresolved question in wax biosynthesis is the enzymatic basis of alkane synthesis. Classical biochemistry, using crude extracts from pea (Pisum sativum) indicated that the reaction likely occurs via reduction of VLCFA-CoA to an aldehyde intermediate followed by decarbonylation, yielding an alkane that is 1C shorter (Cheesbrough and Kolattukudy, 1984; Schneider-Belhaddad and Kolattukudy, 2000). Although this enzyme was not purified and identified, compelling evidence was recently obtained, through studies of Arabidopsis, that a complex of CER1 and CER3 act together to catalyze the formation of alkanes from VLCFA-CoA. It was shown by a split ubiquitin yeast two-hybrid assay and an Arabidopsis split luciferase assay that CER1 interacts with CER3 as well as several isoforms of cytochrome b5. Furthermore, heterologous expression of the combination of CER1, CER3, a cytochrome b5 and LACS1 in yeast resulted in the formation of very long chain alkanes (Bernard et al., 2012). This strongly suggests that a complex including CER1 and CER3 with cytochrome b5 as an electron donor catalyzes the reduction and decarbonylation of VLCFA-CoA in order to form cuticular alkanes. Aside from being a major component of the wax mixture, alkanes can undergo further modification to form secondary alcohols and ketones. In Arabidopsis, both of these oxidations are performed by the cytochrome P450 enzyme mid-chain alkane hydroxylase (MAH1; Greer et al., 2007).

**Synthesis of Cutin Precursors**

Cutin is typically composed of inter-esterified hydroxy fatty acids, with lesser amounts of glycerol, phenylpropanoids and dicarboxylic acids (Kolattukudy, 2001). Chemical processes that cleave ester bonds, such as saponification, readily release these monomeric constituents, although in some species an additional lipidic polymer, referred to as cutan, remains recalcitrant to such treatments. Cutan is rich in ether and C-C bonds, but its structure is otherwise unknown and it appears to be restricted to relatively few extant species (Gupta et al., 2006). The hydroxy fatty acids of cutin are typically ω-hydroxy fatty acids, usually with one or two additional midchain hydroxyl groups or an epoxy group (Figure 3A). Despite extensive surveys of the chemical composition of plant
cutins in the 1970s and 1980s (Kolattukudy, 2001), the composition of Arabidopsis cutin was not determined until relatively recently (Bonaventure et al., 2004; Franke et al., 2005). It is important to note that in this important model species, the cutin of stems and leaves is atypical in that its major component is a dicarboxylic acid (Figure 3A), implying that the predominant structural motif must be a copolymer with an unknown polyhydroxy compound; presumably glycerol (Pollard et al., 2008). However, despite the atypical composition of its cutin, Arabidopsis has proven to be an important model for deciphering the pathway of cutin biosynthesis, and more recently it was discovered that the cutin of its floral organs is more typical, in that it is composed primarily of 10,16-dihydroxyhexadecanoic acid (Li-Beisson et al., 2009).

While there is considerable diversity in the structure of cutin monomers, the pathway for the biosynthesis of 10,16-dihydroxyhexadecanoic acid-based cutin is the most complete, and the major themes of cutin biosynthesis are likely shared for other cutin monomers. Here, we summarize this pathway based on recent molecular genetic and biochemical studies using Arabidopsis and tomato (*Solanum lycopersicum*).

**Intracellular acyltransferases and hydroxylases**

Biosynthesis of cutin begins with *de novo* fatty acid synthesis in the plastid of epidermal cells (Figure 2). The next three steps occur in the ER and consist of ω- and midchain hydroxylation and synthesis of an acyl-CoA intermediate. The relative order of these steps is not known, although it has been shown that the ω-hydroxylation precedes the midchain hydroxylation and that the final product of these steps is most likely a dihydroxyhexadecanoic acid-CoA ester (Li-Beisson et al., 2009). The ω-hydroxylase is encoded by members of the CYP86 subfamily of cytochrome P450s (*CYP86A4* in Arabidopsis flowers; Li-Beisson et al., 2009), while the midchain hydroxylase is encoded by the CYP77 subfamily (*CYP77A6* in Arabidopsis flowers; Li-Beisson et al., 2009). The acyltransferases that synthesize acyl-CoA are encoded by the long chain acyl-CoA synthase (LACS) family, which consists of nine members in Arabidopsis, and both *LACS1* and *LACS2* appear to be responsible for C16 cutin monomer biosynthesis (Lu et al., 2009).
An additional intracellular acyltransferase required for synthesis of cutin polyester is a glycerol 3-phosphate acyltransferase (GPAT). Recently it was shown that plants possess a unique subfamily of bifunctional GPATs encoding enzymes with both \( sn-2 \) specific glycerol-3-phosphate:acyl-CoA acyltransferase activity as well as phosphatase activity, yielding a 2-monoacylglycerol ester (Yang et al., 2010). In the case of Arabidopsis floral cutin, this activity is encoded by \( GPAT6 \) (Li-Beisson et al., 2009). Although the specific sequence of all intracellular biosynthetic steps will require additional characterization of substrate specificity of each enzyme, biochemical characterization of Arabidopsis bifunctional GPATs indicates that they have a strong preference for \( \omega \)-hydroxylated acyl-CoA, suggesting that hydroxylation precedes transfer to glycerol (Yang et al., 2012). In any case, the ultimate product of the intracellular steps of cutin biosynthesis is likely to be 2-monoacylglycerol esters of cutin monomers. In the case of 10,16-dihydroxyhexadecanoic acid-based cutin, this is 2-mono-(10,16)-dihydroxyhexadecanoyl glycerol (2-MHG).

