

1 **Running head: high resolution cavitation- and frost-fatigue**

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13 **Research area:**

14 Ecophysiology and Sustainability

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16 **Investigations concerning cavitation- and frost-fatigue in clonal *Populus* 84K using ‘high**  
17 **resolution’ cavitron measurements<sup>1</sup>**

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28 **Summary:**

29 Frost and drought induced 'fatigue' in *Populus* stems, which damaged vessels making them more  
30 vulnerable to embolism in future; evidence supports a common mechanism causing both types of  
31 fatigue.

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34 **Footnotes**

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37

38 **Abstract**

39 Both drought and freezing-thawing of stems induce a loss of hydraulic conductivity (PLC, percent  
40 loss of conductivity) in woody plants. Drought induced PLC is often accompanied by physical  
41 damage to pit membranes causing a shift in vulnerability curves (cavitation-fatigue). Hence if  
42 cavitated stems are flushed to remove embolisms, the next vulnerability curve is different (shifted  
43 to lower tensions). The *Populus* clone (84K *Populus alba*×*Populus glandulosa*) has small vessels  
44 that should be immune from frost-induced PLC, but results demonstrated that freezing-thawing in  
45 combination with tension synergistically increased PLC. Christensen-Dalsgaard & Tyree were the  
46 first to define ‘frost-fatigue’ which is similar to cavitation-fatigue but induced by freezing. Frost-  
47 fatigue caused a transition from a single- to a dual-Weibull curve but drought-fatigued stems had  
48 single-Weibull curves shifted to lower tensions. Studying the combined impact of tension plus  
49 freezing on fatigue provided evidence that the mechanism of frost-fatigue may be due to extra  
50 water tension induced by freezing or thawing while spinning stems in a centrifuge rather than  
51 direct ice-damage. A hypothesis is advanced that tension is enhanced as ice crystals grow or melt  
52 during the freeze or thaw event, respectively, causing a nearly identical fatigue event as induced  
53 by drought.

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55

56 **INTRODUCTION**

57 Water transport in xylem conduits of trees occurs while water is under tension (= negative  
58 pressure) (Tyree and Zimmermann, 2002). The xylem water-transport system is vulnerable to  
59 cavitation and embolism, because tensile water is meta-stable so if a gas bubble appears in a  
60 conduit it will rapidly expand to fill the conduit whenever the fluid tension is  $\geq 0.1$  MPa, where a  
61 tension of 0.1 MPa is equivalent to vacuum-pressure. A cavitation event occurs whenever a tensile  
62 water-column breaks which results in a water-vapor-filled void. Because of Henry's law of gas  
63 solubility in water, this vapor-void will eventually equilibrate with air at atmospheric pressure at  
64 which point the conduit is fully embolized (Tyree and Zimmermann, 2002). Embolism has been  
65 identified as a limiting factor of primary production (Hubbard et al., 2001). As a result, tree  
66 growth and fitness are probably negatively impacted temporarily or seriously limited permanently  
67 if embolism is extensive (Christensen-Dalsgaard and Tyree, 2013).

68 The two main factors causing cavitation and embolism are drought and frost (Mayr et al.,  
69 2003; Christensen-Dalsgaard and Tyree, 2013). Drought-induced cavitation is caused by the high  
70 xylem tension attributed to water stress. The high tension in the sap forces air bubbles into  
71 functional conduits from neighboring embolized ones through shared pit membranes (Jarbeau et  
72 al., 1995; Sperry et al., 1996; Hacke et al., 2001; Stiller and Sperry, 2002; Christman et al., 2012)  
73 according to "air seeding" mechanism (Sperry and Tyree, 1988; Cochard et al., 1992). Hence, the  
74 continuity of water flow is disrupted due to cavitation. Frost-induced cavitation, on the other hand,  
75 occurs when dissolved gases in the sap freeze out and create bubbles during ice formation because  
76 air is not soluble in ice (Mayr et al., 2003; Christensen-Dalsgaard and Tyree, 2013, 2014) but  
77 remains entrapped between ice crystals. Once the sap melts and tension is re-generated, the  
78 entrapped bubbles may expand to embolize the conduits instead of dissolving (Pittermann and  
79 Sperry, 2006). Current thinking is that freezing-induced embolism occurs when the tension  
80 exceeds a critical value determined by the surface tension of the bubbles, which mainly depends  
81 on the xylem water potential and the bubble radius (Yang and Tyree, 1992; Tyree et al., 1994;  
82 Hacke and Sperry, 2001). Larger bubbles may form in conduits with a larger diameter, so species  
83 with larger conduits are more vulnerable to frost-induced embolism (Langan et al., 1997; Davis et  
84 al., 1999; Pittermann and Sperry, 2006). Furthermore, enhanced loss of hydraulic conductivity of  
85 trees may occur when stems are subjected to a combination of frost-drought causing low xylem  
86 water potential (Mayr et al., 2003; Willson and Jackson, 2006) and repeated freeze-thaw cycles  
87 (Sperry and Sullivan, 1992; Cox and Zhu, 2003; Mayr et al., 2003). However, even trees with  
88 small conduits are found to suffer severe embolism in winter (Sperry et al., 1988; Ameglio et al.,  
89 2002) due mostly to freeze-drying of stems.

90 Resilient species are those which suffer no significantly different cavitation resistance before  
91 and after a cavitation-refilling cycle (Hacke et al., 2001; Christensen-Dalsgaard and Tyree, 2013).  
92 In contrast, species that are weakened by cavitation or frost are said to suffer cavitation-fatigue or  
93 frost-fatigue. Cavitation and frost-fatigue is quantified by how much the vulnerability curve is  
94 shifted before versus after a fatigue-inducing event, and it is typically reported as a shift in  $P_{50}$ .  
95 The  $P_{50}$  is either the pressure (negative value) or tension (positive value) that produces 50 % loss  
96 of hydraulic conductivity ( $K_h$ ). In the rest of this paper we will use  $T_{50}$  to indicate the tension at  
97 50 % loss of conductivity or  $T_x$  to indicate the tension that induces  $x$  % loss of conductivity.  
98 Vulnerability curves (VCs) are usually measured by a centrifuge technique (Alder et al., 1997;  
99 Cochard et al., 2005), but most people measure just 5 or 6 points to determine a VC. “High  
100 resolution” VC curves with 9 to 27 points per curve can be collected quickly using the Cochard  
101 rotor. Recent studies have successfully used high resolution VC to characterize the detailed shape  
102 of VCs revealing dual-Weibull curves, e.g., r- and s- shaped or dual s-shaped curves (Cai et al.,  
103 2014; Wang et al., 2014a), because a complex shape to a VC cannot be identified with just a few  
104 points. Furthermore, we used a centrifuge to induce tension while simultaneously freezing in  
105 order to study the combined impact of tension and freezing-thawing on frost-fatigue and freeze-  
106 thaw-induced embolism.

107 In this paper, we intend to investigate whether drought and freeze-thaw cycles could have an  
108 effect on the cavitation resistance in terminal shoots from adult trees of 84K poplar (*Populus alba*  
109  $\times$  *Populus glandulosa*), with high resolution analysis of VCs and artificial freeze-thaw simulation  
110 technique. *Populus* are known to be water-demanding, drought-sensitive species with  $T_{50}$  ranging  
111 from 1.07 to 2.5 MPa (Fichot et al., 2014) and vulnerable to winter damage (Feng et al., 2010).  
112 Amongst poplars, 84K poplar is known by foresters to be relatively resistant to water stress, low  
113 temperature, diseases and insects (Zhou et al., 2007). As the main afforestation species in  
114 Shaanxi, Gansu and Qinghai Province, 84K is of great ecological importance.

115

## 116 **RESULTS**

### 117 **Cavitation-fatigue**

118 Vulnerability curves of 84K stems were measured 4 times; the first VC was measured in flushed,  
119 native material and the other 3 VCs were obtained on the same stem segments after exposure to 3  
120 cycles of flushing with 0.1 M KCl and 0.01 M CaCl<sub>2</sub> solutions followed by VC measurements.  
121 All VCs were s-shaped and fit Weibull curves with an average route mean square error ( $RMS_{error}$ )  
122 of 2.31 %; see Fig. S1A for typical curves. The recovery of  $K_h$  after each flush cycle averaged  
123 about 99.48 % for all experiments (Table I). The mean values  $\pm$  SE of tension ( $T_x$ ) at 10 %  
124 increments of PLC are shown in Table II together with results of a significance test between KCl

125 and CaCl<sub>2</sub> treatments. There was no significant difference between  $T_x$  values for KCl and CaCl<sub>2</sub>  
126 perfusions except between the 2<sup>nd</sup> and 3<sup>rd</sup> VC where CaCl<sub>2</sub> perfusion made the stems significantly  
127 more vulnerable from  $x = 10$  to 50 PLC.

128 The shift of vulnerability curves to the left in Fig. 1 indicated a loss of cavitation resistance  
129 (cavitation-fatigue), but a shift to the right indicated a gain of cavitation resistance (recovery from  
130 cavitation-fatigue). The significance of the shift in VCs was calculated two ways: shifts of mean  
131 VCs and shift between stems (see Eq. 3 in Methods). The significance tests based on shifts of  
132 means is shown in Table III. There were significant difference between the 1<sup>st</sup> versus 2<sup>nd</sup>, 1<sup>st</sup>  
133 versus 3<sup>rd</sup>, and the 1<sup>st</sup> versus 4<sup>th</sup> VC for both KCl and CaCl<sub>2</sub> flushed stems and between the 2<sup>nd</sup> and  
134 4<sup>th</sup> VCs for KCl flushed stems based on the means in Table II.

135 The significance test for shifts in the mean values of  $T_x$  were confounded by the population  
136 variability of VCs between individual stems. A stronger test of the shift in  $T_x$  values is obtained by  
137 using Eq. 3B to compute the means shift of all individual branches and testing if the shift is  
138 significantly different from zero. The shifts can be computed as absolute cavitation-fatigue shifts  
139 ( $aCF_x$ ) or relative cavitation-fatigue shifts ( $rCF_x$ ) as defined in Eq. 3 and these are shown as plots  
140 in Fig. 2 and 3. Fig. 2 and 3 demonstrated that there was a positive increase in cavitation-fatigue  
141 (positive  $aCF_x$  or  $rCF_x$ ) between the 1<sup>st</sup> and 2<sup>nd</sup> VC. But there were small but significant  
142 improvements (negative  $aCF_x$  or  $rCF_x$ ) for most other cycles. Negative values of  $aCF_x$  or  $rCF_x$   
143 indicate the resistance to cavitation improved. Stems flushed by KCl solutions recovered more  
144 than stems flushed with CaCl<sub>2</sub> solutions (Fig. 2&3).

#### 145 **Frost-fatigue and frost-induced PLC**

146 The vulnerability curves of 84K poplar were sigmoid curves in all experiments even after  
147 cavitation-fatigue had occurred (Fig. 1 and S1A). In contrast, our freeze-thaw treatments caused  
148 significant change in the shape of the VCs measured after flushing. They changed from single to  
149 dual s-shaped Weibull curves (Fig. 4 and S1B, Eq. 2B). Freeze-thaw cycles were conducted on  
150 flushed stems at 4 different tensions 0.088, 0.5, 1.0 and 1.5 MPa while the stems were spinning in  
151 a cavitron, and the mean flushed conductivity after the freeze-thaw event was  $\geq 95$  % of the  
152 original (Table I). The highest two tensions were enough to cause some cavitation-fatigue in the  
153 absence of freezing but there was a synergy between the freeze-thaw event and cavitation-fatigue  
154 causing a higher loss of maximum conductivity (Fig. 5) than expected from cavitation-fatigue  
155 alone. At the lowest three tensions the frost-fatigued curves were not significantly different and  
156 involved a shift in the 10 to 15 % of most vulnerable vessels (Fig. 4). However, a freeze-thaw  
157 cycle at tension = 1.5 MPa caused a significant increase in frost-fatigue.

158 The mean vessel diameter of 84K poplar was  $28.70 \pm 0.11$   $\mu\text{m}$  ( $N = 4307$ ) and hence should  
159 not be big enough to induce loss of conductivity during a freeze-thaw event (Hacke and Sperry,

160 2001) and this fact is confirmed in the near-zero tension value shown in Fig. 5; nevertheless frost-  
161 fatigue occurred even at the lowest tension (Fig. 4). However increased tension caused a reduction  
162 in maximum  $K_h$  (Fig. 5) wherein the loss of  $K_h$  exceeded that due to tension-induced cavitation  
163 without freezing. This suggests that the freeze-thaw event caused an increase in tension (double  
164 arrows in Fig. 5) during either the freezing or during thawing or both as explained in the  
165 Discussion.

