

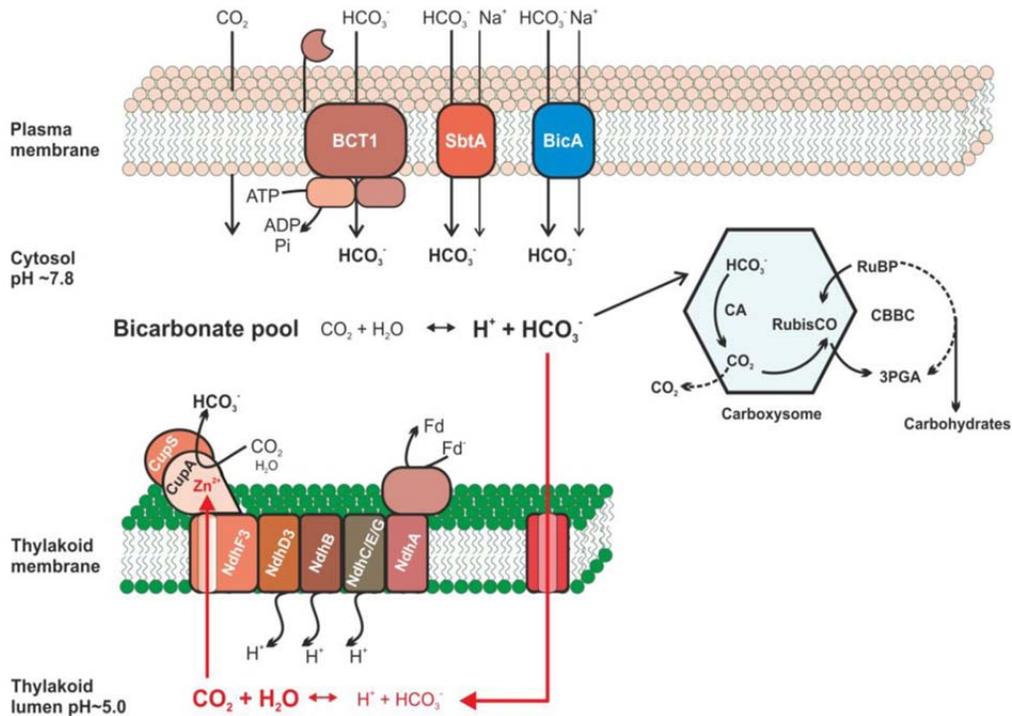
1 **Is the structure of the CO<sub>2</sub>-hydrating complex I compatible with the cyanobacterial**  
2 **CO<sub>2</sub>-concentrating mechanism?**

3 Dear Editor,

4 Recently, Schuller et al. (2020) published the first structure of a cyanobacterial CO<sub>2</sub>-  
5 hydrating photosynthetic complex I, which plays a fundamental role in oxygenic  
6 photosynthesis. This type of photosynthesis is by far the most important process for  
7 organic carbon acquisition on Earth. Oxygenic photosynthesis evolved in cyanobacteria  
8 about 2.7 billion years ago. Photosynthetic CO<sub>2</sub> fixation is primarily done by the enzyme  
9 ribulose 1,5 biphosphate (RuBP) carboxylase/oxygenase (Rubisco), producing two  
10 molecules of 3-phosphoglycerate. Those are then reduced and converted into various  
11 organic carbon compounds via the Calvin-Benson-Bassham cycle that predated  
12 oxygenic photosynthesis (Hohmann-Marriott & Blankenship, 2011). The rising level of  
13 molecular oxygen introduced two main problems to Rubisco activity.

14 First, O<sub>2</sub> competes with CO<sub>2</sub> in the cleavage of RuBP and thus slows the carboxylase  
15 reaction. Binding of O<sub>2</sub> in the oxygenase reaction forms the toxic product 2-  
16 phosphoglycolate, which needs to be salvaged via photorespiratory metabolism (that  
17 probably co-evolved with oxygenic photosynthesis in ancient cyanobacteria; Eisenhut et  
18 al., 2008). Second, the tremendous success of oxygenic phototrophs, particularly after  
19 the appearance of eukaryotic algae and plants resulted in a strong decline of  
20 atmospheric CO<sub>2</sub>, slowing Rubisco activity due to its low affinity to CO<sub>2</sub> and poor  
21 specificity (Tcherkez et al., 2006). Cyanobacteria like many other photosynthetic  
22 organisms solved these problems, at least partly, with the evolution of inorganic carbon-  
23 or CO<sub>2</sub>-concentrating mechanisms (CCMs; Raven et al. 2012).