**Transport of Cuticle Precursors**

After synthesis of wax and cutin precursors, they are exported from the ER, across the plasma membrane, through the polysaccharide cell wall and to the nascent cuticular membrane. Most of these transport processes are poorly understood, although trafficking of both wax and cutin-precursors across the plasma membrane has been shown to depend on ATP-binding cassette (ABC) transporters. In Arabidopsis, \( CER5/ABCG12 \) (Pighin et al., 2004) and \( ABCG11 \) (Bird et al., 2007) are required for wax export. Both of these encode half transporters and, based on double mutant analysis and bimolecular fluorescent complementation (BiFC) analyses, it has been suggested that an \( ABCG11/ABCG12 \) heterodimer is required for wax secretion (McFarlane et al., 2010). \( ABCG11 \) is also required for cutin accumulation and since it is also able to dimerize with itself, it has been proposed that this homodimer is the functional complex responsible for cutin export (McFarlane et al., 2010). Additionally, a third Arabidopsis half transporter, \( ABCG13 \), was shown to be required for cutin deposition in flowers (Panikashvili et al., 2011).
More recently, full transporters required for cutin deposition were identified in Arabidopsis (ABCG32; Bessire et al., 2011), as well as wild barley (Hordeum spontaneum) and rice (Oryza sativa; Chen et al., 2011). Despite the clear genetic evidence supporting a role for ABC transporters in cuticular lipid export, the substrate specificity of these transporters has not yet been demonstrated in vitro. However, all the ABC transporters that have been implicated in cuticle biosynthesis to date are members of the ABCG subfamily, which has been associated with the transport of lipids and hydrophobic compounds in other systems (Moitra et al., 2011). Moreover, in several cases intracellular lipidic inclusions were observed in ABC transporter mutants, further supporting their direct involvement in cuticular lipid export (Pighin et al., 2004; Bird et al., 2007; Bessire et al., 2011).

Export of some wax compounds also appears to be facilitated by glycosylphosphatidylinositol-anchored lipid-transfer proteins (LTPs), LTPG1 and LTPG2, which are bound to the extracellular side of the plasma membrane (Debono et al., 2009; Lee et al., 2009; Kim et al., 2012). These proteins represent a unique class of LTPs, a family of small and typically soluble proteins that bind a variety of lipid substrates in vitro (Yeats and Rose, 2008). A major remaining question is how hydrophobic cuticle precursors are transported across the hydrophilic environment of the polysaccharide cell wall to the cuticle. Apoplastic LTPs have been proposed to play a role, although genetic or biochemical evidence for their involvement in transport is generally lacking (Yeats and Rose, 2008). In the case of the dihydroxyacyl cutin precursor 2-MHG, the glycerol moiety imparts sufficient polarity to allow aqueous solubility at low millimolar concentrations (Yeats et al., 2012b). This suggests that lipid-binding proteins or other factors are not necessary in order to facilitate the transport of this major precursor of cutin biosynthesis. However, the solubility of glyceryl esters of less polar cutin monomers has not been investigated, and they, along with waxes, may require additional factors to increase their solubility in the apoplast.

**Cutin Polymerization**

The final step of cutin synthesis is incorporation of the hydroxyacyl monomer into the polymer, but the molecular mechanism of cutin polymerization has been a
longstanding enigma. Recent progress in this area was achieved by studying the tomato mutant *cutin deficient 1 (cd1)* and transgenic tomato plants in which *CD1* expression was suppressed using an RNAi strategy (Girard et al., 2012; Yeats et al., 2012b). The *cd1* mutant exhibits a severe reduction in the amount of polymerized cutin in the fruit cuticle (Isaacson et al., 2009), although chemical analysis indicated that, unlike wild type fruit, those of the mutant accumulate non-polymerized 2-MHG (Yeats et al., 2012b). Cloning of the mutated gene revealed that it encodes a protein of the GDSL-motif lipase/hydrolase (GDSL) family, which localizes to the developing cuticle (Girard et al., 2012; Yeats et al., 2012b). Despite its similarity to lipolytic enzymes, the recombinant protein acts as an acyltransferase in vitro, forming polyester oligomers from 2-MHG (Yeats et al., 2012b).