166 Frost-fatigue VCs looked very different from cavitation-fatigue VCs, because the former  
167 transformed an s-shaped curve into a dual s-shaped curve whereas cavitation-fatigue shifted the  
168 entire s-shaped curve to the left in Fig. 1 but it remained a simple s-curve. We postulated that  
169 frost-fatigue might damage only the most vulnerable vessels, hence the nature of the damage  
170 might be duplicated by cavitation-fatigue in only the lower half of the VC. To test this notion we  
171 measured vulnerability curves of stems only to the 50 PLC value (Fig. 6, solid line), flushed the  
172 stem then measured the VC again (Fig. 6, dashed curve). This procedure produced a dual Weibull  
173 strikingly similar to our frost-fatigue curve (Fig. 4, dotted line).

#### 174 **Field observations of frost-fatigue**

175 Vulnerability curves measured in four consecutive phenological phases were plotted in Fig. 7A.  
176 The VCs were not significantly different from each other no matter how many freeze-thaw cycles  
177 occurred during the winter (Table IV). The frequency of frost events in the winter of this study  
178 can be deduced from the maximum and minimum temperatures shown in Fig. 7B, but the stems  
179 might not have frozen every time the air temperature fell below 0 °C because of super-cooling.  
180 However, once leaves were fully expanded values of  $T_{60}$  to  $T_{90}$  declined perhaps because of  
181 growth of new vessels in spring. The frost-fatigue in early winter suggested that some of the early  
182 winter freezing events were enough to cause some frost-fatigue that persisted into the spring.  
183 Comparison of Fig. 7A to Fig. 4 through 5 suggests that the first natural freezing events in trees  
184 might have been accompanied by an enhancement of xylem tensions of  $\geq 0.5$  MPa at the time of  
185 the freeze-thaw events. Frost-fatigue hydraulically damaged about 16 % of the most vulnerable  
186 vessels (Fig. 7A). These freeze-thaw events caused no significant shift in the  $T_{50}$  (see Table IV  
187 and II), hence the events reported in this paper would not have been observed in previous studies  
188 that reported only the impact of frost damage on  $T_{50}$  (Christensen-Dalsgaard and Tyree, 2013).  
189 High resolution vulnerability curves are needed to document the cause of dual-s Weibull curves.  
190 At the beginning of our research on 84K poplar, dual-s Weibull curves were observed in June and  
191 July. By August the 84K poplar shoots grew enough for cavitron sized stem segments (0.274 m  
192 long by 6 mm diameter) to include only current year shoots. Hence, when cavitation-fatigue  
193 experiments began in August only s-shaped curves were observed (see Fig. 1). When the trees



194 flushed new leaves after winter, the vulnerability curves were still dual Weibull similar to those  
195 measured in the winter.

196

## 197 **DISCUSSION**

198 The present study revealed two different fatigue responses in 84K poplar: a strong drought-  
199 induced cavitation-fatigue and a weaker frost-induced fatigue. These will be discussed separately  
200 below.

### 201 **Cavitation-fatigue: relative ( $rCF_x$ ) and absolute ( $aCF_x$ )**

202 Many prior studies have described cavitation fatigue, see Hacke et al. (2001) and papers cited  
203 therein. The unique findings of our study were: (1) That repeated cycles of cavitation and flushing  
204 do not cause additional damage, i.e., repeated cycles of cavitation and flushing did not increase  
205 the magnitude of fatigue (Fig. 1) rather our data showed significant partial recovery (Figs. 2 and  
206 3). (2) That high resolution measurements of VCs revealed pattern of cavitation-fatigue in which  
207 the  $rCF_x$  was a linear function of the tension ( $T_x$ ) causing the fatigue (Fig. 2A and 3A) wherein the  
208 most vulnerable vessels exhibit the most relative cavitation-fatigue. (3) That when minor recovery  
209 from cavitation-fatigue occurred after three cycles of cavitation and flushing, the biggest recovery  
210 occurred among the most vulnerable vessels. (4) That repeated cycles of flushing and cavitation  
211 showed that  $\text{CaCl}_2$ -flushed stems exhibited poorer recovery than KCl-flushed stems (see Fig. 2  
212 and 3).

213 With regard to the last finding, we compared stems flushed with  $\text{CaCl}_2$  versus KCl to  
214 investigate the possible role of  $\text{Ca}^{2+}$  in stabilizing cell walls and pit membranes (Cosgrove, 1997;  
215 van Ieperen and van Gelder, 2006). Cell walls are weak ion exchange resins containing mostly  
216 polyuronic acids that normally favor the accumulation of  $\text{Ca}^{2+}$  at the weak acid exchange sites.  
217 Repeated flushing with concentrated KCl would gradually eliminate nearly all Ca absorbed to the  
218 exchange sites.  $\text{Ca}^{2+}$  might serve as a bridge between weak acid polymers that might add to the  
219 stability of pit-membrane pores that seed cavitation. Our results conclusively show that washing  
220 out  $\text{Ca}^{2+}$  by flushing with 0.1 M  $\text{K}^+$  enhanced rather than weakened cavitation resistance from  
221 which we might conclude that putative pit-membrane pores actually shrunk. In contrast, flushing  
222 with  $\text{Ca}^{2+}$  made no difference compared to  $\text{K}^+$  and may actually retard recovery from cavitation-  
223 fatigue.

224 Trends of  $rCF_x$  versus  $T_x$  had slopes significantly different from zero. In other species of  
225 *Populus* the vulnerability to cavitation (measured by the b constant in the Weibull function) was  
226 linearly related with vessel diameter (Cai and Tyree, 2010). Hence it can be hypothesized that the  
227 larger vessels have more relative cavitation-fatigue ( $rCF_x$ ). More research is needed to confirm  
228 this relationship. Values of  $rCF_x$  in other species are also worth documenting in the future.

229 In our study ‘drought’ was induced artificially in a centrifuge but it is generally assumed that  
230 soil-based drought events will have the same impact on cavitation-fatigue, although there are few  
231 examples proving that assumption with the notable exception of the well-documented case of  
232 sunflower (Stiller and Sperry, 2002). In the case of sunflower, potted plants were dehydrated by  
233 withholding water and the stem recovered from drought induced cavitation-fatigue within 4 days  
234 of re-watering, whereas the excised stems cavitating in a centrifuge showed weak or no recovery.  
235 Our study on 84K poplar also showed small but significant recovery from cavitation-fatigue.  
236 More comparisons between whole plants and excised shoots need to be done to understand the  
237 mechanism of recovery in whole plants. In a survey study of 7 species, Hacke et al. (2001) found  
238 that three species were ‘resilient’ and four species were not resilient. Among the four species in  
239 (Hacke et al., 2001) two were *Populus sp.* that exhibited cavitation-fatigue in agreement with our  
240 84K-clone *Populus*. In contrast, Christensen-Dalsgaard and Tyree (2013) have reported the  
241 Walker-clone *Populus* to be resilient in terms of  $T_{50}$ .

#### 242 **Absolute Frost-fatigue ( $aFF_x$ ) and Frost-Induced PLC**

243 In contrast to cavitation-fatigue in which the pre- and post-fatigued stems were both s-shaped,  
244 frost-fatigue changed the VC from an s-shape to a dual s-shape. Most previous studies of frost-  
245 fatigue (Hacke and Sperry, 2001) have focused on increased PLC after a freeze-thaw event and  
246 frost-fatigue was measured as a shift of 4 to 5 point VCs of unfrozen versus frozen samples.  
247 Christensen-Dalsgaard and Tyree (2014) focused on shifts of  $T_{25}$ ,  $T_{50}$  and  $T_{90}$  from VCs with 6 to 7  
248 points. Our study is unique in quantifying high resolution curves with > 20 points depending on  
249 the complexity of the curve. High resolution curves are needed to accurately resolve complex  
250 curve shapes.

251 From previous studies we would predict that 84K poplar would exhibit no PLC or frost-  
252 fatigue following a freeze-thaw cycle because vessel diameter of 84K poplar (28  $\mu\text{m}$ ) was below  
253 the critical diameter of 30  $\mu\text{m}$  (Davis et al., 1999). Species with vessel-diameters 40  $\mu\text{m}$  are  
254 typically 100 % embolized after a freeze-thaw event whereas species with vessels between 30 and  
255 40  $\mu\text{m}$  diameter are intermediate (Davis et al., 1999). Our results demonstrated that tension in  
256 combination with a freeze-thaw event caused more PLC than tension alone (see Fig. 5). Our study  
257 is the first to demonstrate that species with vessels < 30  $\mu\text{m}$  diameter are impacted when frozen  
258 under tension, which caused increased PLC and frost-fatigue. It is not clear whether frost-induced  
259 embolism is always accompanied by frost-fatigue nor do we fully understand the mechanism of  
260 damage to pit membranes making them more vulnerable to cavitation after a freeze-thaw event.

261 Our results were consistent with the notion that a freeze-thaw event increased the centrifuge-  
262 induced “background” tension present in the stem segment during the experiment. Hence more  
263 research is justified to test the hypothesis that cavitation-fatigue and frost-fatigue might both be

264 manifestations of cavitation-fatigue. The idea that a common mechanism existed between frost-  
265 and cavitation-fatigue was confirmed by the cavitation-fatigue experiment in which the first VC  
266 was taken only to 50 % PLC, because when these stems were flushed the cavitation-fatigue that  
267 resulted was strikingly similar to the frost-fatigue VC (compare Figs. 6 to 4).

268 Comparing the results in Fig. 5 (dashed line minus solid line) one can conclude that the  
269 freeze-thaw cycle increased the tension by about 0.5 to 0.8 MPa (double headed arrows in Fig. 5).  
270 Since water expands to form ice as it freezes and contracts as it thaws one might presume the  
271 frost-fatigue occurred during the thaw. But this simple notion might be wrong, because when *Acer*  
272 and *Juglans* stems freeze the tension often occurred during the freeze and positive pressure  
273 occurred during the thaw. Readers should consult previous work on *Acer* for the detailed  
274 explanation for this notable behavior (Tyree, 1983; Johnson et al., 1987; Cirelli et al., 2008).  
275 Briefly, however, the development of tension is due to water migration into air-filled wood fiber  
276 cells to form ice (O'malley and Milburn, 1983; Milburn and O'malley, 1984) during the freeze  
277 event. The ice formation in the Milburn-O'Malley model is by vapor distillation from water in  
278 vessels to ice-crystals growing in air-filled spaces and the distillation process will induce tension  
279 in the liquid water. Our suggested interpretation of Fig. 5 is as follows: In flushed stems all wood  
280 fiber cells are filled with water so freezing induces no extra tension hence there can be no freezing  
281 induced PLC. But if the stems are put under tension before freezing then air-spaces are created in  
282 some fiber cells where ice can form causing freezing-induced tension by the Milburn-O'Malley  
283 model (Tyree 1983; Milburn and O'Malley 1984); the enhanced tension would then induce  
284 cavitation before the vessels are totally frozen. However, the parsimonious explanation is that  
285 bubbles entrapped in ice expand during the thaw while ice melts under tension. We reject the  
286 parsimonious interpretation because a tension of 0.5 MPa should be enough to induce 100 %  
287 embolism in all vessels because all vessels have air entrapped in ice. In contrast the Milburn-  
288 O'Malley model predicts that tension will increase as the amount of air space for ice formation  
289 increases and the volume of air-filled fibers might increase with tension. Future research may  
290 resolve these conflicting ideas.

291 An alternative explanation is that ice-crystal formation somehow damages pit membranes  
292 (Christensen-Dalsgaard and Tyree, 2014). For this to occur ice crystals have to grow faster on one  
293 side of pit membrane than the other. This is highly likely to occur if one side has water that can  
294 freeze but the other side has air, because as water expands 10 % to form ice the pit membrane  
295 could be pushed towards the embolized side causing tensile failure to the membrane. In contrast,  
296 if water and ice are present on both sides of the pit membrane the pressure of ice might oppose  
297 each other equally resulting in no damage. This idea about 'one-sided' ice growth cannot be tested

298 in 84K poplar, because embolizing 50 % of the vessels induced about the same amount of fatigue  
299 measured as a shift in vulnerability curve at the lower PLC-values as frost-fatigue.

