Running Title: Flavonols suppress ROS in guard cells

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Ethylene-induced flavonol accumulation in guard cells suppresses reactive oxygen species and moderates stomatal aperture

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Summary: In the epidermis of Arabidopsis leaves, flavonols specifically accumulate in guard cells with enhanced synthesis in the presence of ethylene, where they lower the levels of reactive oxygen species and reduce the rate of stomatal closure.
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ABSTRACT
Guard cell swelling controls the aperture of stomata, pores that facilitate gas exchange and water loss from leaves. The hormone abscisic acid (ABA) has a central role in regulation of stomatal closure through synthesis of second messengers, which include reactive oxygen species (ROS). ROS accumulation must be minimized by antioxidants to keep concentrations from reaching damaging levels within the cell. Flavonols are plant metabolites that have been implicated as antioxidants; however, their antioxidant activity in planta has been debated. Flavonols accumulate in guard cells of Arabidopsis thaliana, but not surrounding pavement cells, as visualized with a flavonol-specific dye. The expression of a reporter driven by the promoter of CHALCONE SYNTHASE (CHS), a gene encoding a flavonol biosynthetic enzyme, in guard cells, but not pavement cells, suggests guard cell specific flavonoid synthesis. Increased levels of ROS were detected using a fluorescent ROS sensor in guard cells of tt4-2, which has a null mutation in CHS and therefore synthesizes no flavonol antioxidants. Guard cells of tt4-2 show more rapid ABA-induced closure than wild-type, suggesting flavonols may dampen the ABA-dependent ROS burst that drives stomatal closing. The levels of flavonols are positively regulated in guard cells by ethylene treatment in wild-type, but not in the ethylene-insensitive2-5 (ein2-5) mutant. Additionally, in both ethylene-overproducing1 (eto1) and ethylene-treated wild-type plants, elevated flavonols lead to decreasing ROS and slower ABA-mediated stomatal closure. These results are consistent with flavonols suppressing ROS accumulation and decreasing the rate of ABA-dependent stomatal closure, with ethylene-induced increases in guard cell flavonols modulating these responses.
INTRODUCTION

Guard cells use an extensive signal transduction pathway to regulate the aperture of stomata, pores on the surface of leaves that facilitate gas exchange and water loss (Roelfsema and Hedrich, 2005; Joshi-Saha et al., 2011). CO₂, a necessary reactant for photosynthesis, enters leaves through stomata, while water can leave through these same pores via transpiration. Excess water loss can lead to dehydration of the plant when there is excess heat or drought. Guard cells therefore must modulate the stomatal aperture in response to the changing environment (Hirayama and Shinozaki, 2007). In response to stimuli that close stomata, such as drought stress, the hormone abscisic acid (ABA) is increased in the cytosol of guard cells (Zeevaart, 1980; Pei et al., 2000). ABA induces ion movements across the cell membrane expelling K⁺ and Cl⁻ ions through ion efflux channels. The decreased internal solute concentration drives water out of the cell via osmosis (Vahisalu et al., 2008). The loss of water from guard cells decreases the volume of the cell, with the resulting loss of turgor closing the stoma (Joshi-Saha et al., 2011). Additional understanding of this elegant signaling pathway will provide insight into how plant growth and development is modulated by change in soil moisture, temperature, and light levels.

One important class of signaling molecules that have recently been implicated in guard cell signaling are reactive oxygen species (ROS) (Guan et al., 2000; Zhang et al., 2001; Jiang and Zhang, 2002; Jiang and Zhang, 2003). The well documented role of ROS in causing oxidative stress is now joined with growing evidence that ROS may play integral roles as secondary messengers in signal transduction pathways (Wood et al., 2003; Rhee, 2006; Mittler et al., 2011; Munne-Bosch et al., 2013). At high concentrations, ROS, such as hydrogen peroxide, can cause oxidative damage to DNA and proteins that are integral to normal cellular processes (Asada, 2006; Van Breusegem and Dat, 2006); however, at low levels, hydrogen peroxide (H₂O₂) is an effective signaling molecule in guard cells that can induce stomatal closure (Pei et al., 2000; Murata et al., 2001; Zhang et al., 2001; Kwak et al., 2003). Elevated ABA in guard cells triggers H₂O₂ production through activation of NADPH or respiratory burst oxidase enzymes located on the plasma membrane (Mustilli et al., 2002; Yoshida et al., 2002) and mutants in AtrbohD and AtrbohF, show impaired ABA-regulated stomatal closure (Kwak et al., 2003).

The ability of signaling-regulated synthesis of hydrogen peroxide, H₂O₂, to alter the activity of specific target proteins has been shown in mammalian systems (Rhee, 2006). Generated from superoxide (O₂⁻) molecules, this oxidant can modulate the activity of phosphatases and kinases,
causing dynamic changes in signal transduction pathways (Poole et al., 2004). Specifically, hydrogen peroxide can interact with specific cysteine residues, transforming the functional group of the cysteine into sulfenic acid, altering formation of disulfide bonds between two separate proteins or between nearby cysteine residues of the same protein, in a transient, reversible fashion (Poole et al., 2004; Poole and Nelson, 2008). In guard cells, this ROS burst is believed to target calcium channels to promote the influx of calcium across the plasma membrane and the release of calcium from internal stores (McAinsh et al., 1996; Allen et al., 2000; Cho et al., 2009). Increased cytosolic calcium levels induces activation of anion efflux channels located on the plasma membrane (Hedrich et al., 1990; Schroeder and Hagiwara, 1990; Chen et al., 2010; Wang et al., 2013), triggering stomatal closure. The mechanism behind ROS activation of Ca^{2+} channels in mammalian systems has been well studied (Poole and Nelson, 2008); however, less is known about this mechanism in plants.