The identification of CD1 as the first known cutin synthase raises several questions about the specificity and generality of the reaction that it catalyzes. Phylogenetic analysis of *CD1* and homologous genes indicates that despite belonging to a very large gene family, the subfamily of GDSLs represented by *CD1* is relatively small and well conserved, with sequences represented across diverse taxa of land plants (Volokita et al., 2011). In Arabidopsis, its putative orthologs form a 5 member gene family and silencing of the expression of two of these (*LTL1* and *At5g33370*) resulted in plants exhibiting floral organ fusions and lacking nanoridges on the petal surface; phenotypes that are consistent with a cutin deficiency (Shi et al., 2011). An additional putative ortholog of CD1 from *Agave americana* exhibited similar localization and expression, further supporting a conserved mechanism of CD1-like enzymes acting as cutin synthases (Reina et al., 2007). Despite the presence of a null allele, the *cd1* mutant is not completely deficient in cutin and so the identity of additional cutin synthases, or perhaps non-enzymatic mechanisms of cutin synthesis, represents an intriguing line of future research.

The polymeric structure of cutin is not well understood. Monomeric composition can provide a ‘parts list’, but the relative abundance of possible linkages in the polymer is difficult to determine, largely due to the difficulty of solubilizing intact cutin (Serra et al., 2012). Nevertheless, the multiple functionalities present in many cutin monomers suggests that native cutin polymers can range from linear to branched or cross-linked.
structures (Pollard et al., 2008). For example, in an idealized cutin polymer composed exclusively of 10,16-dihydroxyhexadecanoic acid, the monomers can be joined by esterification of either the terminal or midchain hydroxyl groups. Esterification of a single hydroxyl would result in a linear polymer, while esterification of both hydroxyl groups would generate branched structures (Figure 3B). The identification of the hydroxyl groups that are esterified by CD1 and other cutin synthases should indicate whether the regiospecificity of cutin polymerization is enzymatically controlled, and whether specific cutin synthases catalyze the formation of linear or branched domains of the cutin polymer. Moreover, it is not known how branching or crosslinking of cutin affects cuticle function and the identification of additional cutin synthases will allow this to be investigated using genetic approaches.

**Regulation of Cuticle Biosynthesis**

The regulation of cuticle biosynthesis is complex, and involves interacting signaling networks associated with environmental stress responses, pathogen responses and feedback regulation based on the structure and integrity of the cuticle itself. Furthermore, as the cuticle is exclusively synthesized by epidermal cells, regulation of epidermis identity during development can also be considered to play a regulatory role in cuticle development. This is covered in more depth in an excellent review by Javelle et al. (2011) and we focus here only on direct regulators of cutin and wax biosynthesis (Figure 4). Even within this restricted context, analysis of regulatory mutants is complicated by compensatory mechanisms between cutin and wax biosynthesis and other pleiotropic phenotypes. Nevertheless, a complex regulatory network that responds to developmental and environmental cues, mediated by hormones, transcription factors and post-transcriptional regulation is beginning to emerge.

**Environment and Hormones**

A systematic analysis of both cuticle composition and gene expression in Arabidopsis indicates that wax synthesis is induced by water deficit, sodium chloride and abscisic acid (ABA) treatments (Kosma et al., 2009). In contrast, cutin biosynthesis was reported only to be induced by water deficit and not ABA or sodium chloride, suggesting
that, at least in Arabidopsis, detection of various osmotic stresses is complex and only partially dependent on ABA (Kosma et al., 2009). However, given that ABA is already well established as a regulator of plant responses to water deficit through regulation of stomatal aperture (Lee and Luan, 2012), ABA regulation of cuticle biosynthesis is an intriguing area for further research aimed at understanding and engineering drought tolerance in crops.

In addition, dark and cold treatments have been shown to reduce expression of several components of the FAE complex (Hooker et al., 2002; Joubes et al., 2008). Several wax biosynthetic genes have been shown to be induced by bacterial pathogens (Raffaele et al., 2008) and during infestation of wheat by the Hessian fly (\textit{Mayetiola destructor}; Kosma et al., 2010), but in general, the relevance of the induction of cuticle synthesis to pest or pathogen resistance is poorly understood.

\textit{Transcription Factors and Cuticle Biosynthesis}

The first transcription factor gene identified as having a role in regulating cuticle biosynthesis was the AP2-domain containing \textit{Wax Inducer 1/Shine 1} (\textit{WIN1/SHN1}) (Aharoni et al., 2004; Broun et al., 2004). Overexpression of this gene lead to glossy leaves with a greater wax load than the wild-type and lower transpiration, although this was likely due to a reduced density of stomata rather than the wax phenotype (Aharoni et al., 2004). Later studies indicated that cutin levels are also increased in \textit{WIN1/SHN1} overexpressing plants, and that the upregulation of genes encoding cutin biosynthetic enzymes precedes the induction of wax biosynthetic genes (Kannangara et al., 2007). \textit{WIN1/SHN1} is part of a three member gene family in Arabidopsis and silencing of all three genes lead to a reduction in the amount of cutin, but not waxes (Shi et al., 2011). These authors also demonstrated that these transcription factors directly activate promoters of several cutin biosynthetic genes, further supporting a primary role in cutin regulation with a downstream effect on wax biosynthesis (Shi et al., 2011). In addition to regulating cutin biosynthesis, the SHN transcription factors also induced expression of several pectin modifying enzymes, suggesting a coordination of the synthesis of the cuticle with the polysaccharide cell wall (Shi et al., 2011). This second function of SHN transcription factors in regulating the polysaccharide cell wall is further suggested by
experiments in which overexpression of Arabidopsis SHN2 in rice resulted in a significant increase in the amount of cellulose and a concomitant decrease in lignin (Ambavaram et al., 2011). On the other hand, a general role of WIN1/SHN1-related transcription factors in the regulation of cutin synthesis is indicated by studies of orthologous genes in barley (Taketa et al., 2008) and tomato (Shi et al., 2013). The balance of evidence thus suggests that SHN transcription factors coordinate not just the synthesis of cutin but also the polysaccharide cell wall of the epidermis. This ultimately highlights the fact that the cuticle is a specialized modification of the cell wall, and like other modifications, such as lignification or suberization, it should be considered within the context of polysaccharide cell wall components. Aside from the SHN family, other AP2-domain transcription factors from different clades may also play a role in cuticle regulation. For example, overexpression of WXP1 from *Medicago truncatula* in *M. sativa* induced wax production (Zhang et al., 2005).