300 Comparing Figs 1 and 6 reveals a shift of  $aCF_x$  (at  $x = 10$  PLC) of about 1 MPa and this shift  
301 is the same as the frost-fatigue shift ( $aFF_x$ ) at 10 PLC (Figs. 4 and 7). It could be argued that ice-  
302 induced damage to pit-membranes ought to be quite different from cavitation damage. During a  
303 cavitation event only one pore out of millions of pores connecting adjacent vessels needs to be  
304 plastically deformed to make a larger hole which makes the vessels more prone to cavitation in  
305 subsequent VCs (Fig. 1). Based on surface tension arguments (Tyree and Zimmermann, 2002), we  
306 can say that the diameter ( $D$ ) of a pit membrane pore that induces cavitation should be  $= \cos(\theta)$   
307  $4\gamma/\Delta P$ , where  $\Delta P$  is the pressure difference inducing cavitation across the pore and  $\theta$  is the contact  
308 angle of the air/water interface at the pore surface and  $\gamma$  is the surface tension of the solution at the  
309 pit pore. Frost- or cavitation-fatigue ( $aFF_x$  or  $aCF_x$ , respectively) equals the shift in  $\Delta P$  to  $\Delta P_f$ , i.e.,  
310 from a normal to fatigued pressure difference inducing cavitation. Hence  $D_f = D \Delta P/\Delta P_f$ . The  
311 shift of  $aCF_x$  at 10 PLC is  $\Delta P = 1.5$  to  $\Delta P_f = 0.5$  MPa from which it follows that the most fatigued  
312 pore in the pit membrane is 3 times larger than the native pore (because  $\Delta P/\Delta P_f = 3$ ). The  
313 magnitude of  $aCF_x = aFF_x$  in our study (at  $x = 10$  PLC), which is a remarkable coincidence. One  
314 might argue that if growth of ice-crystals is poking holes in pit membranes, the holes ought to  
315 occur in thousands of membrane connecting adjacent vessels and it seems unlikely that the shift  
316  $aFF_x$  and  $aCF_x$  would be nearly identical. More experimental work might provide useful data to  
317 resolve the above speculations.

318 We think much more can be learned about cavitation- and frost-fatigue through high  
319 resolution measurement of vulnerability curves in a Cochard cavitron. At the time this study was  
320 completed we could achieve fits to single or dual Weibull curves with  $RMS_{error}$  of about 2 %. The  
321  $RMS_{error}$  was primarily due to the error in estimation of  $K_h$  and  $K_{max}$  because  $PLC = 100(1 -$   
322  $K_h/K_{max})$ . Subsequent to the completion of this study we were able to increase the precision of  
323 measurements of  $K_h$  and  $K_{max}$  by a factor of 5 (Wang et al 2014b). The increase of precision was  
324 achieved by using a regression method to estimate hydraulic conductivity values and by using a  
325 centrifuge that can control temperature of the stem to  $\pm 0.04$  °C. Temperature control is important  
326 because  $K_h$  is inversely proportional to  $1/\text{viscosity}$  of water and the viscosity changes about 2.4 %  
327 °C<sup>-1</sup>. By using high resolution measurement (more points) and high precision measurements (more  
328 accurate  $K_h$ ), future studies on cavitation- and frost-fatigue may reveal more about the  
329 mechanisms of damage and about the linkage, if any, between the two types of fatigue.

330

331

## 332 MATERIALS AND METHODS

### 333 Plant material

334 The study was carried out on the clonal *Populus* 84K (*Populus alba*×*Populus glandulosa*)  
335 growing near Northwest A&F University, Yangling, Shaanxi, China (34°15'N 108°4'E, Elev. 457  
336 m). The sampling was conducted at intervals from August to April over a fall-winter-spring  
337 season in 2013-2014. All measurements were done on shoots that were not significantly water  
338 stressed before collection because no evidence of cavitation-fatigue was observed in the first VC  
339 after flush. Shoots 90- to 120- cm length were excised, because preliminary air-injection  
340 experiments indicated that maximum vessel length was < 50 cm and mean about 6 cm, hence the  
341 vessels are short enough relative to cavitron sample length to avoid 'open-vessel' artifacts  
342 reported by Wang et al. (2014a). The branches were enclosed in humidified black plastic bags  
343 after spraying leaves with water. Then they were transferred to the laboratory within 15 min and  
344 submerged in water for at least 30 min to release tension. While submerged, a 27.4-cm-long  
345 segment was cut from each branch using fresh razor blades. Leaves were removed when present.  
346 These segments were exposed either to four consecutive cycles of cavitation-refilling or to a  
347 freeze-thaw cycle in combination with tensile-water, imposed by spinning stems in a centrifuge.  
348 Additional experiments were done on the same species to compare lab results with field  
349 conditions throughout the winter and spring.

350 A vulnerability curve (VC) defines the relationship between percentage loss of hydraulic  
351 conductivity (PLC) and the tension ( $T$ ), where  $T$  = minus the xylem pressure potential. We used  
352 the whole vulnerability curves to quantify "fatigue" over 9 equally spaced points on the y-axis of  
353 the VC (for simple s-shaped curves). The cavitron technique was used to measure vulnerability  
354 curves. Both cavitation and frost-fatigue were measured in terms of the shift of the vulnerability  
355 curve along the tension axis.

### 356 Flushing with particle 'free' water

357 Clean flushing water was essential to prevent plugging of pit membranes in the segments (27.4  
358 cm long) because one objective was to carry out 4 repeated cycles of cavitation-refilling on the  
359 same stem with two different solutions: 0.1 M KCl and 0.01 M CaCl<sub>2</sub>. The clean water system  
360 below could repeatedly restore maximum conductivity ( $K_{max}$ ) to 99.4±0.6% of the first  $K_{max}$ .

361 An ultrapure water system, model GYJ1-10L-S (Huachuang Inc., Chongqing, China) was  
362 used which, according to specifications, produces water with less than one particle per ml of >10  
363 nm diameter. Before flushing, freshly filtered water was produced each morning and reagent  
364 grade KCl or CaCl<sub>2</sub> was added, and stored in a stainless steel captive air tank (CAT) model 3400-  
365 002 (SHURflo Inc., Cypress, CA). The rubber bag inside the captive air tank was about 1.5 mm  
366 thick and comprised 90 % of the surface area in contact with the salt solution. The lid and outlet

367 tubing was stainless steel. Contrary to popular belief, stainless steel is not rust free; rust is easily  
368 observable in the rubber bag but it does not adhere to the stainless steel surfaces. We recommend  
369 cleaning the tank twice per week to eliminate plugging-rust-particles gradually formed in the CAT.  
370 The SHURflo CAT was selected because it could be disassembled by removing 6 retaining bolts  
371 on the lid allowing removal of the rubber bag and access to the stainless steel parts normally in  
372 contact with the salt solutions. All plastic and rubber tubing of the apparatus was cleaned with  
373 bleach to kill micro-organisms followed by flushing twice with purified water. Also, the solution  
374 in the tank was left unpressurized when not in use because air slowly permeates across the rubber  
375 bag. We observed that leaving the tank pressurized for 24 h made the water weakly effervescent  
376 which could cause bubble formation in stem segments during a flush.

### 377 **Cavitron measurements**

378 The measurements on hydraulic conductivity ( $K_h$ ) and vulnerability curves (VCs) were carried out  
379 using a custom rotor designed by Cochard et al. (2005) based on the centrifuge technique (Alder  
380 et al., 1997). Design details were described in detail in Cai and Tyree (2010).

381 Briefly, this technique makes it possible to measure the  $K_h$  while the stem segment is  
382 spinning at any given tension. A 27.4 cm segment is placed in the rotor mounted on the centrifuge.  
383 Both ends of the segment are placed in cuvettes filled with liquid (0.1 M KCl or 0.01 M CaCl<sub>2</sub>)  
384 that could be replenished while spinning. A pressure difference generated by the different liquid  
385 levels of the cuvettes drives the liquid through the segment. Then the rate of movement of the  
386 liquid in the stem could be measured directly by a continuous calculation of the volume change in  
387 the cuvettes within a certain time interval.

388 Prior to measurements, the stems were flushed with 0.1 M KCl or 0.01 M CaCl<sub>2</sub> at 40 PSI  
389 (276 kPa) for 30 min to eliminate any native embolism. The length of time required to ensure all  
390 conduits were refilled by the flush was determined in preliminary experiments as outlined in the  
391 section “refilling and repeated cavitation cycles”. The rotor was rotated initially at 1000 RPM  
392 (0.088 MPa) without a stem segment and the thermostat set a few °C below ambient room  
393 temperature in order to have improved temperature control during measurement. Once all  
394 conduits were refilled,  $K_{max}$  was measured at 1000 RPM (0.088 MPa) in the cavitron after a  
395 stabilization time of 15 min. The tension caused by 1000 RPM was too small to cause any  
396 embolism. Subsequently a VC was obtained through stepwise increases in the spin rate of the  
397 centrifuge, subjecting the solution in the conduits to increasingly negative xylem pressures  
398 (tension = minus pressure). The  $K_h$  was collected after a 2 min stabilizing time at each 200 RPM  
399 increase. The percentage loss of hydraulic conductivity (PLC), which was attributed to embolized  
400 conduits, was computed from:

$$401 \quad PLC = 100(1 - K_h/K_{max}) \quad \text{Eq. 1}$$

402 The spin rate was increased until  $K_h$  was reduced to a point where the PLC  $\geq 90$  %.

403 Vulnerability curves with  $\geq 9$  points was deemed sufficient to characterize simple s-shaped  
404 Weibull curves. But 16 to 27 points are necessary to characterize a dual-Weibull curve.  
405 Vulnerability curves, plots of PLC versus tension ( $T$ ), were fitted to a single Weibull curve Eq. 2A  
406 or a dual Weibull curve Eq. 2B through CavAnal software written by M.T.Tyree (Cai et al., 2014):

$$407 \quad PLC/100 = 1 - \exp[-(T/B)^C] \quad \text{Eq. 2A}$$

$$408 \quad PLC/100 = \alpha(1 - \exp[-(T/B_1)^{C_1}]) + (1-\alpha)(1 - \exp[-(T/B_2)^{C_2}]) \quad \text{Eq. 2B}$$

409 where the constants were calculated by minimizing root mean square error ( $RMS_{error}$ ).

#### 410 **Computation of cavitation-fatigue**

411 Between any two cycles of cavitation and flushing of the same stem, cavitation-fatigue was  
412 computed based on absolute shift of  $T_x$  (defined above,  $x = 10, 20 \dots 90$  PLC) from  $T_{x,i}$  to  $T_{x,j}$ ,  
413 where  $i, j$  were the  $i^{th}$  and  $j^{th}$  VC ( $j > i$ ). So for absolute cavitation-fatigue ( $aCF_x$ ) we computed  
414  $aCF_x = (T_{x,i} - T_{x,j})$  and for relative cavitation-fatigue ( $rCF_x$ ) we computed  $rCF_x = (1 - T_{x,j}/T_{x,i})$ . The  
415 means and standard error (SE) of each fatigue value was computed for all the stems in two  
416 different ways. The first was the difference of the means (Eq. 3A) of  $N$  values and the second was  
417 the mean of the differences (Eq. 3B) for  $N$  values. The two value of  $\overline{aCF_x}$  are identical but in the  
418 test of significance in the former we are asking if the means are significantly different whereas in  
419 the latter we are asking if the difference is significantly different from zero, which was  
420 statistically more certain (see results) because the phenotypic difference between individual stems  
421 is eliminated by computing the mean of the different rather than the difference of the mean.

$$\overline{aCF_x} = \frac{1}{N} \left( \sum_{k=1}^N T_{x,i} - \sum_{k=1}^N T_{x,j} \right) \quad \text{Eq. 3A}$$

$$\overline{aCF_x} = \frac{1}{N} \sum_{k=1}^N (T_{x,i} - T_{x,j}) \quad \text{Eq. 3B}$$

422 The mean relative cavitation-fatigue ( $rCF_x$ ) was computed from

$$\overline{rCF_x} = \frac{1}{N} \left( \sum_{i=1}^N (1 - T_{x,j}/T_{x,i}) \right) \quad \text{Eq. 3C}$$

423 These computations allowed us to compute the fatigue shifts for up to 4 cycles of cavitation  
424 and flushing. Positive values of  $aCF_x$  and  $rCF_x$  measured loss in cavitation resistance and negative  
425 values were interpreted as an increase in cavitation resistance.