When maintained at manageable levels, ROS are efficient and reversible signaling molecules employed by cells to regulate the activity of enzymes and transcription factors, but if levels of these molecules become excessively elevated, they can cause oxidative stress (Hernandez et al., 2009; Pollastri and Tattini, 2011). Therefore, mechanisms that keep their levels from becoming too high and allow their accumulation to be transient are important features of ROS signaling. ROS homeostasis is tightly regulated in plants through the production of a variety of enzymatic and small molecule antioxidants (Mittler et al., 2004). Flavonols are plant metabolites that may function as antioxidants in plants and in animals that consume them (Hernandez et al., 2009). Although their antioxidant activity in planta has been debated, previous studies have shown that the antioxidant capacity for flavonols in vitro is greater than that of vitamin C or E, two well documented in planta antioxidants (Rice-Evans et al., 1997). Guard cells provide an elegant system to test the antioxidant ability of flavonols, due to the well documented function of ROS as a second messenger in this cell type (Pei et al., 2000; Murata et al., 2001; Kwak et al., 2003; Cho et al., 2009).

This study examined the accumulation of flavonols in guard cells and the role of flavonol accumulation in controlling the levels of ROS. A flavonol specific dye and a reporter construct driven by a promoter from a gene encoding a flavonol biosynthetic enzyme revealed the localized accumulation of flavonols and the expression of this gene that drives their synthesis in guard cells, but not in surrounding pavement cells. Mutants that make no flavonols are used to
demonstrate the specificity of the flavonol-specific dye, and to show the effect of flavonols on ROS status and stomatal aperture. Finally, the induction of flavonol synthesis in guard cells in response to ethylene was examined, as well as the effect of these regulated changes in flavonol concentration on stomatal aperture. These results indicate that flavonols suppress ROS levels within guard cell modulating stomatal aperture and with changing environmental conditions modulating flavonol synthesis and guard cell signaling.

RESULTS

Flavonols and their biosynthetic machinery are found in guard cells

To determine whether flavonols accumulate in guard cells, we used a dye, diphenylboric acid 2-aminoethyl ester (DPBA), to detect flavonols. The specificity of DPBA fluorescence was demonstrated in Arabidopsis roots using mutants in flavonol synthesis, with no signal observed in the tt4-2 mutant that makes no flavonoids (Lewis et al., 2011) due to a mutation in the gene that encodes chalcone synthase (CHS), the first step in the flavonoid biosynthesis pathway. The DPBA fluorescence of the surface of an Arabidopsis leaf, with and without a DIC overlay of pavement cells, illustrated localized accumulation of flavonols in guard cells with no signal in surrounding pavement cells (Figure 1A and B). This pattern was observed in more than 50 leaves. We also asked whether the flavonol localization was restricted to guard cells due to flavonol biosynthesis in those cells. Epidermal leaf peels of transgenic plants with a CHS promoter driving a β-glucuronidase (GUS) reporter were visualized by bright field microscopy. GUS product accumulated in the epidermal guard cells (Figure 1C), with no GUS product accumulation in surrounding pavement cells. These results were consistent with tissue-specific signals, including transcriptional controls of flavonol synthesis, restricting flavonol accumulation to guard cells.

To more quantitatively and precisely image DPBA in leaf tissue, we optimized laser scanning confocal microscope (LSCM) settings in order to spectrally separate total flavonol fluorescence from chlorophyll autofluorescence. Using the basal surface of a whole Arabidopsis leaf, confocal micrographs showed autofluorescence of chlorophyll in the blue channel and DPBA staining in the yellow channel (Figure 2). The absence of the DPBA signal in tt4-2 guard cells confirmed the specificity of this staining in leaf tissues, complementing the previous studies in
roots (Buer and Muday, 2004; Lewis et al., 2011). This image indicated that we can resolve these two fluorescent signals and that flavonols accumulated in the cytosol, but not the chloroplasts of guard cells. It was also evident that DPBA fluorescence was greater in the regions of the guard cell that appeared to be nuclei, a cellular location that exhibited flavonoid accumulation in other plant cells types (Feucht et al., 2004; Saslowsky et al., 2005; Lewis et al., 2011). Verification of nuclear flavonol accumulation was completed by simultaneously imaging DPBA and Hoechst 33258, a nucleic acid stain (Supplemental Figure 1). After imaging DPBA with spectral separation, we imaged the same guard cells using single channel microscopy to visualize Hoechst fluorescence and consistently observed that the region of bright DPBA staining in the center of each guard cell also had nucleic acids, as detected by Hoechst, consistent with a nuclear accumulation of flavonols.

The levels of DPBA fluorescence in the cytosol and nucleus of wild-type and tt4-2 were quantified in 90 guard cells and are reported relative to the levels in the cytosol of Col-0 (Figure 3A). DPBA fluorescence was at background levels in tt4-2 while wild-type plants had a robust DPBA fluorescent signal, with approximately 2-fold higher intensity in the nucleus than in the cytosol.