Recently, two transcription factors, MYB106 and MYB16, were identified as regulators of cuticle biosynthesis that function in a similar manner to WIN1/SHN1 (Oshima, et al., 2013). They both appear to act upstream of, and directly activate *WIN1/SHN1*, but also some cuticle biosynthetic genes (Oshima, et al., 2013). Several other transcription factors of the MYB family have also been implicated in the regulation of wax and cutin biosynthesis in response to environmental stresses. MYB30 is induced during infection by bacterial pathogens, leading to upregulation of several genes of the FAE complex, and ectopic overexpression of MYB30 leads to an increased wax load (Raffaele et al., 2008). MYB96 was identified as an ABA-inducible transcription factor that mediates drought tolerance (Seo et al., 2009), in part due to an induction of wax biosynthesis resulting from MYB96 directly activating the promoters of several wax synthesis genes (Seo et al., 2011). While MYB96 positively regulate wax production in response to stress, MYB41 mediates the negative regulation of cutin biosynthesis in response to similar stresses. MYB41 is induced by ABA, drought and osmotic stress, leading to down regulation of cutin biosynthesis genes and disruption of cuticle structure (Cominelli et al., 2008).

Another regulatory factor was identified through characterization of the rice *Curly flag leaf1 (CFL1)* gene, which encodes a WW-domain containing protein that negatively
regulates cuticle biosynthesis. Studies of the orthologous CFL1 gene in Arabidopsis indicated that it downregulates cutin biosynthesis by suppressing the function of HDG1, a homeodomain-leucine zipper IV transcription factor (HD-ZIP IV), which has been shown to induce the expression of several cutin biosynthesis genes (Wu et al., 2011). A more general role of HD-ZIP IV proteins in regulating cutin synthesis is further suggested by the homologous tomato gene Cutin Deficient 2 (CD2), which is required for the biosynthesis of cutin in the fruit and other organs (Isaacson et al., 2009; Nadakuduti et al., 2012). In maize (Zea mays), the HD-ZIP IV gene Outer Cell Layer 1 (OCL1) was shown to be an epidermal-specific positive regulator of wax biosynthesis, although cutin was not quantified in plants overexpressing this gene (Javelle et al., 2010). Interestingly, HD-ZIP IV proteins have also been implicated in regulating other epidermal-specific processes, such as trichome differentiation and formation of root hairs and stomatal guard cells (Masucci et al., 1996; Nakamura et al., 2006; Takada et al., 2013). Given their additional association with cuticle biosynthesis, it therefore appears that a common feature of members of this protein family is playing key roles in the biology of the plant epidermis and the determination of epidermal cell fate.

**Beyond Transcription Factors**

In addition to the network of transcription factors that regulate cuticle biosynthesis, regulatory mechanisms that do not involve direct transcriptional activation or repression by promoter binding have recently been discovered. A recent example resulted from studies of the Arabidopsis cer9 mutant, which exhibits alterations in the amount and composition of leaf and stem waxes. Cloning of the CER9 gene revealed it to encode a protein with sequence similarity to yeast Doa10, an E3 ubiquitin ligase involved in ER-associated degradation (ERAD) of misfolded proteins (Lu et al., 2012). Given the ER localization of wax and cutin biosynthetic processes, the authors proposed a role for CER9 in the homeostasis of key cuticle biosynthetic enzyme levels. Experiments further addressing this hypothesis will be particularly interesting given the surprising finding that the cer9 mutant actually exhibits enhanced drought tolerance and water use efficiency (Lu et al., 2012).
One of the most intriguing mechanisms of cuticle regulation resulted from characterization of the \textit{cer7} mutant. \textit{CER7} encodes an exosomal exoribonuclease, and the \textit{cer7} mutant exhibits reductions in stem wax and transcription of \textit{CER3}, a major wax biosynthetic enzyme (Hooker et al., 2007). Recently, two suppressors of \textit{cer7} that restore the \textit{CER3} transcript and stem wax levels were identified, and cloning of the respective genes identified \textit{RDR1} and \textit{SGS3}, two conserved components of the RNA-mediated gene silencing pathway (Lam et al., 2012). A model was proposed wherein CER7 is involved in degradation of a small-RNA species that negatively regulates the \textit{CER3} transcript. Future work involving the identification of such a small RNA species and other components of this pathway will be especially intriguing since no known plant small RNA species mapped to the CER7-dependent region of the \textit{CER3} promoter (Lam et al., 2012).