24 By concentrating CO<sub>2</sub> in close proximity to Rubisco, the CCM raises the apparent  
25 photosynthesis affinity for extracellular CO<sub>2</sub> well above that of the enzyme. Hence, in  
26 addition to saturating the carboxylase activity, the CCM significantly reduces the  
27 oxygenase reaction. Among the various CCMs, the cyanobacterial type is considered  
28 the best understood (Raven et al., 2012). Here, light energy is used to fuel various  
29 uptake systems for CO<sub>2</sub> and bicarbonate, leading to the accumulation of high  
30 concentrations of the latter in the cytoplasm. Bicarbonate enters bacterial micro-



**Figure 1: Proposed model of the cyanobacterial CO<sub>2</sub>-concentrating mechanism (CCM, classical cycle in black arrows) including the structure-predicted bicarbonate/CO<sub>2</sub> cycling in the thylakoid lumen (red arrows).**

The cyanobacterial CCM utilizes three bicarbonate transporters: BCT1, SbtA and BicA located in plasma membrane. The thylakoid embedded CO<sub>2</sub> hydration system (special CO<sub>2</sub>-hydrating photosynthetic complex I or NDH1<sub>3</sub> comprising the cyanobacteria-specific small subunits NdhD3, NdhF3 and CupA/B) converts cytoplasmic (classical view) or rather luminal (new prediction) CO<sub>2</sub> to bicarbonate. The action of these uptake systems constitutes a large cytoplasmic bicarbonate pool, which penetrates into carboxysomes. There, bicarbonate is dehydrated back to CO<sub>2</sub> by carbonic anhydrase (CA) in proximity to Rubisco and bound to ribulose 1,5-bisphosphate (RuBP) leading to 3-phosphoglycerate (3PGA) that enters carbohydrate synthesis through the Calvin-Benson-Bassham cycle (CBBC). According to the newly resolved structure of the special CO<sub>2</sub>-hydrating photosynthetic complex I, the CA side is buried inside the CupA subunit (depicted as red Zn<sup>2+</sup>), which is connected to the luminal side of the thylakoids via a putative CO<sub>2</sub>-channel (Schuller et al., 2020). The most probable source for CO<sub>2</sub> inside the lumen is a leakage of bicarbonate into the luminal space, where it becomes converted into CO<sub>2</sub> due to the acidic pH.

31 compartments, the carboxysomes, where carbonic anhydrase (CA) converts it to CO<sub>2</sub>,  
 32 thereby raising its concentration in close proximity to Rubisco mostly located in these

33 bodies (Fig. 1). This enables efficient CO<sub>2</sub> fixation into RuBP and a marked decline in  
34 the oxygenase activity of the enzyme (Kaplan & Reinhold, 1999; Rae et al., 2013;  
35 Burnap et al., 2015). Three different bicarbonate transport complexes were identified at  
36 the cytoplasmic membrane of model cyanobacteria (Fig. 1), the ABC-type bicarbonate  
37 transporter BCT1 and two bicarbonate/sodium antiporters, SbtA and BicA (Omata et al.,  
38 1999; Shibata et al., 2002; Price et al., 2004). In addition, specialized NDH1 complexes  
39 residing in the thylakoid membrane have been shown to act as low (NDH1<sub>4</sub>) or high  
40 affinity (NDH1<sub>3</sub>, Fig. 1) CO<sub>2</sub>-uptake systems (Shibata et al., 2001). They convert  
41 cytoplasm-located CO<sub>2</sub> to bicarbonate thereby forming an inward concentration gradient  
42 for CO<sub>2</sub> diffusion into the cells and also minimizing the leak of CO<sub>2</sub> formed either by  
43 carboxysomal CA activity or dehydration of the cytoplasmic bicarbonate pool. These  
44 specific NDH1 complexes recruit the subunits CupA and CupS that form a cytoplasm-  
45 exposed structure visible in single particle analyses (Shibata et al., 2001; Folea et al.,  
46 2008). It has been proposed that this part of the specialized NDH1 complexes is  
47 particularly responsible for the CO<sub>2</sub> hydration; however, the mechanism remained  
48 elusive. Because cyanobacterial NDH1 complexes receive electrons from ferredoxin  
49 (unlike the mitochondrial NDH complex I, where NADH serves as electron donor), it was  
50 recently renamed as photosynthetic complex I (PCI) (Schuller et al., 2019; Laughlin et  
51 al., 2019).