#### 426 **Temperature calibration**

427 Temperature control during VC measurement is important because  $K_h$  changes  $2.4\% \text{ } ^\circ\text{C}^{-1}$  as stem  
428 temperature changes because of the effect of temperature on the viscosity of water. The Beckman-  
429 Coulter centrifuge has a temperature sensor and thermostatic temperature control, but the factory  
430 sensor is mounted too near the refrigeration coils hence large temperature differences were often  
431 observed between the factory sensor and the sensor we installed near the rotor. The temperature  
432 gradient was explained by the heat generated by the spinning rotor-motor (Wang et al., 2014b). In  
433 order to correct for temperature gradients, a custom temperature sensor based on a LM335 sensor  
434 chip was installed near the rotor midway between the refrigerated wall and rotor. The temperature  
435 of the thermostat had to be adjusted down in temperature in order to achieve constant temperature  
436 in the rotor as rotor RPM increased. Experiments were done to test the adequacy of temperature  
437 control of the stem to within  $\pm 1 \text{ } ^\circ\text{C}$ .

438 Additional experiments were done to ensure we could stabilize temperature of the stems  
439 within reasonable limits. Hydraulic conductivity was measured at constant RPM while changing  
440 the thermostat temperature setting, which caused a corresponding rapid change in stem  
441 temperature as confirmed by changes in  $K_h$ . The air temperature was logged every 10 s by our  
442 independent sensor while periodically measuring  $K_h$  at constant RPM. The stem  $K_h$  acts like a  
443 *defacto* thermometer because  $K_h$  changes in proportion to  $1/\text{viscosity}$  of water. Preliminary  
444 experiments were performed to ‘calibrate’ running mean values of air temperature to the ‘stem’  
445 thermometer. We found out that a 20 min running mean of air temperature correlated  
446 satisfactorily with the change in  $K_h$  when air temperature was changing rapidly (Fig. 8). Even  
447 when the thermostatic temperature was set to a constant value the instantaneous air temperature  
448 changed  $\pm 2 \text{ } ^\circ\text{C}$  as the refrigeration system turned on and off, but the 20 min running mean  
449 changed less ( $\pm 0.5$  to  $1 \text{ } ^\circ\text{C}$ ) when the refrigeration turned on and off more than once per 5 minutes.

#### 450 **Re-filling and repeated cavitation cycles (How long is enough for flushing?)**

451 Preliminary experiments were done to determine the length of time required to refill the  
452 embolized conduits flushed at 276 kPa (40 PSI). The original conductivity ( $K_n$ ) of each branch  
453 prior to flushing was measured at 1000 RPM (0.088 MPa) in the cavitron. The stem was then  
454 subjected to alternating 5 min cycles of flushing and conductivity measurements until the  
455 conductivity stabilized (did not change for three consecutive cycles of flushing). Following this,  
456 the vulnerability curve of the stem was measured.

457 This cycle of flushing to  $K_{max}$  and measurement of a VC was repeated 4 times. Thirty min of  
458 flushing was enough to achieve a stable  $K_h$ . On average  $K_h$  was returned to the same  $K_{max}$  as  
459 measured by the ratio of  $100\% K_{flushed}/K_{max}$  to within  $99.4 \pm 0.6\%$ . If  $K_h$  failed to reach  $\geq 93\%$   
460 following a cavitation/flush cycle then the data was thrown out.

#### 461 **Freeze-thaw cycles**



462 The stems were directly flushed for 30 min at 276 kPa with 0.1 M KCl solution after collection.  
463 Unlike methods from other studies (Ameglio et al., 2001; Willson and Jackson, 2006;  
464 Christensen-Dalsgaard and Tyree, 2013), we froze and thawed the plant material at known  
465 tensions in the stems while spinning in a cavitron. We chose tensions of 0.08, 0.5, 1.0 and 1.5  
466 MPa to continue the frost-fatigue test. In experimentally simulated freeze-thaw cycles, the stems  
467 were exposed to freezing approximately for 2 h at -5°C (Fig. 9, thick line) and simultaneously to  
468 tensions (RPM) of 0.08 (1000), 0.5 (2400), 1.0 (3400) and 1.5 MPa (4100) respectively, following  
469  $K_{max}$  measurements at 1000 RPM. Then the stems were thawed to the room temperature at the  
470 same tension. The conductivity after freeze-thaw treatment was measured. Subsequently, the same  
471 stems were removed, flushed for 30 min and a new VC was measured.

#### 472 **Partial versus full vulnerability curves comparison**

473 In order to illustrate the connection between cavitation and frost-fatigue in 84K poplar, two  
474 different vulnerability curves were measured. The liquid used for flushing was 0.1 M KCl. The 1<sup>st</sup>  
475 VC after flushing was stopped at 50 % embolism, then the stem was removed and flushed again  
476 and then the VC was determined to > 95 % PLC.

#### 477 **Field conditions**

478 Four phenological phases from fall to winter and into the next spring, including natural frost stage  
479 after the first freezing events of winter, budding stage, leaf-flushing stage and mature-leaf stage  
480 were selected for VCs measurements, in order to see if the trees growing outside showed a pattern  
481 of frost-fatigue like that induced in cavitron experiments. In all of these four stages, stems were  
482 used to measure VCs directly after flushing for 30 min with 0.1 M KCl solution at 276 kPa.

#### 483 **Statistics**

484 All statistical analysis were done with the SPSS 18.0 statistics package for PC (SPSS Inc.,  
485 Chicago, IL, USA) using the 0.05 significance level. Comparisons of more than two groups  
486 between all means were made with one-way ANOVA and the Duncan test. Student's *t*-tests were  
487 used to compare means between two groups or differences of means from zero when appropriate.

488

#### 489 **SUPPLEMENTAL DATA**

490 Figure S1. Typical examples of “high resolution” VC curves with 9 to 27 points measured by  
491 Cochard rotor.

492

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590

591

592 Figure 1. Plotted are mean vulnerability curves measured for the same stem exposed to 4 cycles of  
593 cavitation-refilling (flushing) and VCs measurements with 0.1 M KCl solution (A) and 0.01 M  
594 CaCl<sub>2</sub> solution (B). The mean and SE (N = 7 for KCl values and N = 6 for CaCl<sub>2</sub> values) of all  
595 curves were computed. See Table I for the % recovery of hydraulic conductivity after flushes.

596

597 Figure 2. This shows two quantitative measures of cavitation-fatigue for KCl flushed samples.  
598 The fatigue values were calculated from the mean of the differences Eq. 3B (see methods), and is  
599 considered a more powerful test of differences between VCs. A: Mean relative cavitation-fatigue  
600 ( $rCF_x$ ) versus  $x$  = the PLC at which  $rCF_x$  was evaluated. Solid squares compare 1<sup>st</sup> to 2<sup>nd</sup> VC,  $y =$   
601  $-0.3612x + 74.012$  ( $R^2 = 0.9952, p = 2E-09$ ); solid triangles compare 2<sup>nd</sup> to 3<sup>rd</sup> VC,  $y = 0.2335x -$   
602  $31.992$  ( $R^2 = 0.9444, p = 1E-05$ ); solid circles compare 3<sup>rd</sup> to 4<sup>th</sup> VC,  $y = 0.1436x - 16.341$  ( $R^2 =$   
603  $0.95, p = 8E-06$ ); and solid diamonds compare 2<sup>nd</sup> to 4<sup>th</sup> VC,  $y = 0.43x - 52.274$  ( $R^2 = 0.9489, p$   
604  $= 9E-06$ ). B: Mean change in absolute cavitation-fatigue ( $aCF_x$ ) versus  $x$  = the PLC at which  $aCF_x$   
605 was evaluated. Hollow squares compare 1<sup>st</sup> to 2<sup>nd</sup> VC,  $y = -7E-05x^2 + 0.0086x + 0.9352$  ( $R^2 =$   
606  $0.9758, p = 1E-5$ ), however, the individual points were not different from each other significantly  
607 ( $p = 0.334$ ); hollow triangles compare 2<sup>nd</sup> to 3<sup>rd</sup> VC,  $y = -0.0006x - 0.1146$  ( $R^2 = 0.7535, p$   
608  $= 0.0024$ ); hollow circles compare 3<sup>rd</sup> to 4<sup>th</sup> VC,  $y = 0.0004x - 0.099$  ( $R^2 = 0.5669, p = 0.019$ ); and  
609 hollow diamonds compare 2<sup>nd</sup> to 4<sup>th</sup> VC,  $y = 3E-05x^2 - 0.0029x - 0.1636$  ( $R^2 = 0.9877, p = 2E-6$ ).  
610 Error bars are SE. Symbols marked without ‘\*’ marks were not significantly different from zero.  
611 The  $p$ -values in the linear regressions give the probability that the slope is zero.

612

613 Figure 3. This shows two quantitative measures of cavitation-fatigue for CaCl<sub>2</sub> flushed samples.  
614 The fatigue values were calculated from the mean of the differences Eq. 3B (see methods), and is  
615 considered a more powerful test of differences between VCs. A: Mean relative cavitation-fatigue  
616 ( $rCF_x$ ) versus  $x$  = the PLC at which  $rCF_x$  was evaluated. Solid squares compare 1<sup>st</sup> to 2<sup>nd</sup> VC,  $y =$   
617  $-0.3333x + 68.857$  ( $R^2 = 0.9961, p = 1E-09$ ); solid triangles compare 2<sup>nd</sup> to 3<sup>rd</sup> VC,  $y = -0.0018x +$   
618  $0.1707$  ( $R^2 = 0.9233, p = 4E-05$ ); and solid circles compare 3<sup>rd</sup> to 4<sup>th</sup> VC,  $y = 0.3293x - 42.064$  ( $R^2$   
619  $= 0.9413, p = 1E-05$ ). B: Mean change in absolute cavitation-fatigue ( $aCF_x$ ) versus  $x$  = the PLC at  
620 which  $aCF_x$  was evaluated. Hollow squares compare 1<sup>st</sup> to 2<sup>nd</sup> VC,  $y = -6E-05x^2 + 0.0078x +$   
621  $0.8899$  ( $R^2 = 0.9661, p = 4E-05$ ), however, the individuals were not different from each other  
622 significantly ( $p = 0.408$ ); hollow triangles compare 2<sup>nd</sup> to 3<sup>rd</sup> VC,  $y = -0.0005x + 0.1225$  ( $R^2 =$   
623  $0.58, p = 0.017$ ); hollow circles compare 3<sup>rd</sup> to 4<sup>th</sup> VC,  $y = -0.0009x - 0.1599$  ( $R^2 = 0.8087, p =$   
624  $0.001$ ). Error bars are SE. Symbols with no ‘\*’ marks were not significantly different from zero.  
625 The  $p$ -values in the linear regressions give the probability that the slope is zero.

626

627 Figure 4. Vulnerability curves measured in stems following a freeze-thaw cycle (f-t) at varying  
628 tension. Frost induced embolism was removed by flushing before measuring the above VCs,  
629 hence the double s-curves were caused by frost-fatigue. The mean of 6~8 VCs were measured on  
630 flushed stems by the cavitron technique where each curve was fitted with a dual Weibull, then the  
631 mean and SE of all 6~8 best-fit curves were computed.

632

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639 freezing or thawing of tissue.

640

641 Figure 6. Vulnerability curves measured before and after 50 % embolism induced in stems. The  
642 mean and SE of all 6 best-fit curves before (solid curve) and after (dashed curve) 50% embolism  
643 was induced by a tension of about 2.25 MPa; the stems were flushed between the first and second  
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647 Figure 7. A: Vulnerability curves measured when stems were in four phenological phases, natural  
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655 the plateau values on the y-axis =  $\alpha$  in Eq. 2B. B: Shows a plot of minimum and maximum air  
656 temperatures recorded by a weather station 4 km of the location of the sampled trees.

657

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670 stems thawed more slowly than they froze because the centrifuge did not have active heating;  
671 hence the stems and centrifuge was passively heated by the warm lab.

672

673 Figure S1. Typical examples of “high resolution” VC curves with 9 to 27 points measured by  
674 Cochard rotor. A: Typical examples of VC curves with 9 to 13 points measured in cavitation-  
675 fatigue experiments. B: Typical examples of VC curves with 24 to 25 points measured after  
676 freeze-thaw treatment.