\textbf{Enigmatic Factors in Cuticle Biosynthesis}

In addition to the characterized components of cuticle biosynthesis that can be incorporated into a coherent model, as discussed above, several genes/proteins have been identified that are required for cuticle formation, but that lack a clear associated biochemical function that would place them in a specific point in the pathways. One example is \textit{HOTHEAD} (HTH), a glucose-methanol-choline oxidoreductase family protein that is required for proper cuticle organization (Krolikowski et al., 2003). Chemical analysis indicated that that the \textit{Arabidopsis} \textit{hth} mutant has wild-type wax levels, but abnormal cutin quantity and composition. Specifically, it has decreased levels of dicarboxylic acids and increased amounts of \(\omega\)-hydroxy acids, leading the authors to suggest that HTH may have a role in oxidation of \(\omega\)-hydroxy fatty acids to the dicarboxylic acid cutin monomers that are characteristic of \textit{Arabidopsis} stem and leaf cuticles (Kurdyukov et al., 2006b). As dicarboxylic acid cutin monomers are unusually abundant in \textit{Arabidopsis}, it will be interesting to see whether HTH-related proteins are as essential to cuticle formation in other species where this class of monomers is scarce.

Another example of an ‘orphan’ cuticle associated protein resulted from analysis of the \textit{Arabidopsis} \textit{bodyguard} (\textit{bdg}) mutant, which exhibits a microscopically disorganized cuticle with increased permeability, but significantly increased levels of
wax and cutin amounts (Kurdyukov et al., 2006a). The BDG protein has sequence similarity to the α/β-hydrolase family of proteins, but no enzymatic activity has been reported. The protein is localized in the outer cell wall of the epidermis below the cuticle, which led the authors to propose that BDG may be involved in cutin polymerization, although the increased amounts of polymeric cutin in the mutant would argue against this (Kurdyukov et al., 2006a). Mutation of BDG3, a close homolog of BDG, resulted in disorganization of floral nanoridges, petal epidermis structures that are composed of cutin (Shi et al., 2011). Moreover, the key cutin regulatory transcription factors SHN1, SHN2 and SHN3 were shown to activate the BDG3 promoter (Shi et al., 2011). Taken together, these results strongly indicate that BDG proteins are closely linked to cutin polymer formation, although their mode of action remains mysterious.

Lastly, a defect in the formation of floral nanoridges was also identified in the Arabidopsis mutant defective in cuticular ridges (dcr), which showed a substantial deficiency in floral cutin, but a less drastic alteration of leaf and stem cutin (Panikashvili et al., 2009). DCR encodes a protein of the BAHD acyltransferase family that localizes to the cytoplasm, and it has been proposed that it may be involved in acyl transfer of cutin monomers to form precursor intermediates or oligomeric structures (Panikashvili et al., 2009). However, DCR was later biochemically characterized and shown to possess in vitro diacylglycerol acyltransferase activity, leading to the formation of triacylglycerol (Rani et al., 2010). A role for cytoplasmic triacylglycerol intermediates in cutin biosynthesis is not consistent with any known steps in this pathway, yet DCR is clearly required for cutin biosynthesis in Arabidopsis floral organs. Further work will be needed in order to determine the native substrate and product of DCR in order for its role in cutin biosynthesis to be elucidated.

Functions of the Cuticle

The plant cuticle is most typically associated with providing a fixed barrier to excessive transpirational water loss, allowing gas exchange and transpiration to be dynamically controlled by stomata. However, it has evolved a number of secondary functions that are consistent with its place as the outermost layer of primary aerial organs: it forms a physical barrier that is the first line of defense against pests and pathogens; in
many species elaborate epicuticular crystals help to form a self-cleaning surface, preventing dust and other debris from blocking sunlight; in some cases it can act to screen excessive UV light; finally, as a defining feature of the epidermis, it plays a central role in development by physically establishing organ boundaries.

**Cuticle Structure and Water Barrier Properties**

A common perception is that a thick cuticle is associated with a lower water permeability and thus increased tolerance to water stress. However, comparative studies of the water permeability of cuticles from diverse species have indicated that there is no correlation with either the thickness of the cuticle or the amount of wax (Riederer and Schreiber, 2001). Similarly, the amount of cutin is not necessarily an indication of CWP. For example, studies of three tomato mutants (*cutin deficient 1-3*), each of which has a >95% reduction in fruit cutin levels, revealed only minor increases in the rate of water loss, and even among the mutants there was no clear correlation between cutin amount and susceptibility to desiccation (Isaacson et al., 2009). However, cutin deficiency that leads to organizational defects can be detrimental to the cuticle permeability (Bessire et al., 2011). In contrast to the lack of association with cutin, extensive removal of wax from tomato fruit, accomplished by brief immersion of the fruit in an organic solvent, indicates that waxes contribute ~95% of the cuticle-mediated resistance to water diffusion, at least in tomato fruit (Leide et al., 2007).