**Flavonol accumulation in guard cells affects ABA–induced stomatal closure**

To analyze the functional significance of flavonol accumulation in guard cells, we compared ABA-dependent stomatal closure in wild-type and tt4-2 guard cells. Since ABA triggers a ROS burst in guard cells and flavonols reduce ROS concentrations, we expected flavonol concentrations in guard cells to be inversely proportional to the rates of ABA-induced stomatal closure. Leaves were excised and incubated with 20 μM ABA for 0, 45, 90 or 180 minutes. At the indicated times after treatment, epidermal peels were generated and DIC images captured and used for measurement of stomatal aperture. Apertures were quantified in 30 stomata for three separate experiments (Figure 4). Although tt4 and wild-type showed similar aperture in opening solution, the initial ABA-induced stomatal closure response was greater in tt4 guard cells than the control, with all aperture values significantly different than wild-type (P < 0.05). The apertures of stomata were also quantified in the absence of ABA under identical conditions over the same time course in these two genotypes. The guard cells remained open under these conditions and there were no detectable differences between wild-type and tt4-2 (Supplemental
Figure 2). We also examined the effect of three hours of ABA treatment on DPBA fluorescence in guard cells, mirroring the time course of ABA in the stomatal closure assays to ask if ABA altered flavonol synthesis. The DPBA signal was not altered by ABA during this three hour treatment (Supplemental Figure 4). These results were consistent with the absence of an antioxidant in tt4-2 guard cells that leads to an increase in signaling via reactive oxygen species.

**Elevated ethylene levels through exogenous treatment or in the eto1 mutant increase flavonol accumulation**

Since elevated ethylene levels were previously shown to increase flavonol accumulation in *Arabidopsis* roots (Lewis et al., 2011), we asked whether there is similar regulation in guard cells. DPBA fluorescence was examined in the ethylene-overproducing1 (eto1) mutant (Chae et al., 2003) and enhanced flavonol accumulation was observed in the guard cells (Figure 2). The DPBA fluorescence intensity was quantified in 90 stomata and average fluorescence is reported relative to the values in the cytosol of Col-0 (Figure 3). There is a >1.6 fold increase in DPBA fluorescence in the cytosol and >1.5 fold increase in the nuclei of eto1 guard cells compared to wild-type (Figure 3; P < 0.001).

To further examine the effect of ethylene on flavonol synthesis in guard cells, we treated plants with exogenous ethylene. Wild-type and the ethylene-insensitive2-5 (ein2-5) mutant, an ethylene signaling mutant with nearly complete ethylene insensitivity (Alonso et al., 1999), were treated with 5 ppm ethylene gas for 24 hours, and then DPBA fluorescence in the guard cells was imaged (Figure 5). The DPBA fluorescence was quantified in both the nucleus and cytosol, as described above, for 30 stomata per treatment in 3 separate experiments, and the average signal relative to the signal in the cytosol of untreated Col-0 was calculated (Figure 5). Similar to eto1, the guard cells of ethylene-treated wild-type plants had enhanced DPBA fluorescence in the cytosol and nuclei when compared to an untreated control (P < 0.01). A similar magnitude increase in DPBA fluorescence was also evident after 3 hours of ethylene treatment, as shown in Supplemental Figure 2A. Guard cells of ein2-5 had significantly lower DPBA fluorescence than Col-0 in both the cytosol and nucleus in the absence of treatment (P < 0.02) and showed no increase in DPBA fluorescence after ethylene treatment (Figure 5).

We employed high performance liquid chromatography together with mass spectroscopy (LC-MS) to directly quantify concentrations of kaempferol and quercetin in whole leaves to examine
the effect of elevated ethylene levels on flavonol concentration. Hydrolyzed flavonol samples extracted from 18 leaves of 4 week old Col-0, tt4-2, and eto1 plants were analyzed to examine the total flavonol pools of each compound. The concentrations of flavonols were detected in three separate experiments, with a representative graph shown in Figure 3. Flavonol extractions from whole leaves showed 1.3 and 5-fold increased levels of accumulation of kaempferol and quercetin, respectively, in eto1 compared to wild-type. This experimental approach could not demonstrate that ethylene-dependent increases in flavonols were localized specifically to guard cells, but it did illustrate that the increases in total flavonol concentrations mirror the fluorescence increases in guard cells observed with DPBA staining.

**Reactive oxygen species (ROS) accumulate in guard cells and are affected by flavonol concentrations.**

The concentrations of ROS are highly regulated in guard cells and are an essential feature of rapid stomatal closure in response to ABA (Pei et al., 2000; Kwak et al., 2003). ROS concentration within guard cells was examined to determine if flavonols are acting as antioxidants *in vivo*. To visualize ROS accumulation in *Arabidopsis* leaf tissue, we used 2', 7' – dichlorofluorescein-diacetate (DCFH-DA), a general ROS fluorescent sensor (Halliwell and Whiteman, 2004). In the past, this fluorescent probe has been imaged with UV light to visualize ROS in guard cells (Kwak et al., 2003); however, we excited with a 488nm laser, a wavelength that is more frequently used when imaging this probe (Halliwell and Whiteman, 2004). DCFH-DA is taken up by cells, where internal cellular esterases cleave off the diacetate functional group, preventing DCFH from diffusing outside of the cell. Upon oxidation by ROS, DCFH is converted to DCF and becomes fluorescent. To minimize any dye uptake difference or light induced fluorescence increases that can occur with this dye (Murata et al., 2001), all incubation and imaging times and settings were carefully maintained within each experimental comparison.