677

**Table I.** Percentage restoration of conductivity ( $K_{h_i}$ ) after flushing followed by VCs measurement using 0.1 M KCl and 0.01 M CaCl<sub>2</sub> solution, respectively. Letter  $i$  represents the  $i^{th}$  flush followed by the  $i^{th}$  VC measurement ( $i = 1, 2, 3, 4$ ). The data are based on means  $\pm$  SE of 7 samples (KCl) and 6 samples (CaCl<sub>2</sub>). Also shown is percentage recovery of  $K_h$  after a freeze/thaw event in stems flushed with KCl at different freeze-thaw tensions (F-T Tension); shown are mean  $\pm$  SE of N = 6 to 8.  $K_{f-t} = K_h$  recovered by flushing after the freeze-thaw event and  $K_{max} = K_h$  of flushed stems before freezing.

Solution	$K_{h_2}/K_{h_1}$	$K_{h_3}/K_{h_1}$	$K_{h_4}/K_{h_1}$	
KCl	100.2 $\pm$ 1.7%	100.2 $\pm$ 0.9%	95.4 $\pm$ 1.1%	
CaCl <sub>2</sub>	98.0 $\pm$ 1.3%	103.1 $\pm$ 1.9%	100.0 $\pm$ 1.4%	
F-T Tension (MPa)	0.088	0.50	1.0	1.5
$K_{f-t}/K_{max}$	100.85 $\pm$ 1.58%	98.68 $\pm$ 2.01%	97.11 $\pm$ 2.09%	95.40 $\pm$ 1.50%



**Table II.** Statistical analysis of VCs measured in the same stem undergoing 4 cycles of cavitation-refilling and VCs measurements with 0.1 M KCl and 0.01 M CaCl<sub>2</sub> solutions respectively. Significance tests focus on the impact of salts (KCl vs CaCl<sub>2</sub>) used for the flush.  $T_x$  represents the tension at which certain percentage loss of conductivity was caused (10, 20 ... 90 %). The values are means  $\pm$  SE of 7 samples using 0.1 M KCl solution and 6 samples using 0.01 M CaCl<sub>2</sub> solution. Significance levels (testing the impact of solution at any PLC): ‘\*’ means  $p < 0.05$ .

PLC (%)	1 <sup>st</sup> VC		2 <sup>nd</sup> VC		3 <sup>rd</sup> VC		*	4 <sup>th</sup> VC	
	$T_x$ (KCl)	$T_x$ (CaCl <sub>2</sub> )	$T_x$ (KCl)	$T_x$ (CaCl <sub>2</sub> )	$T_x$ (KCl)	$T_x$ (CaCl <sub>2</sub> )		$T_x$ (KCl)	$T_x$ (CaCl <sub>2</sub> )
10	1.38 $\pm$ 0.1	1.41 $\pm$ 0.1	0.38 $\pm$ 0.0	0.46 $\pm$ 0.0	0.48 $\pm$ 0.0	0.35 $\pm$ 0.0	*	0.56 $\pm$ 0.0	0.50 $\pm$ 0.0
20	1.64 $\pm$ 0.1	1.66 $\pm$ 0.1	0.54 $\pm$ 0.0	0.63 $\pm$ 0.0	0.67 $\pm$ 0.0	0.52 $\pm$ 0.0	*	0.75 $\pm$ 0.0	0.69 $\pm$ 0.0
30	1.82 $\pm$ 0.1	1.84 $\pm$ 0.0	0.68 $\pm$ 0.0	0.77 $\pm$ 0.0	0.81 $\pm$ 0.0	0.66 $\pm$ 0.0	*	0.90 $\pm$ 0.0	0.86 $\pm$ 0.0
40	1.98 $\pm$ 0.0	2.00 $\pm$ 0.0	0.81 $\pm$ 0.0	0.90 $\pm$ 0.1	0.95 $\pm$ 0.0	0.79 $\pm$ 0.0	*	1.04 $\pm$ 0.0	1.00 $\pm$ 0.0
50	2.12 $\pm$ 0.0	2.14 $\pm$ 0.0	0.93 $\pm$ 0.0	1.03 $\pm$ 0.1	1.08 $\pm$ 0.0	0.92 $\pm$ 0.0	*	1.17 $\pm$ 0.0	1.13 $\pm$ 0.0
60	2.27 $\pm$ 0.0	2.28 $\pm$ 0.0	1.07 $\pm$ 0.1	1.16 $\pm$ 0.1	1.22 $\pm$ 0.0	1.06 $\pm$ 0.0		1.31 $\pm$ 0.0	1.28 $\pm$ 0.0
70	2.42 $\pm$ 0.0	2.43 $\pm$ 0.0	1.22 $\pm$ 0.1	1.31 $\pm$ 0.1	1.38 $\pm$ 0.0	1.21 $\pm$ 0.0		1.45 $\pm$ 0.0	1.44 $\pm$ 0.1
80	2.59 $\pm$ 0.0	2.60 $\pm$ 0.0	1.40 $\pm$ 0.1	1.49 $\pm$ 0.1	1.56 $\pm$ 0.0	1.41 $\pm$ 0.1		1.63 $\pm$ 0.0	1.63 $\pm$ 0.1
90	2.83 $\pm$ 0.0	2.82 $\pm$ 0.0	1.67 $\pm$ 0.1	1.74 $\pm$ 0.1	1.82 $\pm$ 0.1	1.68 $\pm$ 0.1		1.87 $\pm$ 0.0	1.91 $\pm$ 0.1

**Table III.** Significance analysis results are shown for VCs quantified in Table II. The significance tests focused on the differences between VC curve numbers: 1, 2, 3, or 4 based on the means in Table II for VCs measurements with 0.1 M KCl solution (left) or 0.01 M CaCl<sub>2</sub> solution (right).  $T_x$  represents the tension at which certain percentage loss of conductivity was caused (10, 20 ... 90 %). Letters  $i$  and  $j$  indicate the  $i^{th}$  VC after the  $i^{th}$  flushing and the  $j^{th}$  VC after the  $j^{th}$  flushing, respectively. The significance analysis of VCs with KCl solution was based on  $N = 7$  samples. The CaCl<sub>2</sub> solution VCs were based on  $N = 6$  samples. Significance levels (testing the impact of solution at any PLC): ‘\*’ means  $p < 0.05$ .

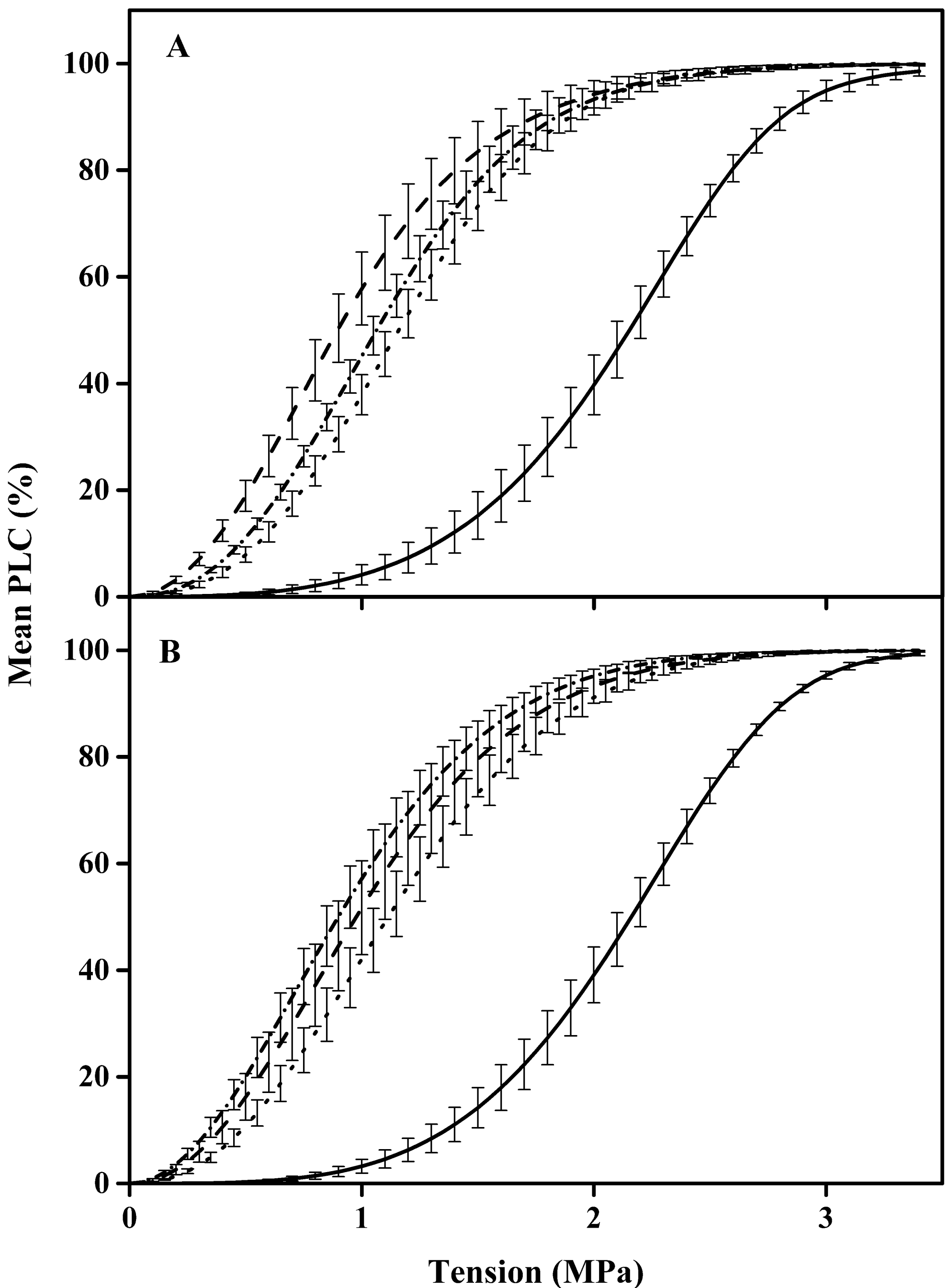
$i^{th}$ VC vs $j^{th}$ VC	$T_x$ (KCl)									$T_x$ (CaCl <sub>2</sub> )								
	10	20	30	40	50	60	70	80	90	10	20	30	40	50	60	70	80	90
1 <sup>st</sup> vs 2 <sup>nd</sup>	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
1 <sup>st</sup> vs 3 <sup>rd</sup>	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
1 <sup>st</sup> vs 4 <sup>th</sup>	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*
2 <sup>nd</sup> vs 3 <sup>rd</sup>																		
2 <sup>nd</sup> vs 4 <sup>th</sup>		*	*	*	*	*	*											
3 <sup>rd</sup> vs 4 <sup>th</sup>																		

**Table IV.** Significance analysis of tensions at any given PLC in vulnerability curves of four phenological phases in stems collected from trees growing outdoors.  $T_x$  represents the tension at which certain percentage loss of conductivity was caused (10, 20 ... 90 %). Data are based on means  $\pm$  SE. The same small letters indicate the significant difference  $p > 0.05$