Specific compound classes appear to be associated with water barrier properties of the cuticle, notably the more non-polar components, such as alkanes, tend to be associated with decreased CWP, while non-aliphatic wax compounds such as triterpenoids are likely a less effective water barrier (Leide et al., 2007; Buschhaus and Jetter, 2012). This is consistent with a model in which cuticular waxes localize within either crystalline or amorphous domains of the cuticle, with aliphatic compounds forming crystallite ‘rafts’ that are impervious to water, forcing water, and other polar metabolites, to diffuse by a circuitous route through the amorphous domains that are formed by more polar and cyclic waxes (Riederer and Schreiber, 1995). The idea that the proportion of alkanes and not the total wax amount has the most significant effect on CWP was illustrated by a recent study with a backcrossed population of *Capsicum annum* and
Capsicum chinense, two pepper species with high and low post-harvest water loss rates, respectively. In 20 backcrossed families, CWP was inversely correlated with the amount of alkanes in the wax, but not the total amount of wax, and the more rapidly desiccating parent had three times the wax coverage as the parent that exhibited low post harvest water loss (Parsons et al., 2012). In summary, resistance to water loss is primarily attributed to wax and not cutin, but there is not a direct correlation between the amount of either component and CWP. Rather, it appears that CWP is primarily determined by the particular mixture of intracuticular and epicuticular waves and by their packing and organization within the cuticle architecture.

**The Lotus Effect**

A striking feature of many plant leaves is that water tends to bead into drops, and roll to the ground, collecting and washing particles and debris from the leaf surface. The efficiency of this self-cleaning mechanism, termed the ‘lotus effect’, varies between species and during organ ontogeny, but it has been correlated with the abundance of epicuticular wax crystals that repel water and allow a pocket of air to form beneath the droplets (Barthlott and Neinhuis, 1997). It is thought that this self-cleaning surface helps to prevent buildup of dust that would block sunlight and slow photosynthesis, and that this could also play an important role in washing away pathogen spores before they germinate. Despite the apparent advantages of a self-cleaning surface, there is not a clear example of this trait conferring an adaptive advantage. In terms of photosynthesis, there is likely a trade-off between a self-cleaning surface and the increased dispersion of light by epicuticular wax crystals, as discussed below. Nevertheless, based on the discovery of this effect, surfaces with high degrees of hydrophobicity and microscopic texture have been employed as effective biomimetic technical materials (Bhushan, 2012) and improved self-cleaning surfaces in agricultural crops may be a productive avenue of research.

**The Cuticle as a Barrier against Pests and Pathogens**

The plant cuticle presents a physical barrier to pathogens that do not otherwise enter the plant by way of the stomata, wounds or vectors. However, fungal pathogens
have been shown to breach the cuticle using a combination of enzymatic degradation and mechanical rupture. The latter is often accomplished by formation of a swollen appressorium structure that extends an infectious peg via turgor pressure (Deising et al., 2000). While mechanical rupture may be sufficient for cuticle penetration, particularly of thinner cuticles (Tenberge, 2007), most fungal pathogens also secrete cutinases; a class of small, non-specific esterases that hydrolyze the cutin polyester and release free cutin monomers (Longhi and Cambillau, 1999). The cutin monomers that are released during polymeric cutin hydrolysis can act as elicitors of plant defense responses and are thus classified as damage associated molecular patterns (DAMPs). At micromolar concentrations, these compounds induce the production of hydrogen peroxide and other defense responses (Schweizer et al., 1996; Kauss et al., 1999). However, the mechanism of plant perception of free cutin monomers is currently unknown (Boller and Felix, 2009).

Cutin appears to be more important than wax for forming a barrier to pathogen entry, although there is not a consistent correlation between cutin amount and pathogen resistance. In tomato fruit, severely decreased cutin levels in three cutin deficient mutants was associated with increased susceptibility to infection by Botrytis cinerea surface inoculation and also to opportunistic microbes (Isaacson et al., 2009). However, in Arabidopsis, a number of cutin deficient mutants and plants that ectopically overexpress fungal cutinases exhibit enhanced resistance to B. cinerea (Bessire et al., 2007; Chassot et al., 2007; Tang et al., 2007; Bessire et al., 2011). In this case, increased cuticular permeability appears to enhance the diffusion of inoculum-derived elicitors that induce production of small, polar antifungal compounds, which in turn inhibit B. cinerea growth (Bessire et al., 2007). Conversely, the Arabidopsis lacs2 mutant and cutinase overexpressers exhibited no alteration in their susceptibility to a range of other fungal pathogens (Bessire et al., 2007), and the lacs2 mutation also increased susceptibility to a normally avirulent strain of Pseudomonas syringae (Tang et al., 2007). Thus, cutin plays an important role as a physical barrier to many pathogens, yet extreme deficiencies in Arabidopsis can result in increased resistance to some pathogens by way of a secondary, but not well understood mechanism that involves the induction of plant defenses. An additional layer of complexity was suggested by the observation that cutin can induce
gene expression in plant pathogens, and has been shown to induce appressorium expression in *Colletotrichum trifolii* (Dickman et al., 2003). This highlights the competing selective pressures to generate and breach cuticle barriers at the frontier of the plant surface (Chassot and Metraux, 2005).