We used spectral separation through confocal microscopy to separate out chlorophyll autofluorescence from DCF fluorescence in guard cells in epidermal leaf peels of wild-type, tt4-2, and eto1 (Figure 6). In all three genotypes, DCF fluorescence was at very low levels in pavement cells, but accumulated in guard cells in both nuclei and the cytosol, with greater fluorescence in the nuclei, mirroring the tissue and subcellular accumulation of flavonols. The
levels of DCF fluorescence in the cytosol and nucleus of wild-type, *tt4*, and *eto1* were quantified in 90 guard cells and values were normalized relative to the levels in the cytosol of Col-0 (Figure 6B). In the *tt4* mutant, which does not synthesize flavonols, DCF fluorescence intensity was greater in both the cytosol and the nucleus of guard cells compared to wild-type. Quantification of these images indicated that there is a 2- and 2.5-fold increase in *tt4-2* in the cytosol and nucleus, respectively, as compared to wild-type guard cells.

There were also lower levels of DCF fluorescence in *eto1* than wild-type with reduction to 60% of the values in untreated wild-type, consistent with the higher levels of antioxidants in the presence of elevated ethylene. Ethylene treatment for 24 hours showed decreased ROS accumulation in wild-type (Figure 6), with similar ROS decreases observed after 3 hours of ethylene treatment, as compared to untreated controls (Supplemental Figure 2B). In *tt4* there were increased levels of ROS detected at 24 hours, but not after 3 hours of ethylene treatment, compared to untreated *tt4* (Figure 6). The 24 ethylene treatment results mirrored a previous report that ethylene induced ROS accumulation in guard cells through activation of NADPH oxidase AtRBOHF (Desikan et al., 2006). Together these images suggested an antioxidant activity of flavonols in guard cells, by illustrating an inverse relationship between flavonol concentration and ROS levels using both mutants to block flavonol synthesis and treatments and mutants to elevate ethylene levels and flavonol accumulation.

**Stomatal closure is modulated by ethylene levels**

The effect of 24 hours of ethylene treatment of wild-type and *tt4* and in the untreated *eto1* mutant was examined using the same stomatal closure assay described above. The closure at time 0, 45, and 90 minutes after ABA treatment for 90 stomata from 3 experiments were quantified in these three genotypes (Figure 7). After incubation in the opening solution for 3 hours, all stomata were equivalently open and in the absence of added ABA, remained equivalently open (Supplemental Figure 3). Ethylene treated wild-type and *eto1* guard cells showed decreased closure in response to ABA, while untreated *tt4* shows the most stomatal closure at each time point. When wild-type plants were treated with ethylene for 3 hours (Supplemental Figure 2C), similar results were observed. We expected that *tt4* would show no response to ethylene treatment, if the only effect of ethylene is on flavonoid synthesis, but found that it does show a reduced stomatal closure in the presence of 24 hours of ethylene treatment,
but not three hours. The stomatal aperture is still smaller in *tt4*-2 with ethylene treatment than in Col with ethylene, consistent with a role for ethylene mediated flavonol synthesis in reducing the rate of stomatal closure through modulation of ROS signals. The difference in stomatal aperture in *tt4* in the absence and presence of 24 hours of ethylene treatment, suggests the presence of a separate flavonol-independent pathway by which ethylene treatment facilitates stomatal closure. The absence of this effect at 3 hours suggests that this may well be an secondary effect of extended ethylene treatments.
DISCUSSION

Guard cells control the aperture of stomatal pores in response to environmental fluctuations, including variations in light intensity, moisture, and carbon dioxide levels (Hetherington and Woodward, 2003). In response to environmental stress, the plant hormone ABA signals stomatal closure (Zeevaart, 1980; Hirayama and Shinozaki, 2007; Cho et al., 2009; Joshi-Saha et al., 2011). This signaling pathway employs hydrogen peroxide as a ROS second messenger (McAinsh et al., 1996; Murata et al., 2001; Zhang et al., 2001; Kwak et al., 2003). During signaling of stomatal closure, ROS must reach a certain threshold to oxidize their targets (Kwak et al., 2003; Cho et al., 2009), but must not reach levels that will cause oxidative damage (Munne-Bosch et al., 2013). To prevent oxidative damage, ROS signaling must be tightly regulated by small molecule and/or protein antioxidants (Conklin et al., 1996; Apel and Hirt, 2004), with antioxidants including glutathione and flavonoids. Recent reports demonstrated the altered redox state and altered stomatal aperture in mutants defective in glutathione synthesis (Okuma et al., 2011; Munemasa et al., 2013). In this study, we tested the hypothesis that flavonols act as antioxidants that reduce ROS concentrations in guard cells, thus modulating stomatal closure.

We examined where and how flavonols accumulated in the epidermal layers of leaves in order to better understand their role in cell signaling. Flavonol accumulation was visualized through confocal microscopy, using a flavonol-specific fluorescent dye, DPBA. In wild-type, DPBA fluorescence was localized to guard cells, but was absent in surrounding pavement cells, while it was not detected in any cell types in the flavonoid deficient tt4-2 mutant. Localized flavonol accumulation in guard cells, could be due to localized synthesis or selective import of these molecules into the cells. To ask if flavonol biosynthetic enzymes are synthesized in guard cells in planta, a CHSp:GUS transgenic line was utilized. CHS promoter driven GUS product accumulated specifically in guard cells and not in surrounding epidermal pavement, which suggests that production of CHS, a key flavonol biosynthesis enzyme, is localized to Arabidopsis guard cells. This result is consistent with previous research that shows the presence of transcripts encoding enzymes of the flavonol biosynthetic to guard cell protoplasts, including chalcone isomerase (CHI), flavanone 3-hydroxylase (F3H), flavonol synthase 1 (FLS1), and flavonoid 3’hydroxylase (F3’H) in guard cell protoplasts (Pandey et al., 2010). This result goes extends previous study in two ways, as it minimized the damage to cells that is the result of
creating protoplasts, which may increase the expression of flavonoid biosynthesis genes in cells where they are not normally produced (Dixon and Paiva, 1995; Winkel-Shirley, 2002). Second, the available microarray data does not have a pavement cell transcriptome for comparison (Pandey et al., 2010). Using very different experimental methods in Vicia faba, flavonols have been previously reported to accumulate in guard cell and surrounding epidermal cells (Schnabl et al., 1986; Takahama, 1988). Both reports used spectrophotometric imaging of epidermal peels which limits the resolution of flavonols accumulation in individual cells. Using the highly localized accumulation of DPBA fluorescence, our results demonstrate that in Arabidopsis there is a guard cell specific flavonol accumulation profile.