52 Tremendous progress has been made in our understanding of the cyanobacterial CCM  
53 with the emergence of structures of carboxysomal proteins and the BicA transporter  
54 mainly by cryo-electron microscopy (Kerfeld et al., 2018; Wang et al., 2019). The most  
55 recent hallmark in this development represents the structural analysis of the high-affinity  
56 CO<sub>2</sub>-hydrating PCI (formerly known as NDH1<sub>3</sub>) from the cyanobacterium  
57 *Thermosynechococcus elongatus* (Schuller et al., 2020). The authors isolated a tagged  
58 version of this low CO<sub>2</sub>-induced complex and resolved its structure by cryo-electron  
59 microscopy at 3.2 Å resolution. The obtained structure allowed assignment of a Zn<sup>2+</sup>  
60 atom bound to two helices of CupA near the NdhF3 subunit that is stabilized by CupS.  
61 The Zn<sup>2+</sup> is a reactive metal center in most types of CAs (DiMario et al., 2018). Although  
62 the coordination of Zn<sup>2+</sup> in the CO<sub>2</sub>-hydrating PCI differs from canonical CAs, the  
63 authors provided a strong theoretical evidence based upon computational simulations

64 that it is able to catalyze the conversion of CO<sub>2</sub> into bicarbonate (Schuller et al., 2020), a  
65 thermodynamically unfavorable reaction due to the high cytoplasmic bicarbonate level.  
66 Hence, the structure analysis and the coupled theoretical calculations verified that the  
67 CupA/S-formed cytoplasm-exposed structure at NdhF3 represents the expected CA part  
68 of this PCI. It is proposed that the CO<sub>2</sub>-hydration process is closely coupled to a redox-  
69 driven mechanism including electron transport from ferredoxin toward plastoquinone and  
70 three proton extrusion steps from the cytoplasmic into the luminal side across the  
71 thylakoid membrane, which energizes the CO<sub>2</sub> conversion (Schuller et al., 2020). The  
72 proton extrusion mechanism further explains how the predicted “alkaline pocket” is  
73 formed (Kaplan & Reinhold, 1999), which drives the CO<sub>2</sub>-hydration by the Zn<sup>2+</sup>-  
74 containing active site (Schuller et al., 2020). Contrary to previous models, the CA site  
75 appears inside the protein and not at its cytoplasm-exposed surface, where it was  
76 tentatively predicted to be, supported by the CA-like EcaB protein (Sun et al., 2019).

77 Summarizing, the proposed structure nicely explains the biochemical and molecular  
78 mechanisms of CO<sub>2</sub> hydration by PCI as part of large bicarbonate accumulation inside  
79 the cyanobacterial cell. However, the presented structure is not easily compatible with  
80 the traditional view of how this complex is embedded and functions in the cyanobacterial  
81 CCM. Most pronounced and surprising is the proposed occurrence of a putative CO<sub>2</sub>  
82 channel in the structure. The structure revealed a non-polar tunnel that is surrounded by  
83 hydrophobic and bulky amino acid residues, which connects the reactive Zn<sup>2+</sup> inside  
84 CupA with the luminal side of the thylakoids. Molecular dynamic simulations made it  
85 possible to conclude that this channel might be the path by which CO<sub>2</sub> reaches the CA  
86 site (Schuller et al., 2020). The existence of this channel in the structure implies that  
87 CO<sub>2</sub> mostly reaches the CA site from the thylakoid lumen instead of from the  
88 cytoplasmic space, which is contrary to the classical view of CO<sub>2</sub> appearance in the  
89 cytoplasm but not in the thylakoid lumen. Furthermore, the CA site with the bound Zn<sup>2+</sup>  
90 is densely packed and surrounded by charged amino acid residues, which seem to  
91 shield it from cytoplasmic CO<sub>2</sub> (Schuller et al., 2020). These findings are puzzling, since  
92 they are not compatible with the current CCM model for cyanobacteria (Rae et al., 2013;  
93 Burnap et al., 2015). This model assumes that the substrate CO<sub>2</sub> used by photosynthetic  
94 complexes I arises only in the cytoplasm from three different sources (Fig. 1): 1. CO<sub>2</sub>

95 uptake from the medium, the diffusion of which is accelerated due to aquaporin-gating  
96 and rapid conversion of dissolved CO<sub>2</sub> into bicarbonate in the cytoplasm; 2. Leakage of  
97 non-fixed CO<sub>2</sub> from the carboxysome into the cytoplasm; and 3. pH-dependent  
98 physicochemical conversion of the cytoplasmic bicarbonate into CO<sub>2</sub>. Hence, according  
99 to the existing CCM model the cytoplasmic CO<sub>2</sub> pool should have direct access to the  
100 CA site inside CupA of this special PCI, which is obviously not the case in the newly  
101 proposed structural model (Schuller et al., 2020).