Despite the importance of cutin in plant-pathogen interactions, the first surface encountered by foliar pathogens is formed by epicuticular wax crystals and films. In addition to the lotus effect that promotes the washing of spores from the plant surface before germination, there are several indications that the epicuticular wax structures and composition are important in determining fungal pathogen development, and thus pathogenicity. The C26 aldehyde *n*-hexacosanyl, a component of cuticular wax in many species of the Poaceae, can induce *in vitro* appressorium formation by the powdery mildew *Blumeria graminis* (Tsuba et al., 2002; Ringelmann et al., 2009; Hansjakob et al., 2010). This observation is further corroborated by studies of the maize mutant *glossy1*, which does not accumulate aldehydes in its wax complement. *B. graminis* appressorium formation is substantially reduced on the leaf surface of the *glossy1* mutant, but can be restored to normal levels by application of *n*-hexacosanyl (Hansjakob et al., 2011).

Another example of the influence of waxes on pathogenicity is provided by the inhibitor of rust tube germination 1 (*irg1*) mutant of *M. truncatula*, which exhibits decreased amounts of epicuticular wax crystals on the abaxial leaf surface, corresponding to a substantial decrease in wax primary alcohol groups. This surface alteration was shown to reduce spore differentiation of the rust fungal pathogens *Phakopsora pachyrhizi* and *Puccinia emaculata* and the anthracnose fungus *C. trifolii*, resulting in non-host resistance (Uppalapati et al., 2012). The *IRG1* gene was found to encode a C2H2 zinc finger transcription factor that had previously been identified as a regulator of dissected leaf morphology (Chen et al., 2010). Reduced transcript levels of putative *MYB96* and *CER4* orthologs were also observed in the *irg1* mutant, which is consistent with the wax phenotype. The significance of waxes and cutin in pathogen resistance is therefore suggested in a general sense but, as with cuticle permeability, little is known about the relative importance of specific molecular classes, or their intermolecular associations and packing within the architecture of the cuticle.
Epicuticular waxes may also play an important role in plant-insect interactions, and indeed epicuticular wax crystals can form an unstable surface that prevents insect attachment or locomotion on plant surfaces (Borodich et al., 2010). A striking example of this is seen in the carnivorous pitcher plants (*Nepenthes spp.*), which catch insects by way of a slippery interior surface that is coated with epicuticular wax crystals (Riedel et al., 2007). For a more detailed review of cuticle chemical ecology see Müller and Riederer (2005).

**The Cuticle and Development**

In addition to providing physical barriers to water and microbes, the cuticle appears to play an important role in defining organ boundaries during development, since plants with cuticles showing increased permeability and structural defects often exhibit numerous ectopic organ fusions. This phenomenon has been observed in a wax deficient tomato mutant (Smirnova et al., 2013), a range of Arabidopsis mutants with abnormal cuticles (Yephremov et al., 1999; Wellesen et al., 2001; Kurdyukov et al., 2006a; Bird et al., 2007) and transgenic Arabidopsis plants overexpressing a secreted fungal cutinase (Sieber et al., 2000). The fusion zones are often marked by two adjacent polysaccharide cell walls with no visible cuticle separating the two organs, although the fused epidermal layers maintain their identity, as indicated by the differentiation of internal non-functional stomata within fusion zones (Sieber et al., 2000). In each of three Arabidopsis mutants exhibiting organ fusions, *lacerata*, *bodyguard* and *fiddlehead*, ectopic organ fusions and cuticular permeability defects could be partially suppressed by a second mutation in *SERRATE* (Voisin et al., 2009). *SERRATE* is a C$_2$H$_2$ zinc finger protein that is required for miRNA biogenesis and hypomorphic alleles exhibit numerous developmental defects, including serrated leaf margins (Dong et al., 2008). While the mechanism of SERRATE action as a suppressor of cuticle fusions remains unclear, this result suggests the existence of a cuticle integrity pathway that is integrated with epidermal developmental programs. The identification of additional suppressors of cuticle mutant-associated developmental phenotypes should be informative in elucidating the cuticle integrity pathway.
Protection against UV radiation

Ultraviolet light in the UV-B spectrum is a considerable portion of the daylight that reaches the terrestrial surface, and it can threaten plant life by damaging DNA, the photosynthetic apparatus and membrane lipids (Rozema et al., 1997). As a result, plants have evolved a number of strategies for screening UV-B radiation. These include a variety of soluble flavonoid pigments that are typically localized within the vacuoles of epidermal cells, phenolic compounds present in the polysaccharide cell wall, and lipophilic phenolic molecules that are covalently bound to cutin or associated with waxes (Pfündel et al., 2006). A survey of isolated cuticles from a range of species indicated generally effective screening of the UV-B spectrum, but consistently high transmittance in the higher wavelengths that are photosynthetically active (Krauss et al., 1997). In addition to absorbing light, the plant cuticle can reflect light to some degree, presumably depending on the abundance of epicuticular wax crystals. For example, 