Since flavonols accumulate specifically in guard cells, we asked whether these molecules function as antioxidants to reduce ROS concentrations. Flavonols have been shown to have antioxidant activity in vitro; however, their antioxidant activity in planta has been debated (Rice-Evans et al., 1997; Hernandez et al., 2009; Pollastri and Tattini, 2011). As ROS has been implicated as a second messenger in guard cell signaling (McAinsh et al., 1996; Murata et al., 2001; Zhang et al., 2001; Kwak et al., 2003), we asked whether the presence of flavonols affected the ROS accumulation in guard cells. In order to visualize ROS accumulation, we used DCFH-DA, a general ROS sensor and utilized spectral separation to remove chlorophyll autofluorescence. DCF fluorescence was localized to guard cells, similar to the DBPA fluorescence pattern, indicating that both flavonols and ROS accumulate specifically in this cell type, but not in surrounding pavement cells. Additionally, we saw increased DCF fluorescence in tt4-2 mutants that synthesize no flavonols, consistent with the absence of flavonols resulting in increased ROS concentrations, consistent with an antioxidant activity for flavonols in vitro.

DPBA and DCF fluorescence were at higher levels in the nuclei than the cytosol in all genotypes and treatments. This observation is consistent with characteristics of other plant species that showed flavonoid and ROS accumulation in the nuclei (Feucht et al., 2004; Mazars et al., 2010; Lewis et al., 2011). Immunolocalization with antibodies against CHS and CHI suggests that the enzymes of flavonoid synthesis are localized to the nucleus in Arabidopsis roots (Saslowsky et al., 2005). The nuclear localization of flavonols is consistent with the notion that ROS can regulate gene expression through interaction with redox-sensitive transcription factors (TFs) in plants (Wu et al., 2012), like the well-established redox sensitive TF described in bacteria and mammals (Aslund et al., 1999; Biswas et al., 2006). Flavonols are a likely
candidate to modulate the activity of redox-sensitive TFs, due to their increased accumulation in the nuclei; however, it is unlikely that they are the only antioxidant present in this organelle. Flavonols have been shown to chelate metal ions, such as Fe$^{3+}$, which catalyze the production of hydroxyl radicals from H$_2$O$_2$ (Hernandez et al., 2009), thus flavonol accumulation in the nucleus would contribute to a decreased redox potential in the nucleus, potentially decreasing the number of TF oxidation events. Combined with our data suggesting an in planta antioxidant ability of flavonols, we hypothesize that flavonol accumulation in the nuclei may modulate the activity of redox-sensitive transcription factors that affect gene expression in addition to preventing oxidative damage to DNA, although the time scale of transcriptional effects may be beyond the rapid regulation of guard cell aperture.

As stomatal apertures are environmentally regulated, we hypothesized that regulation of flavonol synthesis may be a way for plants to modulate the physiology of guard cells. Previous studies have shown that ethylene increases flavonol accumulation in Arabidopsis roots (Buer et al., 2007; Lewis et al., 2011), and we asked whether this hormone may also increase flavonol accumulation in guard cells. Elevated levels of ethylene through endogenous overproduction in the eto1 mutant and exogenous application of ethylene to wild-type leaves increased flavonol accumulation in this cell type, as visualized by localized DPBA fluorescence. We observed robust increases in DPBA fluorescence at both 3 and 24 hours after treatment with ethylene gas. This ethylene enhanced flavonols accumulation pattern requires a functional ethylene signaling pathway, as the ethylene-induced DPBA fluorescence increases were lost in the ein2 mutant. A previous study showed that ethylene induced flavonoid increases detected by DPBA can also be detected by LC-MS (Lewis et al. 2001). We therefore verified these flavonols increases in leaves using LC-MS and detected ~5-fold increases in quercetin and smaller, but still statistically significant increase in kaempferol in whole leaves treated with ethylene under similar conditions.