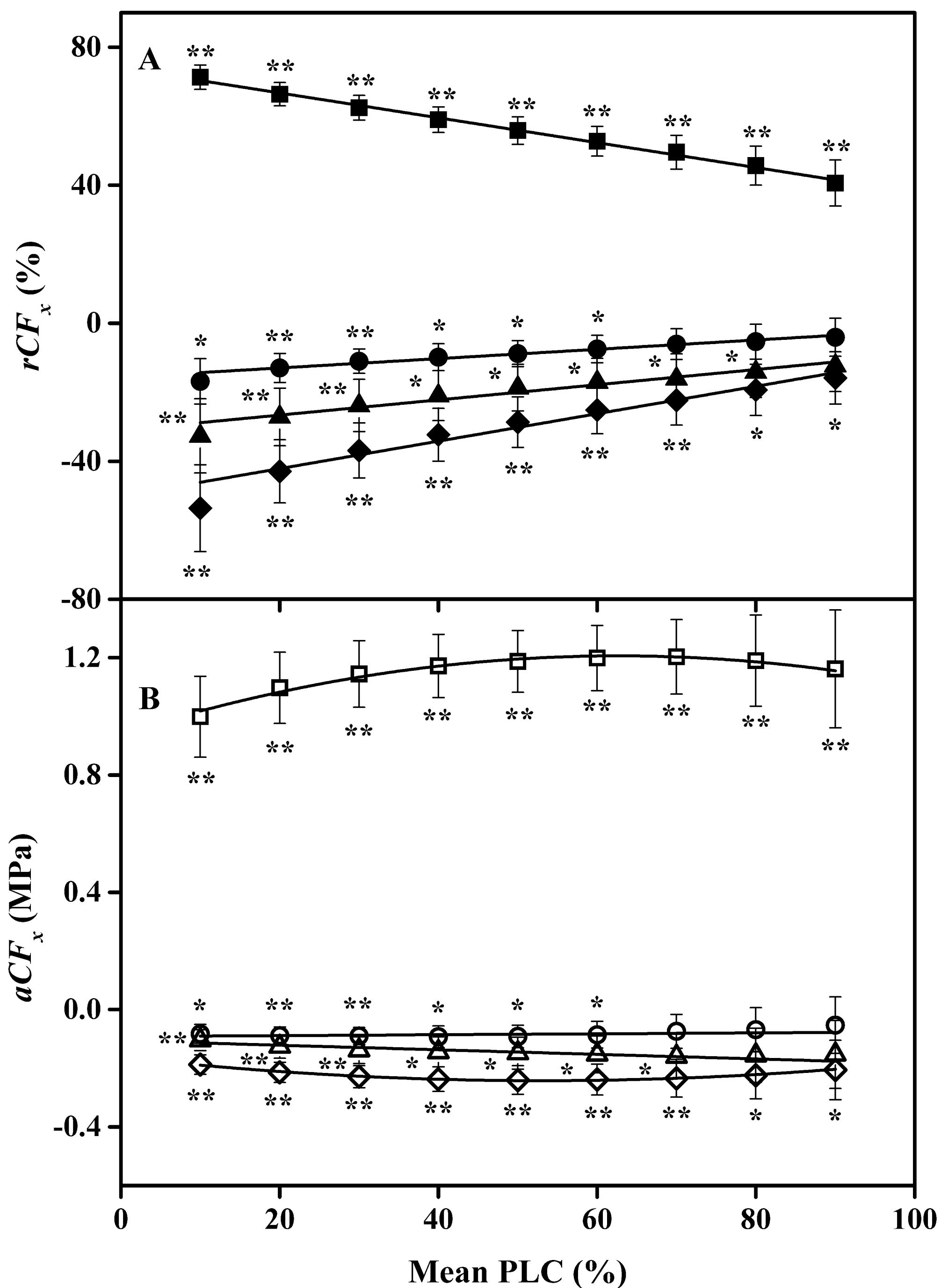
$T_x$	Phenological Phase			
	Natural frost	budding	Leaf-flushing	Mature-leaf
$T_{10}$	0.84 $\pm$ 0.12a	0.65 $\pm$ 0.07a	1.06 $\pm$ 0.26a	0.96 $\pm$ 0.15a
$T_{20}$	1.47 $\pm$ 0.14a	1.17 $\pm$ 0.19a	1.52 $\pm$ 0.23a	1.56 $\pm$ 0.11a
$T_{30}$	1.80 $\pm$ 0.11a	1.55 $\pm$ 0.21a	1.87 $\pm$ 0.12a	1.79 $\pm$ 0.09a
$T_{40}$	2.05 $\pm$ 0.08a	1.96 $\pm$ 0.12a	2.07 $\pm$ 0.07a	1.95 $\pm$ 0.07a
$T_{50}$	2.23 $\pm$ 0.07a	2.22 $\pm$ 0.06a	2.23 $\pm$ 0.05a	2.08 $\pm$ 0.05a
$T_{60}$	2.39 $\pm$ 0.06a	2.41 $\pm$ 0.05a	2.36 $\pm$ 0.05ab	2.20 $\pm$ 0.05b
$T_{70}$	2.53 $\pm$ 0.06a	2.59 $\pm$ 0.04a	2.49 $\pm$ 0.06a	2.31 $\pm$ 0.04b
$T_{80}$	2.71 $\pm$ 0.07a	2.77 $\pm$ 0.03a	2.63 $\pm$ 0.08a	2.44 $\pm$ 0.05b
$T_{90}$	2.95 $\pm$ 0.07a	3.01 $\pm$ 0.05a	2.80 $\pm$ 0.10ab	2.61 $\pm$ 0.06b



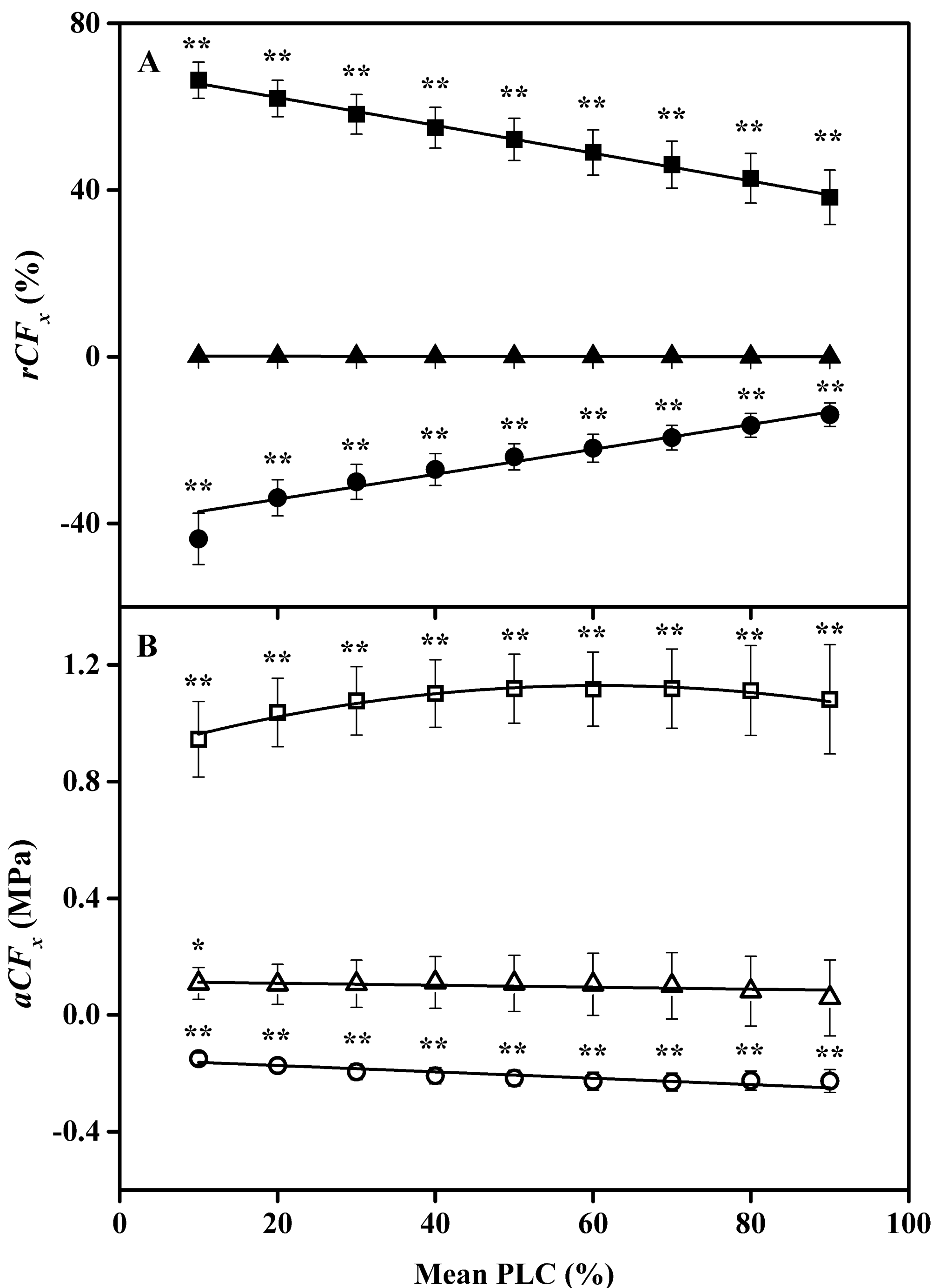
— 1<sup>st</sup> VC    - - 2<sup>nd</sup> VC    - · - · - 3<sup>rd</sup> VC    · · · · 4<sup>th</sup> VC



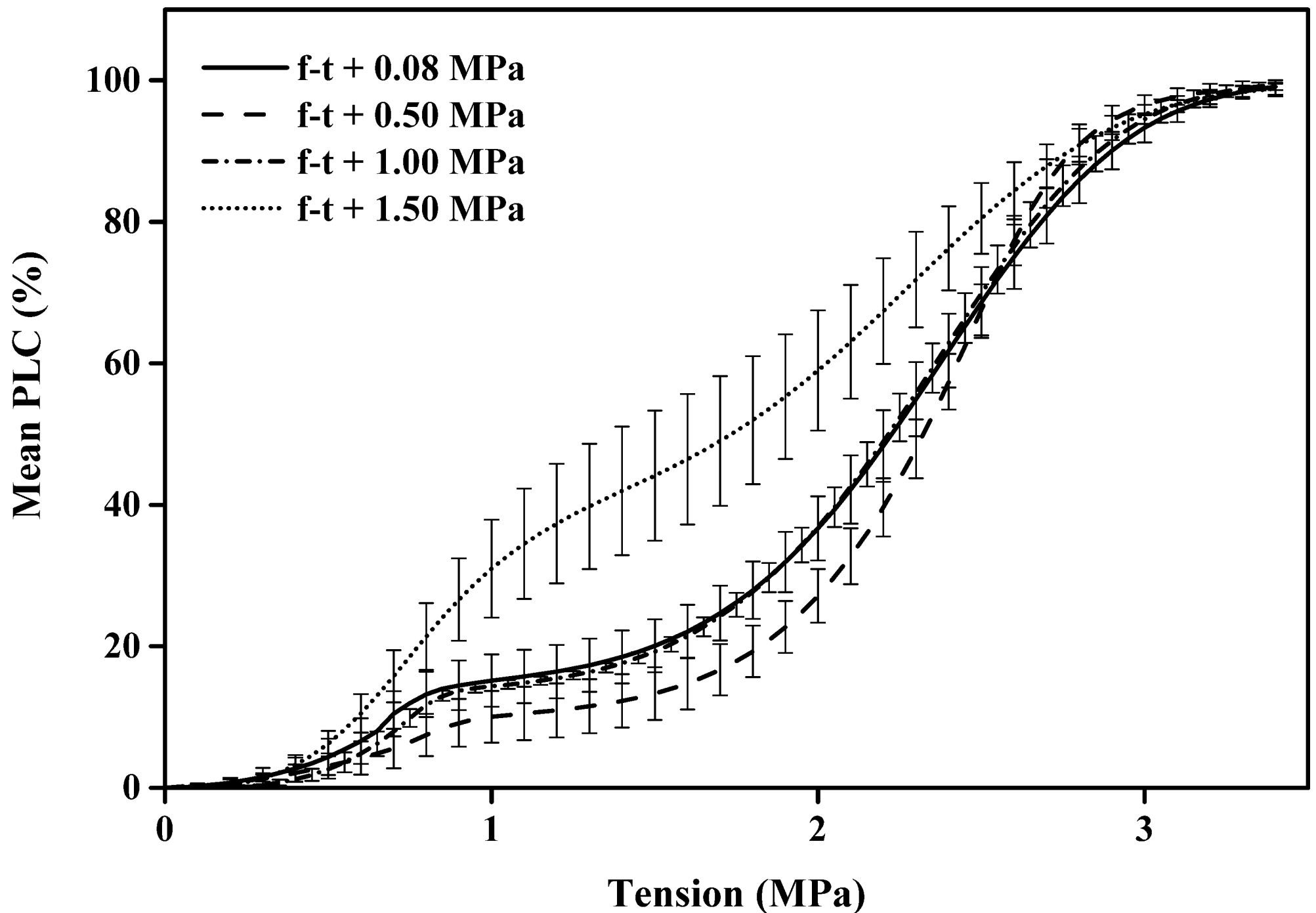
**Figure 1.** Plotted are mean vulnerability curves measured for the same stem exposed to 4 cycles of cavitation-refilling (flushing) and VCs measurements with 0.1 M KCl solution (A) and 0.01 M CaCl<sub>2</sub> solution (B). The mean and SE (N = 7 for KCl values and N = 6 for CaCl<sub>2</sub> values) of all curves were computed. See Table I for the % recovery of hydraulic conductivity after flushes.