Dudleya brittonii can reflect up to 83% of UV-B, but this value is substantially reduced when epicuticular waxes are removed (Mulroy, 1979). Smooth, glossy “glabrous” cuticles typically reflect only small amounts of light (<10%), but glaucous plant surfaces are moderately reflective and generally show ~20-30% reflectance in the UV and visible spectra (Pfündel et al., 2006). Waxes reflect both UV and visible light, but not necessarily to the same extent and the reflectance of UV has been reported to be greater in some cases (Holmes and Keiller, 2002). While light reflection provides an important protective mechanism, especially by limiting damaging UV radiation, there is a likely a tradeoff with photosynthetic efficiency under conditions when light intensity is limiting (Pfündel et al., 2006). In this regard, an interesting area of future research might to determine whether relative proportions of UV and visible light reflection can be predictively changed by altering the composition of epicuticular waxes.

Conclusion and Perspectives

As described above, several key areas of cuticle biogenesis remain poorly understood. First, the mechanism of intracellular and extracellular transport of wax and cutin precursors remains unknown, although key ABC transporters required for their export across the plasma membrane have been identified (Pighin et al., 2004; Bird et al.,
2007; Chen et al., 2011). The first cutin synthase has been identified (Girard et al., 2012; Yeats et al., 2012b), but there are certainly additional cutin synthases, and whether they are closely related to CD1, or belong to distinct protein families remains to be discovered. After cutin is polymerized, is modification of the polymeric structure required to accommodate organ expansion and, if so, which enzymes are involved in this process?

While our understanding of cuticle biosynthesis at the molecular level remains incomplete, recent progress in deciphering these pathways is bringing us closer than ever to an ability to selectively modify cuticle properties in order to improve agricultural productivity. However, the ability to make such modifications rationally will require an understanding of the complexity of cuticle function at the molecular level, and far less progress has been made in this regard. To this end, further work aimed at understanding the ecophysiological functions of the cuticle in defined mutant backgrounds, as well as in genetically tractable wild species will provide a framework for understanding the complex interaction of structure, composition and function of cuticles (Yeats et al., 2012a). While the past decade has seen unprecedented progress in the molecular biology of cuticle biogenesis, many studies have revealed complexities in cuticle function that underscore the fact that the cuticle is much more than just a preformed barrier to water loss.

Acknowledgements

We thank Drs. Gregory Buda, Christiane Nawrath and Lacey Samuels for generously providing microscopy images and Eric Fich, Laetitia Martin and Dr. Iben Sørensen for helpful comments and discussion. Research by JKCR in this field is supported by the Agriculture and Food Research Initiative Competitive Grants Program grant no. 2011-67013-19399 from the USDA National Institute of Food and Agriculture, and by the NSF Plant Genome Research Program (grant no. DBI-0606595).
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alterations in the structure and properties of the cuticle and postgenital organ fusions. Plant Cell 12: 721–738


Figure Legends

**Figure 1.** Plant cuticle structure. A. Schematic diagram highlighting the major structural features of the cuticle and underlying epidermal cell layer. Not drawn to scale. B. Scanning electron micrograph (SEM) image of an Arabidopsis leaf epidermis and overlying cuticle, seen in cross section. Scale bar, 5μm (image courtesy of Dr. Lacey Samuels). C. Transmission electron micrograph (TEM) image of an Arabidopsis stem epidermal cell wall and cuticle. Scale bar, 500 nm (image courtesy of Dr. Christiane Nawrath). D. Light microscopy image showing the cuticle of a mature green stage tomato fruit stained with Sudan Red and the polysaccharide cell walls stained with Alcian Blue. Scale bar, 50 μm.

**Figure 2.** Cutin and wax biosynthetic pathways. Genes (blue text) are described in the review. Red text denotes compound classes that are typically observed in cuticular wax mixtures.

**Figure 3.** Typical cutin monomers and polymeric structure. A. Some typical C16 and C18-fatty acid derived cutin monomers. From top to bottom: 10,16-dihydroxyhexadecanoic acid, 16-hydroxyhexadecanoic acid, 9,10-epoxyoctadecanoic acid, 9,10,18-trihydroxyoctadecanoic acid and octadeca-cis-6, cis-9-diene-1,18-dioate, the major cutin monomer of Arabidopsis stems and leaves. B. Linear and branched domains made possible by different ester linkages of 10,16-dihydroxyhexadecanoic acid, depicted schematically as indicated.

**Figure 4.** Regulation of cuticle biosynthesis. A summary of the interaction of environmental factors and regulatory genes that are known to influence cutin or wax biosynthesis.
**Table 1.** Major acyl-lipid classes found in cuticular waxes. Most classes occur as homologous series with broad distributions of chain lengths, compounds with typical average chain lengths are shown.

<table>
<thead>
<tr>
<th>Class of acyl lipid cuticular wax</th>
<th>Generic structure</th>
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<td>Wax ester</td>
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