We also observed decreased DCF fluorescence in wild-type and tt4 treated with ethylene for 3 or 24 hours and in eto1 guard cells, when compared to wild-type, suggesting that the increase in flavonols decreases ROS concentrations. Additionally, ethylene treated wild-type guard cells and eto1 exhibited a decreased rate of ABA-induced stomatal closure, a result that is consistent with flavonols acting as antioxidants reducing the hydrogen peroxide burst that drives stomatal closure.
Ethylene has been shown to promote both stomatal closure in *Arachis hypogaea* and *Arabidopsis* (Pallas and Kays, 1982; Desikan et al., 2006) and stomatal opening in *Dianthus caryophyllus*, *Solanum lycopersicum*, *Vicia faba*, and *Arabidopsis* (Madhavan et al., 1983; Levitt et al., 1987; Tanaka et al., 2005). Applied ethylene gas or its precursor, ACC, was shown to induce stomatal closure through elevated ROS production generated by AtRBOHF, an NADPH oxidase (Desikan et al., 2006). In our study, a previously reported ROS burst that was observed upon ethylene treatment of wild-type guard cells (Desikan et al., 2006) was masked by increased flavonol accumulation, but we observed that a 24 hour ethylene treatment of *tt4* led to elevated ROS (although this increase was not detected after 3 hours of treatment), consistent with the absence of antioxidants. Other researchers report that treatment of wild-type plants with ethylene or ACC or endogenous ethylene over production in *eto1* inhibit stomatal closure in the presence of ABA in Arabidopsis (Tanaka et al., 2005) and in wheat (Chen et al., 2013). These findings are mirrored in our results, with decreased ROS and stomatal closure in response to ethylene treatment or the *eto1* mutation. We predicted that ethylene treated *tt4* might be insensitive to the effect of ethylene on stomatal aperture, since there will be no change in flavonoid antioxidants. We found that in both wild-type and *tt4*, ethylene reduced the rate of stomatal closure, consistent with a flavonoid and ROS independent ethylene regulation of this process, although the *tt4* mutant has a greater rate in both cases consistent with a second ROS-dependent mechanism. A ROS-independent pathway for ABA-induced stomatal closure, has been suggested previously (Kwak et al., 2003). ABA treatment of *atrbohD/F* double mutant guard cells resulted in no change in ROS concentrations, although cytosolic Ca$^{2+}$ elevations were observed in nearly half of all guard cells (Kwak et al., 2003). We hypothesize that a 24 hour ethylene treatment may inhibit ROS-independent stomatal closure in the absence of flavonols, which would explain the delayed closure that is observed in ethylene treated *tt4*. Our results suggest that ethylene induces flavonol accumulation, which reduces both the concentration of ROS and the rate of ABA-induced stomatal closure.

We have constructed a model to synthesize these data, which is shown in Figure 8. This model outlines the ABA signaling pathway in which ABA activates respiratory burst oxidases increasing the levels of ROS, which act as signaling molecules to induce stomatal closure. Flavonoids act as antioxidants reducing the levels of ROS, which decrease the rate of stomatal closure. Elevated levels of ethylene, both through elevated synthesis in *eto1*, or by treatment
with exogenous ethylene, increase flavonols accumulation and decrease ROS, resulting in a reduced rate of stomatal closure. When a flavonoid deficient mutant is treated with ethylene, we find that ROS levels increase after 24 hours of treatment, but not after 3 hours of treatment. These findings suggest that extended ethylene elevated ROS accumulation (drawn as a dotted line), but that the elevated ethylene after ROS treatment in wild-type more than compensates for compensates by increasing levels of flavonols antioxidants. We predicted that tt4 would be insensitive to the effect of ethylene on stomatal closure, while we find that this treatment leads to a reduced rate of closure, which is evident at 24 hours, but not 3 hours of ethylene treatment (drawn as a dotted line). We hypothesize that ethylene may enhance the synthesis of a second signaling molecule, that may act to alter stomatal closure through a separate mechanism in the presence of extended ethylene treatment. Yet, the absence of ethylene-effects on ROS and stomatal aperture after three hour treatments, suggests that the primary effects of ethylene are on synthesis of flavonols antioxidants that suppress ROS levels.

CONCLUSIONS

Guard cell function is integral to plant adaptation to a changing environment. Much is known about the role of ROS as a second messenger in this cell type (Wang and Song, 2008); however, the role of antioxidants in guard cells to modulate ROS concentrations has not been well studied. We observed flavonol and ROS accumulation in guard cells, and showed that the concentrations of flavonols in planta are inversely proportional to ROS levels, consistent with in vitro studies of flavonols acting as antioxidants (Rice-Evans et al., 1997; Hernandez et al., 2009). This study showed that flavonol levels are ethylene regulated in guard cells. Elevated levels of ethylene in response to exogenous treatment of wild-type and endogenous synthesis in the eto1 mutant increases flavonol accumulation in guard cells, resulting in decreased ROS levels and decreased rate of stomatal closure. Together these results indicate that flavonols suppress ROS levels in guard cells and thereby modulate the dynamics of stomatal aperture.
MATERIALS AND METHODS

Plant Growth and Ethylene Treatment

*Arabidopsis* (*Arabidopsis thaliana*) plants were germinated on 1x Murashige and Skoog (MS) medium, pH 5.6, MS vitamins, and 0.8% agar, buffered with 0.05% MES and supplemented with 1% sucrose. After vernalization at 4°C for 48 hours, plates were placed in a 12 hour day growth chamber under 100 µmol m⁻² s⁻¹ cool-white light. Seedlings were transferred to Metromix 360 soil 7 days after germination. All experiments were conducted on leaves of plants that were 3-4 weeks after germination. This study utilized Col-0, *tt4-2* (AT5G13930) (Lewis et al., 2011), *eto1* (AT3G51770) (Xiang et al., 2013), *ein2-5* (AT5G03280) (Alonso et al., 1999), and a *CHSpro:GUS* reporter (Chory and Peto, 1990).

For ethylene treatments, four week old wild-type, *tt4-2*, and *ein2-5* plants were placed in clear plastic container that was sealed with vacuum grease. Ethylene gas was added to achieve a 5ppm concentration of ethylene within the chamber. Control plants were placed in identical sealed containers without ethylene. After either 3 or 24 hours of incubation, leaves were excised and used for imaging DPBA or DCF fluorescence, or in stomatal closure assays.