**Figure 2.** This shows two quantitative measures of cavitation-fatigue for KCl flushed samples. The fatigue values were calculated from the mean of the differences Eq. 3B (see methods), and is considered a more powerful test of differences between VCs. **A:** Mean relative cavitation-fatigue ( $rCF_x$ ) versus  $x$  = the PLC at which  $rCF_x$  was evaluated. Solid squares compare 1<sup>st</sup> to 2<sup>nd</sup> VC,  $y = -0.3612x + 74.012$  ( $R^2 = 0.9952$ ,  $p = 2E-09$ ); solid triangles compare 2<sup>nd</sup> to 3<sup>rd</sup> VC,  $y = 0.2335x - 31.992$  ( $R^2 = 0.9444$ ,  $p = 1E-05$ ); solid circles compare 3<sup>rd</sup> to 4<sup>th</sup> VC,  $y = 0.1436x - 16.341$  ( $R^2 = 0.95$ ,  $p = 8E-06$ ); and solid diamonds compare 2<sup>nd</sup> to 4<sup>th</sup> VC,  $y = 0.43x - 52.274$  ( $R^2 = 0.9489$ ,  $p = 9E-06$ ). **B:** Mean change in absolute cavitation-fatigue ( $aCF_x$ ) versus  $x$  = the PLC at which  $aCF_x$  was evaluated. Hollow squares compare 1<sup>st</sup> to 2<sup>nd</sup> VC,  $y = -7E-05x^2 + 0.0086x + 0.9352$  ( $R^2 = 0.9758$ ,  $p = 1E-5$ ), however, the individual points were not different from each other significantly ( $p = 0.334$ ); hollow triangles compare 2<sup>nd</sup> to 3<sup>rd</sup> VC,  $y = -0.0006x - 0.1146$  ( $R^2 = 0.7535$ ,  $p = 0.0024$ ); hollow circles compare 3<sup>rd</sup> to 4<sup>th</sup> VC,  $y = 0.0004x - 0.099$  ( $R^2 = 0.5669$ ,  $p = 0.019$ ); and hollow diamonds compare 2<sup>nd</sup> to 4<sup>th</sup> VC,  $y = 3E-05x^2 - 0.0029x - 0.1636$  ( $R^2 = 0.9877$ ,  $p = 2E-6$ ). Error bars are SE. Symbols marked without ‘\*’ marks were not significantly different from zero. The  $p$ -values in the linear regressions give the probability that the slope is zero.

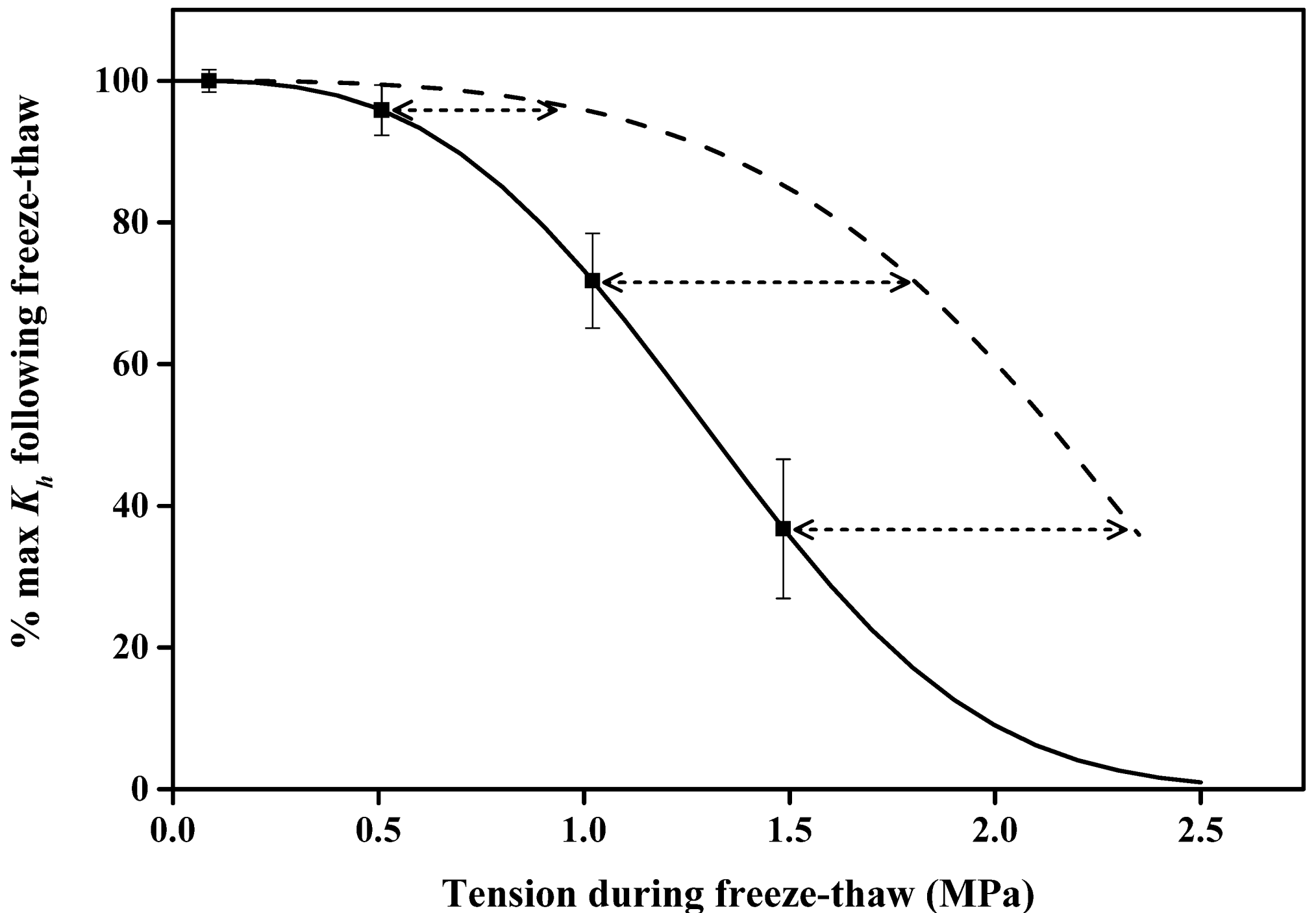


**Figure 3.** This shows two quantitative measures of cavitation-fatigue for  $\text{CaCl}_2$  flushed samples. The fatigue values were calculated from the mean of the differences Eq. 3B (see methods), and is considered a more powerful test of differences between VCs. **A:** Mean relative cavitation-fatigue ( $rCF_x$ ) versus  $x =$  the PLC at which  $rCF_x$  was evaluated. Solid squares compare 1<sup>st</sup> to 2<sup>nd</sup> VC,  $y = -0.3333x + 68.857$  ( $R^2 = 0.9961$ ,  $p = 1\text{E}-09$ ); solid triangles compare 2<sup>nd</sup> to 3<sup>rd</sup> VC,  $y = -0.0018x + 0.1707$  ( $R^2 = 0.9233$ ,  $p = 4\text{E}-05$ ); and solid circles compare 3<sup>rd</sup> to 4<sup>th</sup> VC,  $y = 0.3293x - 42.064$  ( $R^2 = 0.9413$ ,  $p = 1\text{E}-05$ ). **B:** Mean change in absolute cavitation-fatigue ( $aCF_x$ ) versus  $x =$  the PLC at which  $aCF_x$  was evaluated. Hollow squares compare 1<sup>st</sup> to 2<sup>nd</sup> VC,  $y = -6\text{E}-05x^2 + 0.0078x + 0.8899$  ( $R^2 = 0.9661$ ,  $p = 4\text{E}-05$ ), however, the individuals were not different from each other significantly ( $p = 0.408$ ); hollow triangles compare 2<sup>nd</sup> to 3<sup>rd</sup> VC,  $y = -0.0005x + 0.1225$  ( $R^2 = 0.58$ ,  $p = 0.017$ ); hollow circles compare 3<sup>rd</sup> to 4<sup>th</sup> VC,  $y = -0.0009x - 0.1599$  ( $R^2 = 0.8087$ ,  $p = 0.001$ ). Error bars are SE. Symbols with no ‘\*\*’ marks were not significantly different from zero. The  $p$ -values in the linear regressions give the probability that the slope is zero.



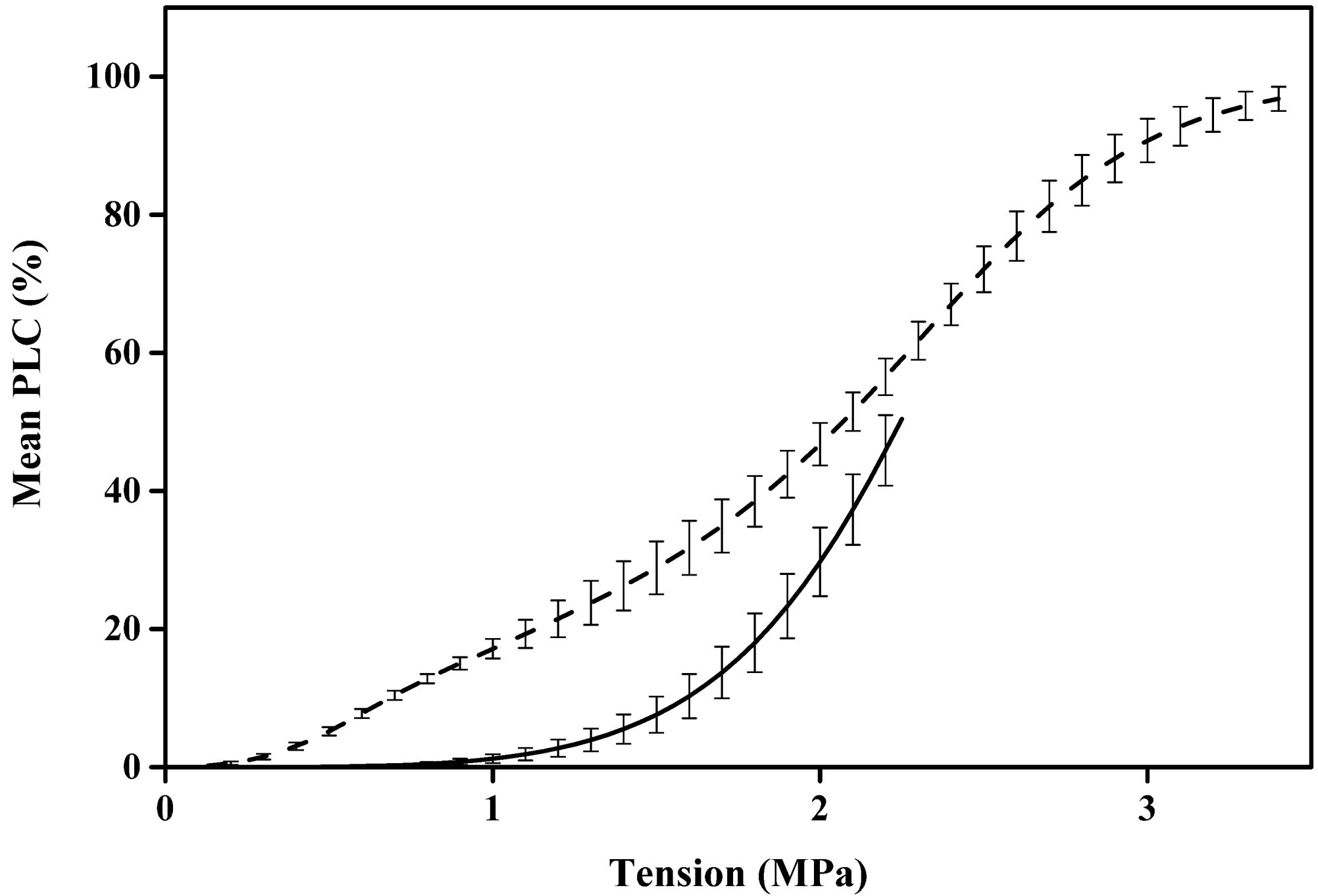
**Figure 4. Vulnerability curves measured in stems following a freeze-thaw cycle (f-t) at varying tension. Frost induced embolism was removed by flushing before measuring the above VCs, hence the double s-curves were caused by frost-fatigue. The mean of 6~8 VCs were measured on flushed stems by the cavitron technique where each curve was fitted with a dual Weibull, then the mean and SE of all 6~8 best-fit curves were computed.**