102 Can we reconcile the structural with the physiological interpretations? If the predicted  
103 CO<sub>2</sub> channel is working as postulated and CO<sub>2</sub> is mostly reaching the CA site from the  
104 luminal side, then we must assume that the cytoplasm-accumulated bicarbonate is the  
105 most likely source of CO<sub>2</sub> inside the lumen. The negatively charged bicarbonate ion  
106 could be driven into the lumen by its electrochemical gradient, using some pores or  
107 transporters (Fig. 1), and rapidly converted into CO<sub>2</sub> due to the acidic lumen pH, which is  
108 built up by the photosynthetic electron transport. This is a vicious inorganic carbon cycle,  
109 breaking and forming bicarbonate and on the way dissipating the CCM. Further, as the  
110 magnitude of the required CO<sub>2</sub>/HCO<sub>3</sub><sup>-</sup> flux across the thylakoid is very high it would be  
111 expected to dissipate the light-driven electrochemical proton gradient essential for ATP  
112 formation. If this scenario is correct, the cyanobacterial CCM includes a bicarbonate/CO<sub>2</sub>  
113 cycle at the thylakoid membrane. This is reminiscent of the function of algal CCMs,  
114 where CO<sub>2</sub> is released inside specific thylakoids traversing the Rubisco-containing  
115 structure, the pyrenoid (Matsuda et al., 2017). However, in cyanobacteria, Rubisco is  
116 located in the carboxysomes, which are not directly attached to the thylakoids.

117 According to the new proposed structure (Schuller et al., 2020), CO<sub>2</sub> access is predicted  
118 to occur mainly from the luminal side of thylakoids, which would severely diminish the  
119 photosynthetic efficiency of cyanobacteria. How to solve this puzzling finding? First, the  
120 accessibility of the CupA-localized CA site to cytoplasmic CO<sub>2</sub> is still possible, which is  
121 in agreement with the traditional view of the function of the CO<sub>2</sub>-hydrating PCI within the  
122 cyanobacterial CCM. Moreover, the putative CO<sub>2</sub> channel still needs experimental  
123 validation. However, if the channel is really functional this finding may suggest that the  
124 CO<sub>2</sub>-hydrating PCI might have additional/alternative functions. For example: Can it also  
125 function to dissipate excess light energy or to dissipate the internal Ci pool upon

126 darkening? In this case, the conversion of cytoplasmic CO<sub>2</sub> may be of secondary  
127 importance. Clearly, more function-related studies with isolated CO<sub>2</sub>-hydrating PCI  
128 preparations are needed; these should include site-specific variants affecting the CO<sub>2</sub>  
129 channel and the accessibility of the CA site.

130 **Acknowledgement:** We thank Dr. Eva-Maria Brouwer (University of Rostock, Plant  
131 Physiology) for preparation of Figure 1.

132

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### 139 **Author Contributions:**

140 MH and AK discussed the issue and wrote the letter.

141 **One-Sentence Summary:** The structure of CO<sub>2</sub> hydration complex I is not compatible  
142 with the view on how this complex is embedded and functions in the cyanobacterial  
143 carbon-concentrating mechanism.

### 144 **FIGURE LEGENDS**

145 **Figure 1: Proposed model of the cyanobacterial CO<sub>2</sub>-concentrating mechanism**  
146 **including the structure-predicted bicarbonate/CO<sub>2</sub> cycling in the thylakoid lumen.**

147 The cyanobacterial CCM (classical cycle in black arrows; structure-predicted  
148 bicarbonate/CO<sub>2</sub> cycling in the thylakoid lumen in red arrows) utilizes three bicarbonate  
149 transporters: BCT1, SbtA and BicA located in plasma membrane. The thylakoid-  
150 embedded CO<sub>2</sub> hydration system (special CO<sub>2</sub>-hydrating photosynthetic complex I or  
151 NDH1<sub>3</sub> comprising the cyanobacteria-specific small subunits NdhD3, NdhF3 and  
152 CupA/B) converts cytoplasmic (classical view) or luminal (new prediction) CO<sub>2</sub> to  
153 bicarbonate. The action of these uptake systems generates a large cytoplasmic  
154 bicarbonate pool, which penetrates into carboxysomes. There, bicarbonate is  
155 dehydrated back to CO<sub>2</sub> by carbonic anhydrase (CA) in proximity to Rubisco and bound

156 to ribulose 1,5-bisphosphate (RuBP), leading to 3-phosphoglycerate (3PGA) that enters  
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158 to the newly resolved structure of the special CO<sub>2</sub>-hydrating photosynthetic complex I,  
159 the CA side is buried inside the CupA subunit (depicted as red Zn<sup>2+</sup>), which is connected  
160 to the luminal side of the thylakoids via a putative CO<sub>2</sub>-channel (Schuller et al., 2020).  
161 The most probable source for CO<sub>2</sub> inside the lumen is a leakage of bicarbonate into the  
162 luminal space, where it becomes converted into CO<sub>2</sub> due to the acidic pH.

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