Flavonol accumulation using DPBA

Individual leaves were excised and submerged in an aqueous solution containing 0.01% Triton X-100 and 2.52 mg/mL⁻¹ DPBA for 2.5 hours. Whole leaves were then washed in deionized water for 1 minute and mounted in deionized water between two coverslips. A Zeiss 710 LSCM was used to excite the leaf surfaces with 100% maximum laser power at 488nm and a pinhole yielding a 4µm cross section. We optimized LSCM settings to spectrally separate the fluorescence of flavonol-DPBA and chlorophyll by capturing the emission spectrum for each compound where there is no overlap. DPBA fluorescence emission was collected between 475 to 619nm (Lewis et al., 2011). The gain settings were selected to maximize the total flavonol signal while preventing oversaturation. All micrographs within each figure were acquired using identical offset, gain, and pinhole settings using the same detectors. Post-image quantification of DPBA fluorescence intensities was done by placing an ROI around the nuclei of each guard cell and around an area in the cytosol with no chloroplasts present. The average intensity values within each ROI was recorded and averaged.

Histochemical Staining and Imaging of CHSpro:GUS Activity
Whole leaves from the CHSp:GUS transgenic line were excised and submerged in a GUS staining solution (80 mM sodium phosphate buffer, 0.4 mM potassium ferricyanide, 0.04 mM potassium ferrocyanide, 0.05% Triton X-100, 0.8 mg/mL 5-bromo-4-chloro-3-indolyl-β-D-glucuronide, pH7) at 37°C for 18 hours. Leaves were removed from staining solution and washed with 75% ethanol for 5 minutes. Epidermal strips were prepared by spraying a microscope slide with a silicone-based medical adhesive (Hollister stock# 7730). After five minutes, the basal epidermis of the leaf was gently pressed into the dried adhesive coat, and the leaf was gently scraped with a pipet tip until only the fixed epidermis remained (Young et al., 2006). Epidermal peels were imaged using DIC imaging with a Zeiss Auxio Observer D1 microscope with an Axio Cam HRc color camera.

Quantification of flavonols by liquid chromatography mass spectroscopy

Samples were run on a Thermo LTQ Orbitrap XL with ESI source, coupled to a Thermo Accela 1250 pump and autosampler (Thermo Fisher, Thermofisher.com), using a Security Guard column in line with a Luna 150 x 3 C18 column, both from Phenomenex (phenomenex.com). For flavonol analysis, 10 µl of each sample was injected with a solvent of water:acetonitrile, both containing 0.1% v/v formic acid in the following gradients: 95%-40% v-v water from 0 to 5 min, 40%-20% v-v water from 5 to 20 min, and 20%-95% v-v water from 20 to 23 min to recondition the column. MS² fragmentation spectra of flavonols were induced using 30 kV collision-induced dissociation. Spectra of samples were compared to those of standards and those listed on the MassBank database (www.massbank.jp). Data were analyzed by quantifying peak areas using Thermo Xcalibur software, and with normalization to the internal standard formononetin (Indofine Chemicals, indofinechemicals.com). Absolute quantities of metabolites were found by comparing peak area data to standard curves created using pure standards of quercetin and kaempferol (Indofine Chemicals, indofinechemicals.com).

DCF and Hoechst Staining and Quantification

DCFH-DA (2’,7’-dichlorofluorescin diacetate) was obtained by dissolving DCFH-DA in DMSO to yield an 50 µM stock. This was diluted in deionized water to yield a final concentration of 2.5µM with 2% DMSO. The epidermis was stained for 15 minutes with two drops of DCF stain and washed with deionized water. A Zeiss 710 LSCM was used to excite the leaf surfaces with 1% maximum laser power at 488nm with a 3.5 digital gain. Settings were optimized to spectrally separate the fluorescence of DCF-ROS and chlorophyll by capturing the
emission spectrum for each compound where there is no overlap. DCF signal was collected between 495 to 527 nm with a pinhole yielding a 4μm cross section, making sure to limit excess exposure to the laser which induces ROS. The gain settings were selected to maximize the total DCF signal while preventing oversaturation. All micrographs within each figure were acquired using identical offset, gain, and pinhole settings using the same detectors. DCF fluorescence intensities were measured by placing an ROI around the nuclei of each guard cell and around an area in the cytosol with no chloroplasts present. The average intensity values within each ROI was recorded and averaged.

Hoechst imaging was performed on whole leaves that were previously stained with DPBA. After 2.5 hours of DPBA staining, leaves were washed in deionized water for 1 minute and transferred to an aqueous solution containing Hoechst at a 1µg/mL concentration. The leaf surface was excited with 10% laser power at 405nm and a pinhole yielding a 4μm cross section. We optimized LSCM settings to spectrally separate the fluorescence of the Hoechst stain with cell wall autofluorescence by capturing the emission spectrum for each compound where there is no overlap. Hoechst fluorescence emission was collected between 420 to 546 nm. The gain settings were selected to maximize the total Hoechst signal while preventing oversaturation.

**Stomatal Closure Assay**

ABA-induced stomatal closure assays were performed with plants 3-4 weeks after germination. Leaves from untreated plants or plants treated with ethylene gas for 24 hours were excised and submerged in an aqueous opening solution (5mM KCl, 50μM CaCl2, 10mM MES buffer, pH 5.6) and incubated under cool-white light for 3 hours. To induce stomatal closure, leaves were transferred to a similar opening solution with 20μM ABA added to induce closure (Jammes et al., 2009). After incubation in the ABA solution under white light (100 μmol m⁻² s⁻¹) for 0, 45, 90, and 180 minutes, leaf peels were prepared and imaged using DIC imaging with a Zeiss Axio Observer D1 microscope with a Hamamatsu 1394 ORCA-ERA monochromatic camera (Jammes et al., 2009). Apertures were measured using ImageJ.