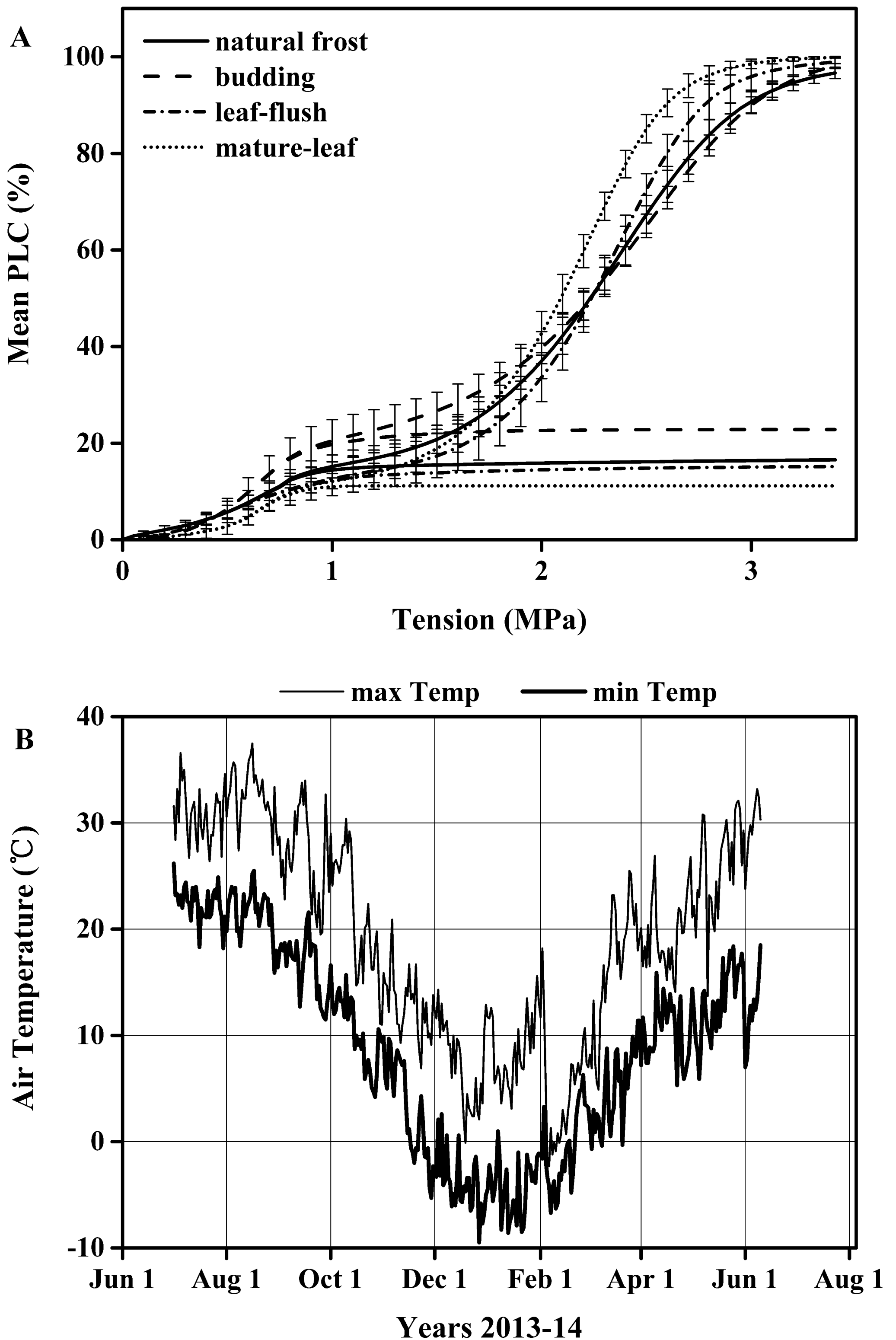


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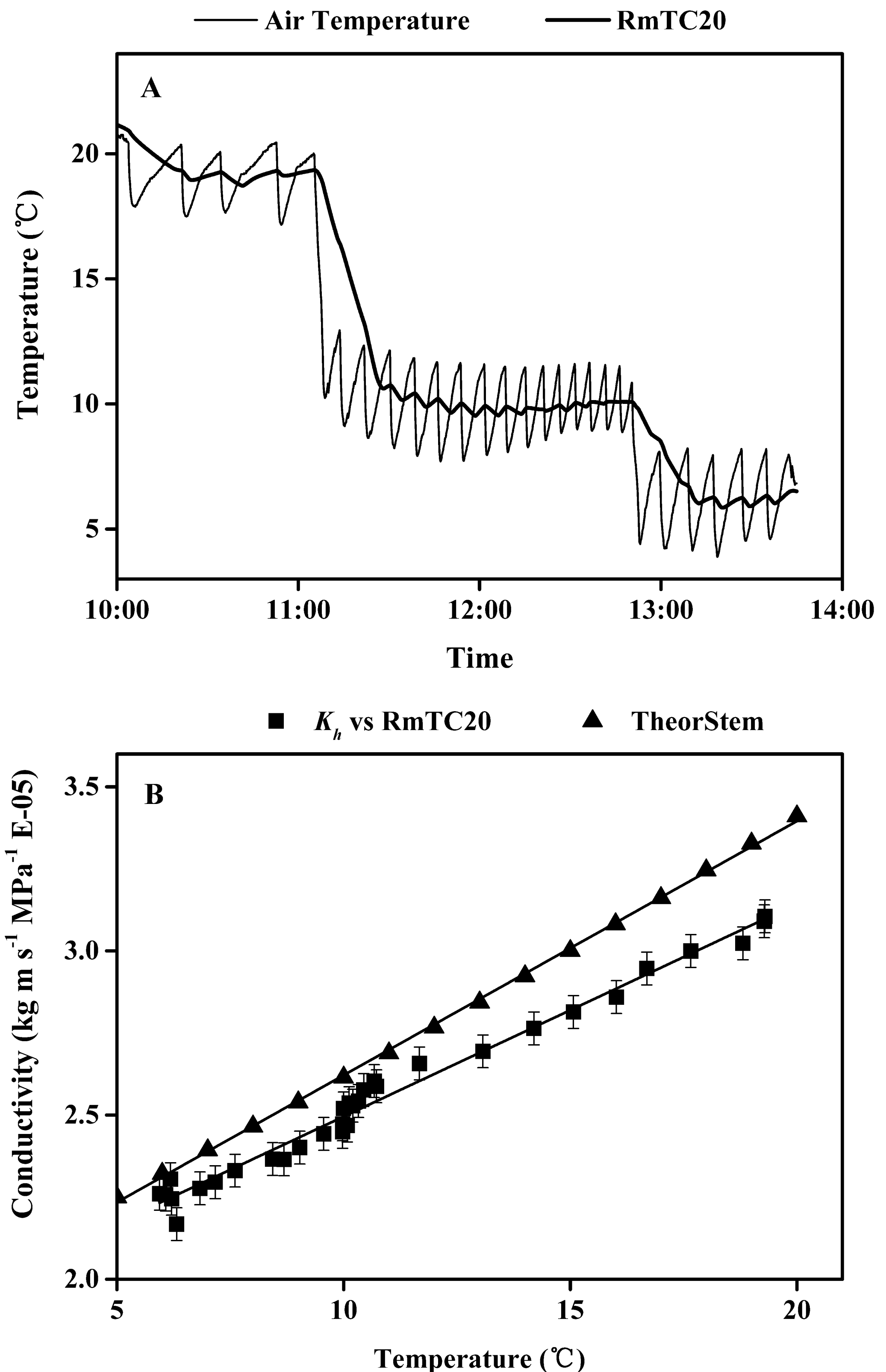
— Partial VC      - - Full VC



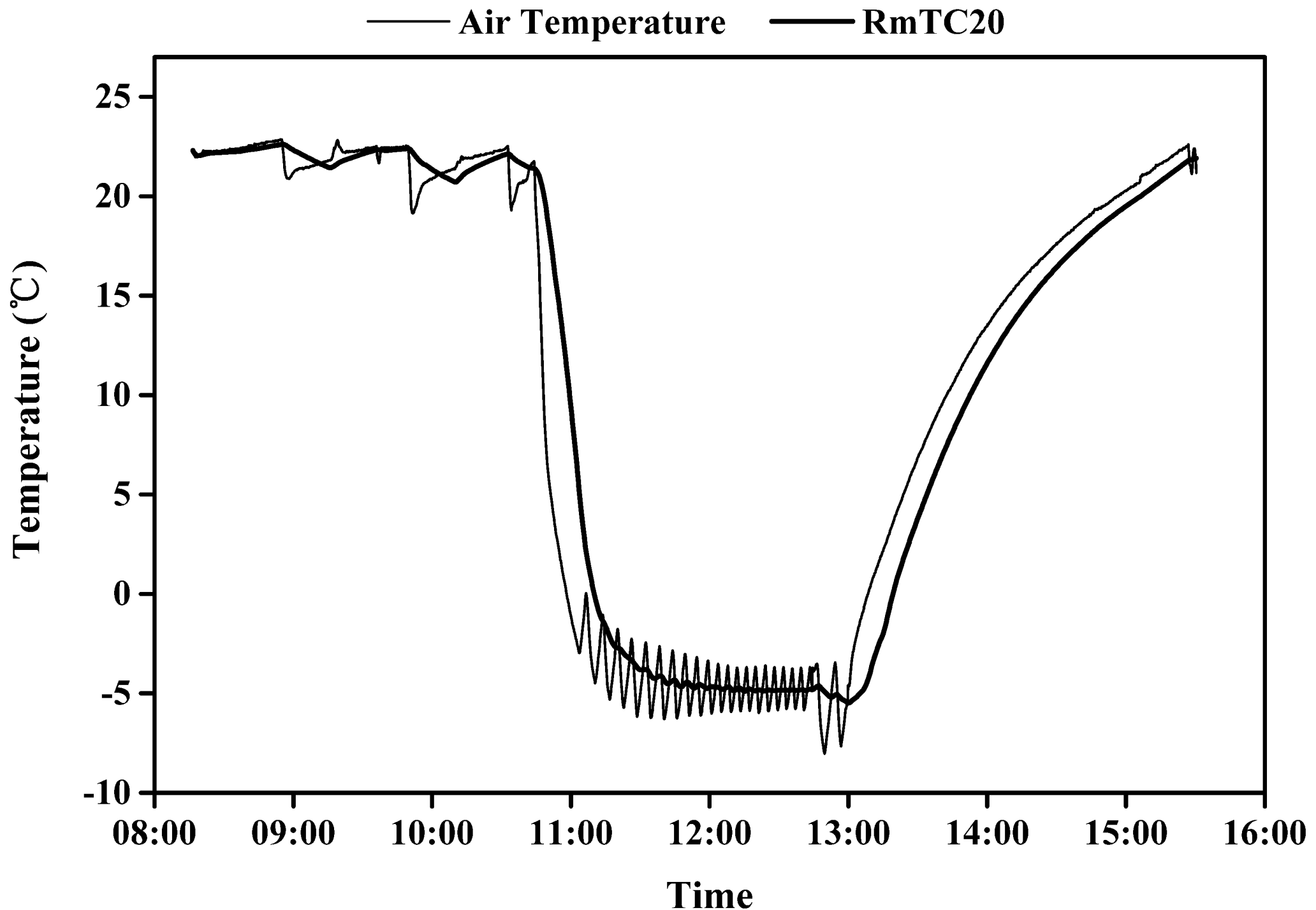
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**Figure 8. A:** This shows the tempo of change in air temperature and the 20 min running mean of air temperature measured 2 cm from the rotor during the experiment shown in B. **B:** Shows the  $K_h$  in stem versus the 20 min running mean of air temperature (RmTC20). The regression of  $K_h$  versus RmTC20 was  $y = 0.0648x + 1.8474$ ,  $R^2 = 0.9826$ ,  $p \leq 10^{-23}$ , and the close correlation means that the 20 min running mean air temperature was an acceptable predictor of stem temperature. However the dependence of  $K_h$  on stem temperature was a less (lower slope) than predicted from 1/viscosity of water in an ideal pipe (TheorStem), which had a regression of  $y = 0.0774x + 1.8472$ ,  $R^2 = 0.9994$ ,  $p \leq 10^{-23}$ .



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