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FIGURE LEGENDS

**Figure 1.** Flavonols accumulate in guard cells.  A. Confocal micrograph showed yellow DPBA fluorescence in guard cells, but not pavement cells. B. DIC image overlaid on a confocal micrograph of wild-type leaves showing the location of yellow DPBA fluorescence. C. Brightfield image shows CHSp:GUS expression in guard cells, but not pavement cells of wild-type plants. Size bar=15μm.

**Figure 2.** Flavonol accumulation is absent in \( tt4 \) guard cells and enhanced in \( eto1 \) guard cells. DPBA bound to flavonols is shown in yellow and chlorophyll autofluorescence is shown in blue. Bar = 15μm.

**Figure 3.** A. Subcellular flavonol accumulation in wild-type guard cells compared to \( eto1 \) and \( tt4 \). Flavonol accumulation was measured using guard cell DPBA fluorescence intensity values and the average ± SE is reported relative to the levels in the cytosol of Col-0 for \( n=90 \) stomata. * Significant difference (\( P < 0.005 \)) between mutant and the wild-type within cellular location. # Significant difference (\( P < 0.02 \)) between cytosol and nucleus within genotype. B. Liquid chromatography-mass spectroscopy analysis of flavonol levels in whole leaves showed enhanced accumulation or quercetin and kaempferol in \( eto1 \) leaves compared to control (\( P < 0.003, P < 0.06 \)). No flavonols were detected in \( tt4 \). Data represents results from 3 separate experiments. \( n=6 \). ND=not detected because compound is either absent or below the level of threshold detection.

**Figure 4.** Stomatal aperture of Col-0 and \( tt4 \) in response to ABA. ABA sensitivity is indirectly proportional to relative flavonol concentration. Guard cells were incubated under white light in a 20μM ABA solution for 0, 45, 90, and 180 minutes. The average ± SE of 90 stomata from three biological replicates are reported. * Significant difference (\( P < 0.05 \)) between mutant and Col-0 at each time point as determined by Student’s t-test.

**Figure 5.** Subcellular flavonol accumulation in Col-0 and \( ein2-5 \) guard cells with and without ethylene treatment. Intact soil grown plants were incubated in ethylene gas at 5ppm
concentration for 24 hours. DPBA fluorescence intensity values were quantified and are reported relative to the untreated Col-0 cytosol fluorescence intensity. * Significant difference (P < 0.02) between mutant and the wild type within treatment; # significant difference between treated and untreated controls within a genotype as determined by Student’s t test (P < 0.02). The average ± SE of 90 stomata from three biological replicates are reported.

**Figure 6.** A. Confocal micrographs of DCFH-DA-stained guard cells of four week old Col-0, tt4, and eto1 plants. DCF fluorescence is shown in green, and chlorophyll autofluorescence is shown in blue in separate channels and merged images captured under identical confocal settings. Bar = 15µm. B. Quantification of subcellular DCF fluorescence in guard cells. Leaf peels of 3-4 week old plants were stained with 2.5µM DCFH-DA for 30 minutes and imaged using confocal microscopy. DCF intensity values in the cytosol and nucleus of guard cells were determined and are reported relative to the levels in the cytosol of Col-0. The average ± SE of 90 stomata from three biological replicates are reported. * Significant difference (P < 0.005) between mutant and the wild type within cellular location. # Significant difference (P < 0.02) between cytosol and nucleus within genotype. C. Quantification of subcellular DCF fluorescence in guard cells. Data from two experiments are combined and the average ± SE of 60 stomata are reported. * Significant difference (P < 0.005) between mutant and the wild type within treatment. # Significant difference (P < 0.05) between treated and untreated controls within genotype as determined by student’s t test.

**Figure 7.** Stomatal aperture widths in response to ABA. ABA sensitivity is indirectly proportional to relative flavonol concentration. Guard cells were incubated under white light in a 20µM ABA solution for 0, 45, and 90 minutes. The average ± SE of 90 stomata from three biological replicates are reported. * Represents significant difference between samples and untreated Col-0 using a Student’s t test (P < 0.05).

**Figure 8.** Figure 8. A proposed model of the effects of ethylene and flavonols on stomatal closure. Abscisic acid (ABA) is released into the cytosol where it triggers respiratory burst oxidases, which induce a burst of reactive oxygen species (ROS), which act as secondary messengers to signal stomatal closure. Ethylene induces flavonols accumulation in guard cells
through EIN2. Flavonols act as antioxidants to scavenge ROS and thereby inhibiting stomatal closure. In the absence of flavonols, ethylene induced ROS accumulation after 24 hours, but not after 3 hours of treatment. Similarly, 24 hours of ethylene treatment affected stomatal aperture in tt4, while 3 hours did not. The effects of ethylene observed at 24 hours are indicated with dashed lines. Arrows represent activation and bars represent repression.

**Supplemental figure 1:** Confocal imaging of Col-0 guard cells show DPBA fluorescence in the nuclei of guard cells.

**Supplemental Figure 2:** Three hour ethylene treatment induces flavonols accumulation and decreases ROS concentrations and the rate of stomatal closure.

**Supplemental figure 3:** Stomatal aperture widths in opening solution without ABA for 90 minutes.

**Supplemental figure 4:** Subcellular flavonol accumulation in wild-type guard cells compared to eto1 after treatment with 20μM ABA.
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